Court of Appeals of the State of New York

DANIEL HERNANDEZ and NEVIN COHEN, LAUREN ABRAMS and DONNA FREEMAN-TWEED, MICHAEL ELSASSER and DOUGLAS ROBINSON, MARY JO KENNEDY and JO-ANN SHAIN, and DANIEL REYES and CURTIS WOOLBRIGHT, Appellate Division First Department Docket Nos. 6598, 6599

Plaintiffs-Appellants,

- against -

VICTOR L. ROBLES, in his official capacity as CITY CLERK of the City of New York,

Defendant-Respondent.

SYLVIA SAMUELS and DIANE GALLAGHER, HEATHER MCDONNELL and CAROL SNYDER, AMY TRIPI and JEANNE VITALE, WADE NICHOLS and HARING SHEN, MICHAEL HAHN and PAUL MUHONEN, DANIEL J. O'DONNELL and JOHN BANTA, CYNTHIA BINK and ANN PACHNER, KATHLEEN TUGGLE and TONJA ALVIS, REGINA CICCHETTI and SUSAN ZIMMER, ALICE J. MUNIZ and ONEIDA GARCIA, ELLEN DREHER and LAURA COLLINS, JOHN WESSEL and WILLIAM O'CONNOR, and MICHELLE CHERRY-SLACK and MONTEL CHERRY-SLACK,

Appellate Division Third Department Docket No. 98084

Plaintiffs-Appellants,

- against -

THE NEW YORK STATE DEPARTMENT OF HEALTH and THE STATE OF NEW YORK

Defendants-Respondents.

BRIEF OF AMICI CURIAE

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April 12, 2006

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Appellate Division, First Department Docket Nos. 6598, 6599; New York County Index No. 103434/04

Appellate Division, Third Department Docket No. 98084; Albany County Index No. 1967/04

AMICI CURIAE'S STATEMENT PURSUANT TO RULE 500.1(c) The New York County Lawyers' Association is a not-for-profit corporation with members organized under New York law. It has no parents, subsidiaries, or affiliates except that its officers comprise the membership of the New York County Lawyers' Association Foundation, which has no parents, subsidiaries, or affiliates.

The National Black Justice Coalition is a not-for-profit corporation with members organized under New York law. It has no parents, subsidiaries, or affiliates.

Dated: New York, New York April 12, 2006

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Amici Curiae, the New York County Lawyers' Association ("NYCLA") and the National Black Justice Coalition ("NBJC") submit this brief in support of Appellants' appeals from (i) the February 16, 2006 Opinion and Order of the Appellate Division, Third Department in Samuels v New York State Department of Health (AD3d , 2006 NY Slip Op 01213 [3d Dept 2006]), affirming the motion court decision there, and (ii) the December 8, 2005 Decision and Order of the Appellate Division, First Department, reversing the motion court's decision in Hernandez v Robles (7 Misc 3d 459 [Sup Ct, NY County], rev'd 26 AD3d 98 [1st Dept 2005]). The rulings by the Third and First Departments held that New York's Constitution does not protect same-sex partners from the denial of the rights, privileges and benefits of a civil marriage that New York statutes grant to couples of opposite sexes. For the reasons set forth herein and in the Record, the decisions of the First and Third Departments of the Appellate Division below should be reversed.

STATEMENT OF INTEREST OF AMICI

A. The New York County Lawyers' Association

NYCLA is a New York not-for-profit corporation with approximately 8,500 attorneys practicing primarily in New York County founded and operating

Plaintiffs-Appellants in *Hernandez* and *Samuels* are referred to throughout this brief as "Appellants." Defendants-Respondents in *Hernandez* and *Samuels* are referred to throughout this brief as "Respondents."

specifically for charitable and educational purposes. NYCLA's certificate of incorporation specifically provides that it is to seek reform in the law and do what is in the public interest and for the public good.

When NYCLA was founded, it was the first major bar association in the United States of America that admitted members without regard to race, ethnicity, religion or gender. Since its formation in 1908, NYCLA has played a leading role in the fight against discrimination under local, state and federal law. Although various factors inspired NYCLA's creation, none was as strong as its rejection of the "selective membership" that other bar associations employed to deny large groups of lawyers the opportunity to participate in bar association activities. Throughout its history, NYCLA's bedrock principle has been the inclusion of all members of the bar who wish to join in an association of lawyers who seek to advance the public's interest and the profession's integrity.

Consistent with its opposition to discrimination in the legal profession, in 1943 NYCLA refused to renew its affiliation with the American Bar Association because it would not admit African-American lawyers. In addition, NYCLA's Women's Rights Committee challenged and helped change provisions of the Internal Revenue Code that had a discriminatory impact on women and married couples. Consistently fighting to ensure equal access to justice for all, in 1989, when indigent defendants could not secure representation, NYCLA attorneys

stepped up and volunteered pro bono. In 1997, NYCLA's proposal to increase fees for Article 18(b) attorneys to improve the quality of defense afforded to indigent defendants won the endorsement of bar associations across the state. A lawsuit filed in 2000 helped obtain increased compensation for these attorneys. And in December 2003, the NYCLA Board of Directors adopted a resolution endorsing full equal civil marriage rights for same-sex couples.

NYCLA's endorsement of equal civil marriage rights for same-sex couples grew out of its concern that an entire class of New York couples and their families lack the protections afforded to families led by heterosexual couples. To ensure that all rights, benefits and responsibilities attendant to civil marriage are available to same-sex couples in New York, NYCLA submits that it is both necessary and appropriate to extend civil marriage rights to same-sex couples without diluting these rights through piecemeal legislation or the ambiguous "civil union" or "domestic partnership." In the absence of state-recognized marriage rights, same-sex couples are relegated to second-class citizenship when they are denied the equal rights that are available to heterosexual couples and their families.

B. The National Black Justice Coalition

NBJC is a New York not-for-profit corporation. It is a civil rights organization of black lesbian, gay, bisexual and transgender people and allies dedicated to fostering equality. NBJC has more than 3,000 members nationwide

and advocates for social justice by educating and mobilizing opinion leaders, including elected officials, clergy and media, with a focus on black communities. Black communities have historically suffered from discrimination and have turned to the courts for redress. With this appeal, we turn to the courts again. This appeal presents issues with significant implications for the civil rights of black lesbians and gay men in this State – whether they will receive equal treatment under the law and the legal recognition and protections of marriage for their relationships and families. NBJC envisions a world where all people are fully empowered to participate safely, openly and honestly in family, faith and community, regardless of race, gender-identity or sexual orientation.

PRELIMINARY STATEMENT

This Nation has a social history of discrimination that was once commonplace, acceptable and, indeed, sanctioned by law, but which is resoundingly rejected in law today. Unfortunately, other types of discrimination continue both socially and under sanction of law, such as that in issue now before this Court: the prohibition against civil marriage between same-sex couples. The current prohibition against marriage between same-sex individuals is rationalized on the notion of its longstanding history. The opponents of equal civil marriage rights for same-sex couples buttress that rationale with the additional argument that the sex-based ban is really not discrimination because it discriminates "equally" —

in the sense that (a) men can only marry women and women can only marry men, (b) any discrimination is equal, (c) therefore, there is no discrimination. Although that sound byte possesses rhetorical symmetry, it lacks substance as an analytical matter of constitutional jurisprudence.

For centuries, these same rationalizations were used to justify the prohibition against interracial marriage—a prohibition that no one today defends as even arguably constitutional. *Amici* submit that current analysis of the restrictions on the right to marry for same-sex couples is richly informed and illuminated by considering our Nation's history of discriminating against racially different couples. At the core of both prohibitions lies the violation of an individual's right to marry.² The history of racial discrimination in marriage laws was discussed in the February 4, 2005, motion court decision below in *Hernandez* (7 Misc 3d 459), which rejected New York's prohibition on marriage between same-sex partners as unconstitutional under New York's Constitution:

"An instructive lesson can be learned from the history of the anti-miscegenation laws and the court decisions which struck them down as unconstitutional. The challenges to laws banning whites and non-whites from marriage demonstrate that the fundamental right to marry the person of one's choice may not be denied based on longstanding and deeply held traditional beliefs about appropriate marital partners....[T]he United States

² Amici recognize that the long history of racial discrimination in this country extended well beyond restrictions on marriage rights.

Supreme Court was not deterred by the deep historical roots of anti-miscegenation laws [(Loving v Virginia, 388 US 1, 7, 10 [1967])]; their continued prevalence [(id. at 6 n 5)]; nor any continued popular opposition to interracial marriage. [(Id. at 7)]. Instead, the Court held that '[u]nder our Constitution, the freedom to marry or not marry, a person of another race resides with the individual and cannot be infringed by the State,' declaring that 'marriage is one of the "basic civil rights of man," fundamental to our very existence and survival.' [(Id. at 12 (quoting Skinner v Oklahoma ex rel. Williamson, 316 US 535, 541 [1942])]."

(Id. at 461-462).

This brief provides an analysis of judicial opinions that ultimately recognized the prohibition as an unconstitutional violation of an individual's fundamental right to marry and a historical background of the prohibition on interracial marriage in the United States. Viewed against this background, the prohibition on civil marriage between same-sex couples must be recognized as unconstitutional. *Amici* request this Court to reverse the decisions of the First and Third Departments of the Appellate Division.

ARGUMENT

I. RESPONDENTS' ARGUMENTS ATTEMPTING
TO CIRCUMSCRIBE THE FUNDAMENTAL
RIGHT TO MARRY DO NOT WITHSTAND
SCRUTINY

The decisions of the First and Third Departments of the Appellate Division below should be reversed. In determining whether New York's

York State Constitution, this Court should consider the historical background of laws that have unconstitutionally interfered with the right to marry. Respondents argue that the government has the power to deny same-sex couples the right to enter into civil marriages by defining the right too narrowly and by suggesting that the recognition of that right must somehow become more "popular" before it is accepted. Yet, the Respondents have never pointed to any provision of this State's Constitution by which the citizens of New York ever expressly surrendered the right and freedom to be chosen as a marriage partner by a person of any religion, race, or sex.

Taking a cue from the "reasoning" employed by the opponents of interracial marriage before *Loving*, Respondents also suggest that denying samesex couples the right to enter into civil marriage is not discriminatory because it is "equally" applied. This Court should reject those narrow and misleading arguments.

A. Contemporary "Popular Opinion" Does Not Define The Fundamental Right To Be Free From Unwarranted Governmental Intrusion

All parties to this case agree that the right to marry is a constitutionally protected fundamental right. The reason that individuals have a fundamental right to be free from unwarranted governmental intrusion in decisions

involving marriage is because the decision to marry is fundamentally personal and private in nature. (See Griswold v Connecticut, 381 US 479, 486 [1965] ("We deal with a right of privacy older than the Bill of Rights")). Marriage is among those matters "involving the most intimate and personal choices a person may make in a lifetime, choices central to personal dignity and autonomy, [which] are central to the liberty protected by the Fourteenth Amendment." (Planned Parenthood v Casey of Southeastern Pa., 505 US 833, 851 [1992]).

Although the parties agree that there is a fundamental right to marry, they disagree about the scope of this right. Appellants and *Amici* view the right as the right of one individual to enter into a marriage with another individual of his or her choice. Respondents argue that the right at issue is limited to the right to enter into a marriage with a member of the opposite sex. Respondents claim that Appellants are seeking a new right to "same-sex marriage" that has never before existed. This narrow interpretation of the right to marry finds no support in constitutional jurisprudence and is inconsistent with decisions striking down antimiscegenation statutes.

Respondents advance three overlapping arguments in this area to restrict Appellants' rights in these cases. First, they claim that courts should always define fundamental rights as narrowly as possible. Second, they claim that a right is fundamental only if (and to the extent that) it has been exercised and

protected throughout our nation's history. Third, Respondents essentially claim that a right is fundamental if and only if its exercise is generally accepted in our society. For almost a century, these three arguments have been hurled at those who seek to free our society from "traditional" or "historical" discrimination that has become so ingrained in the minds of some people that it finds expression in our legal system. *Amici* respond to each point in turn.

1. Fundamental Rights Should Not Be Defined Narrowly to Incorporate the Challenged Governmental Restriction

Respondents argue that fundamental rights must be defined narrowly. They frame the issue in this case as whether there is a fundamental right to same-sex marriage. The "narrow the right" view contradicts traditional constitutional law analysis and, particularly, the analysis employed in cases involving anti-miscegenation statutes.

Challenges to claimed violations of fundamental rights require a two-step analysis: (1) Does the statute at issue restrict or burden the exercise of a fundamental right? If so, (2) is the restriction or burden narrowly tailored to serve a compelling government interest? (See e.g. Hernandez, 7 Misc 3d at 479-480; Zablocki v Redhail, 434 US 374, 388 [1978]). Respondents seem to miss the point that it is the restriction rather than the right that must be narrowed.

The New York Constitution does not contain any of the constraints urged by the Respondents to "narrow" the liberty of New York citizens. Article I,

§ 11 of the New York State Constitution provides, in pertinent part, that "[n]o person shall be denied the equal protection of the laws of this state or any subdivision thereof." (NY Const, art I, § 11). And Article I, § 6 of the New York State Constitution provides, in pertinent part, that "[n]o person shall be deprived of life, liberty or property without due process of law." (NY Const, art I, § 6).

The right to liberty necessarily includes the right to be free from unjustified government interference in one's privacy. (See People v Onofre, 51 NY2d 476, 486-489 [1980], cert denied 451 US 987 [1981]). Thus, the analysis of Appellants' due process claim begins with the question whether the right to marriage is a fundamental right entitled to due process protection, both as a general liberty right and as a specific privacy right. Amici submit that it is both. Here, Respondents try to avoid this analytical framework by incorporating the challenged form of bigotry itself into the definition of the "right." This technique of "creative definition" was also employed by the opponents of interracial marriage until its fallacy was exposed nearly forty years ago.

Furthermore, Respondents' argument should wither here, given that the whole purpose of the New York State Constitution is to secure people's freedom. Indeed, the Preamble of the New York State Constitution proclaims:

"[w]e, the People of the State of New York, grateful to Almighty God for our [f]reedom, in order to secure its blessings, do establish this Constitution."

(NY Const, Preamble). The State is not the *source* of our freedom; rather, the State is nothing more than a bulwark to *secure* that freedom. And, to quote the 1992 Supreme Court of Kentucky decision in *Kentucky v Wasson* (842 SW2d 487 [Ky 1992]), which struck down Kentucky's anti-sodomy laws:

"[g]iven the nature, the purpose, the promise of our Constitution, and its institution of a government charged as the conservator of individual freedom, I suggest that the appropriate question is not '[w]hence comes the right to privacy?' but rather, '[w]hence comes the right to deny it?""

(*Id.* at 503 [Combs, J., concurring]).

This Court does not flinch from its responsibility to uphold our state's Constitutional protections when individual liberties and fundamental rights are at issue. (*See People v Harris*, 77 NY2d 434, 437-438 [1991] ("Our federalist system of government necessarily provides a double source of protection and State courts, when asked to do so, are bound to apply their own Constitutions notwithstanding the holdings of the United States Supreme Court. . . . Sufficient reasons appearing, a State court may adopt a different construction of a similar State provision unconstrained by a contrary Supreme Court interpretation of the Federal counterpart") [citation omitted]).

A review of cases in which the U.S. Supreme Court has found government intrusion on fundamental rights in violation of the Fourteenth Amendment's Due Process Clause reveals that, in determining the existence of a

fundamental right, the Court considers the nature of the right at issue rather than some very specific governmental restriction being challenged. For example, in Meyer v Nebraska (262 US 390, 401-403 [1923]) and in Pierce v Society of Sisters of Holy Names of Jesus & Mary (268 US 510, 534-535 [1925]), the Court considered whether parents had a right to be free from unwarranted governmental intrusion in decisions about how to educate their children. The Court did not frame the issue as whether there was a fundamental right for children to learn the German language or whether there was a fundamental right to attend a private school. In Skinner (316 US at 541), the Court considered whether there was a fundamental right to be free from unwarranted governmental intrusion in decisions about whether to have offspring, not whether a convicted criminal had the fundamental right to bear children. In Zablocki (434 US at 384-385, 388) and Turner v Safley (482 US 78, 95-96 [1987]), the Court considered whether there was a fundamental right to be free from unwarranted governmental intrusion in decisions to marry, not whether deadbeat dads or prison inmates in particular had a specific right to marry. Most recently, in Lawrence v Texas (539 US 558, 578 [2003]), the Court considered whether there is a fundamental right to be free from unwarranted governmental intrusion into matters of private, consensual sexual conduct, not whether there is a specific right to engage in homosexual sodomy. The very notion of "fundamental" rights reserved to all people naturally flows from the nature of a

written constitution that defines the limited power of the State. That notion reflects the view that people are beings possessed of personal dignity, human worth and individual autonomy. States exist to preserve that dignity, worth and autonomy. This state's and this nation's constitutional histories are clear in this regard -- states have only the powers conferred upon them by the people, not the other way around. Only totalitarian regimes view themselves as "dispensing" rights to people at the whim of a transitory majority or the favor of a particular faction.

The U.S. Supreme Court's rejection of anti-miscegenation statutes exposes the fallacy of Respondents' argument in this case. In *Loving* (388 US at 12), the Supreme Court did not ask whether there was a specific right to enter into an interracial marriage. Instead, the Court asked whether there was a fundamental right to be free from unwarranted governmental interference in decisions regarding marriage. After answering that question affirmatively, the Court considered whether the prohibition on interracial marriage was narrowly tailored to serve a compelling state interest and, of course, concluded it was not.

Significantly, the Supreme Court has since emphasized the broad basis of its decision in *Loving*. The Court has explained that its decision in *Loving* "could have rested solely on the ground that the statutes discriminated on the basis of race in violation of the Equal Protection Clause. . . . But the Court went on to hold that the laws arbitrarily deprived the couple of a fundamental liberty protected

by the Due Process Clause, the freedom to marry." (*Zablocki*, 434 US at 383 [citation omitted]). The California Supreme Court took a similarly broad perspective when it struck down an anti-miscegenation statute almost twenty years before *Loving*. Justice Traynor wrote:

"[Marriage] is a fundamental right of free men. There can be no prohibition of marriage except for an important social objective and by reasonable means. . . . Since the right to marry is the right to join in marriage with the person of one's choice, a statute that prohibits an individual from marrying a member of a race other than his own restricts the scope of his choice and thereby restricts his right to marry."

(Perez v Lippold, 32 Cal 2d 711, 715, 198 P2d 17, 19 [1948]).

And there is substantial New York Court of Appeals precedent speaking to the breadth of the fundamental right to marry under New York Law. As the motion court explained in the decision below:

"New York courts have analyzed the liberty interest at issue in terms that recognize and embrace the broader principles at stake... Indeed, as the Court of Appeals has consistently made clear, '[A]mong the decisions protected by the right to privacy, are those relating to marriage.' ([Doe v Coughlin, 71 NY2d 48, 52 [1987], cert. denied 488 US 879 [1988]]; see also [People v Shepard, 50 NY2d 640, 644 [1980]] (noting courts' willingness 'to strike down State legislation which invaded the "zone of privacy" surrounding the marriage relationship') [citation omitted]; [Levin v Yeshiva Univ., 96 NY2d 484, 500 [2001, Smith, J., concurring]] ('[M]arriage is a fundamental constitutional right'); [Mary of Oakknoll v Coughlin, 101 AD2d 931, 932 [3d]

Dept 1984]] ('[T]he right to marry is one of fundamental dimension'))."

(7 Misc 3d at 477-478).

In its March 13, 2006 amicus brief to this Court (at 21 n 26), the New York State Catholic Conference cites Justice Scalia's dissent in Casey (505 US at 980 n 1), asserting that the Equal Protection clause of the Federal Constitution "explicitly establishe[d] racial equality as a constitutional value." The Catholic Conference does so to support its effort to take the anti-miscegenation laws entirely out of the context of a "fundamental right to marry" analysis. Furthermore, as set forth in Points II.A. & II.B. below, after its ratification and until Loving, many courts rejected claims that the Fourteenth Amendment prohibited interracial marriage. Ultimately, just as *Perez* and *Loving* prohibited discriminatory views about proper marriage partners from interfering with individuals' fundamental right to marry their loved one, so too must discriminatory views about the sex of marital partners be prohibited from interfering with what is being sought here - affirmation of the fundamental right of free individuals to marry.

2. Respondents' Focus on the Historical Recognition of the Right to Marry Is Overly Narrow

Respondents argue that the right to marry must be narrowly viewed to include only opposite-sex marriages because fundamental rights are deeply

grounded in our nation's history. The First Department's majority opinion in *Hernandez* appears to make that assertion by pointing to decisional law in another jurisdiction (not considering New York's Constitution) reciting that "same-sex marriages are neither deeply rooted in the legal and social history of our Nation or state nor are they implicit in the concept of ordered liberty." (26 AD3d at 107 [citation omitted]). Of course, interracial marriage, too, was not "deeply rooted in the legal and social history of our Nation." Indeed, only its prohibition was deeply rooted in that history. In substance, the argument is contrary to constitutional jurisprudence and decisions striking down anti-miscegenation statutes because "deeply rooted" bigotry can never justify contemporary discrimination.

While the determination of a fundamental right looks to history and the ordered concept of liberty, Respondents can cite no New York case that requires tying the definition of a fundamental right to the state's "traditional" definition thereof. Indeed, it is hard to imagine that any form of discrimination can be styled as permissible merely because it has been "traditionally pervasive." The United States Supreme Court has never held that it will solely rely on history when evaluating a constraint on fundamental rights. In *Casey*, the Supreme Court stated:

"[S]uch a view would be inconsistent with our law. It is a promise of the Constitution that there is a realm of personal liberty which the government may not enter. We have vindicated this principle before. Marriage is mentioned nowhere in the Bill of Rights and interracial marriage was illegal in most States in the 19th century, but the Court was no doubt correct in finding it to be an aspect of liberty protected against state interference by the substantive component of the Due Process Clause..."

(505 US at 847-848).

Thus, the Supreme Court's analysis of fundamental rights is grounded in our Nation's historical tradition of protecting uniquely personal and intimate decisions from unjustified government intrusion, not in the history of some specific act or decision. "If the question whether a particular act or choice is protected as a fundamental right were answered only with reference to the past, liberty would be a prisoner of history." (Note, *Litigating the Defense of Marriage Act: The Next Battleground for Same-Sex Marriage*, 117 Harv L Rev 2684, 2689 [2004]).

"Clearly, the right to choose one's life partner is quintessentially the kind of decision which our culture recognizes as personal and important... The relevant question is not whether same-sex marriage is so rooted in our traditions that it is a fundamental right, but whether the freedom to choose one's own life partner is so rooted in our traditions."

(Brause v Bureau of Vital Statistics, No. 3AN-95-6562 CI, 1998 WL 88743, *4 [Alaska Super Ct Feb. 27, 1998], aff'd sub nom Brause v Alaska Dept. of Health & Soc. Servs., 21 P3d 357 [Alaska 2001]).

The history of laws prohibiting interracial marriages exposes the fallacy of the Respondents' argument: It was once argued that there is no fundamental right to marry someone of a different race because such marriages had

a long history of being prohibited. (See e.g. Lonas v Tennessee, 50 Tenn 287, 293-95 [1871]; Britell v Jorgensen (In re Takahashi's Estate), 113 Mont 490, 493-494, 129 P2d 217, 219 [1942]; Perez, 32 Cal 2d at 747, 198 P2d at 38 [Shenk, J., dissenting] (arguing that the prohibition of interracial marriage had a long history and twenty-nine states continued to have such laws)). Now, seeming to ignore this very history and basis for Loving, the Third Department wrongly declared that "the law in Loving did not seek to redefine the historical understanding of marriage. . . ." (Samuels, 2006 NY Slip Op 01213, *8). Indeed, in 1948, when the California Supreme Court struck down California's anti-miscegenation statute, Justice Carter acknowledged that "[t]he freedom to marry the person of one's choice has not always existed" but nonetheless concluded that the right was fundamental and that anti-miscegenation statutes impermissibly violated that right.³ (Perez, 32 Cal 2d at 734-735, 198 P2d at 31 [Carter, J., concurring]).

In *Loving*, the Supreme Court recognized an individual's fundamental right to be free from governmental intrusion in marriage because the Constitution requires it regardless of whether the common law permitted it. (388 US at 12).

As the motion court below in *Hernandez* set forth in ruling the prohibition of marriage for same-sex couples to be unconstitutional: "The challenges to laws banning whites and non-whites from marriage demonstrate that the fundamental right to marry the person of one's choice may not be denied based on longstanding and deeply held traditional beliefs about appropriate marital partners." (7 Misc 3d at 461).

Likewise, in *Perez*, the California Supreme Court recognized each individual's fundamental right "to join in marriage with the person of one's choice," despite the many historical restrictions imposed upon the exercise of that right. (32 Cal 2d at 717, 198 P2d at 21).

Until 1967, this Nation had a long and deep-seated history of disapproving of interracial marriages and the states expressed that disapproval through statutory prohibitions against miscegenation. The statutes were routinely defended as having "been in effect in this country since before our national independence." (Perez, 32 Cal 2d at 742, 198 P2d at 35 [Shenk, J. dissenting]). Indeed, anti-miscegenation laws were the most deeply embedded form of legal race discrimination in our nation's history—lasting over three centuries. (Peggy Pascoe, Why the Ugly Rhetoric Against Gay Marriage is Familiar to This Historian of Miscegenation [2004] < hnn.us/articles/4708.html > [last accessed April 12, 2006]). Accordingly, the assertion by the First Department (Hernandez, 26 AD3d at 107) that the motion court "redefine[ed] traditional marriage" and therefore "usurped the Legislature's mandated role to make policy decision as to which type of family unit works best for society" is predicated upon a narrow and erroneous characterization of the fundamental right to marry - a right so powerfully important that it trumped a definition of marriage (exclusive of

interracial, heterosexual marriage) that itself was deeply rooted in the legal and social history of this nation.

3. The Prevalence of Existing Laws Is Irrelevant

Respondents also suggest that there is no right to marry someone of the same sex because prohibitions on such marriages are still nearly universal in the United States. According to this theory, anti-miscegenation statutes should have remained constitutional as long as they remained prevalent. Such an argument is both historically and legally wrong.

As an initial matter, the sheer prevalence of a law does not determine its constitutionality. For example, *Lawrence* (539 US at 577-578) quoted from Justice Stevens's dissent in *Bowers v Hardwick*, 478 US 186, 216 [1986] -- "the fact that the governing majority in a State has traditionally viewed a particular practice as immoral is not a sufficient reason for upholding a law prohibiting the practice."

Moreover, disapproval of interracial marriage was also once commonplace. When anti-miscegenation statutes were challenged, states relied upon their prevalence and acceptance to defend them. (*E.g. Henkle v Paquet (In re Paquet's Estate)*, 101 Or 393, 399, 200 P 911, 913 [1921] (miscegenation statutes "have been universally upheld as a proper exercise of the power of each state to control its own citizens") [citation omitted]; *Kirby v Kirby*, 24 Ariz 9, 11, 206 P

405, 406 [1922]; Lee v Giraudo (In re Monks' Estate), 48 Cal App 2d 603, 612, 120 P2d 167, 173 [Ct App 1941], appeal dismissed 317 US 590 [1942]). Prohibitions on interracial marriage remained commonplace at the time those prohibitions were invalidated. As set forth below, when the California Supreme Court struck down an anti-miscegenation statute in 1948, thirty states had similar statutes. And when the Supreme Court struck down anti-miscegenation statutes in Loving, sixteen states still had similar statutes, and 75 percent of white Americans still opposed interracial marriage. (See Charlotte Astor, Gallup Poll: Progress in Black/White Race Relations, But is Still an Issue <usinfo.state.gov/journals/itsv/0897/ijse/gallup.htm> [last accessed April 2006]).

More importantly, prohibitions on interracial marriage did not become unconstitutional because they were found in fewer states; the laws were always contrary to constitutional principles. (*Perez*, 32 Cal 2d at 736, 198 P2d at 32 [Carter, J., concurring] ("the statutes now before us never were constitutional")). The fact that only sixteen states had such laws in 1967 may have made the Supreme Court's decision in *Loving* less controversial, but the Court's long-overdue decision was not based on the number of states having anti-miscegenation laws at the time.

Like the prohibitions on interracial marriage, prohibitions on the right of same-sex couples to enter into civil marriage cannot withstand serious constitutional scrutiny based on mere repetition of the claim that there is no fundamental right to "same-sex marriage" or because many states and members of the public continue to support such unconstitutional prohibitions.

B. Respondents' "Applied Equally" Argument Does Not Support The Prohibitions On Marriage Between Individuals Of The Same Sex

In addition to burdening a fundamental right, prohibitions on marriages between individuals of the same sex are discriminatory. Some argue that the prohibition does not discriminate because it applies equally to men and women. Claims of "equal treatment" were also made to justify prohibitions on interracial marriage. An examination of those claims and the cases that ultimately rejected those "justifications" should inform this case.⁴

The New York State Catholic Conference argues in its March 13, 2006 amicus brief to this Court (at 35) that the anti-miscegenation laws differ from gender-based marriage laws because the former were enacted with the *intent* to "stigmatize[] blacks as inferior to whites." For many of the reasons set forth in great detail in this brief, the New York State Catholic Conference's reasoning here is flawed. (See e.g. Points I.A.2. and II.A.). In any event, the anti-miscegenation laws prohibited interracial marriage in the same way the current law of New York bars same-sex couples from the institution of civil marriage. In both contexts, adult citizens are denied liberty and privacy rights; indeed, they are denied their fundamental right to choose the individual whom they wish to marry.

Defenders of anti-miscegenation statutes repeatedly argued that the statutes did not discriminate because they applied equally to both black and white people:

"[The prohibition] was not then aimed especially against the blacks... They have the same right to make and enforce contracts with whites that whites have with them, but no rights as to the white race which the white race is denied as to the black. The same rights to contract with each other that the whites have with each other; the same to contract with the whites that the whites have with blacks..."

(*Lonas*, 50 Tenn at 298-299). In 1877, the Alabama Supreme Court relied upon a similar rationale:

"[I]t is for the peace and happiness of the black race, as well as of the white, that such laws should exist. And surely there can not be any tyranny or injustice in requiring both alike, to form this union with those of their own race only, whom God hath joined together by indelible peculiarities, which declare that He has made the two races distinct."

(Green v Alabama, 58 Ala 190, 195 [1877]). Respondents' argument here echoes the 1883 words of the Missouri Supreme Court holding that "[t]he act in question is not open to the objection that it discriminates against the colored race, because it equally forbids white persons from intermarrying with negroes, and prescribes the same punishment for violations of its provisions by white as by colored persons..." (Missouri v Jackson, 80 Mo 175, 177 [1883]). Likewise, in 1921, the Supreme Court of Oregon upheld a ban on marriages between Native

Americans and whites, stating simply that "the statute does not discriminate. It applies alike to all persons..." (*In re Paquet's Estate*, 101 Or at 399, 200 P at 913). And, in 1942, the Supreme Court of Colorado stated: "There is here no question of race discrimination. The statute applies to both white and black." (*Jackson v City & Cty of Denver*, 109 Colo 196, 199, 124 P2d 240, 241 [1942]).

In 1948, the California Supreme Court finally rejected this unthinking mantra, explaining the fallacy of "equal application":

"It has been said that a statute such as section 60 does not discriminate against any racial group, since it applies alike to all persons whether Caucasian, Negro, or members of any other race... The decisive question, however, is not whether different races, each considered as a group, are equally treated. The right to marry is the right of individuals, not of racial groups. The equal protection clause of the United States Constitution does not refer to rights of the Negro race, the Caucasian race, or any other race, but to the rights of individuals."

(*Perez*, 32 Cal 2d at 716, 198 P2d at 20 [emphasis added; citation omitted]). Thus, the proper analysis of the issue focuses on the individual. Because a black individual was not permitted to marry an individual whom a white individual could marry, the anti-miscegenation statute was found to discriminate on the basis of race. Similarly, the statute discriminated on the basis of race because a white individual could not marry an individual whom a black individual could marry.

Almost twenty years later, the United States Supreme Court reached the same conclusion: "[W]e reject the notion that the mere 'equal application' of a

statute containing racial classifications is enough to remove the classifications from the Fourteenth Amendment's proscription..." (Loving, 388 US at 8; see also McLaughlin v Florida, 379 US 184, 191 [1964] ("Judicial inquiry under the Equal Protection Clause, therefore, does not end with a showing of equal application among the members of the class defined by the legislation")). For the same reason, any simplistic "equal application" argument must fail. Its rhetorical appeal is matched only by its logical weakness. Accordingly, the decisions of the First and Third Departments of the Appellate Division should be reversed.

II. HISTORICAL BACKGROUND

The majority and concurring opinions in *Hernandez* and the majority decision in *Samuels* suggest that *Loving* must be seen as a case about race discrimination alone. For the reasons set forth in great detail in this brief, *Amici* maintain that it is fully appropriate and instructive to look to the history of other civil rights struggles, and specifically to the history of anti-miscegenation laws, in resolving the issue before it now – the prohibition against civil marriage by samesex couples. At the core of both prohibitions lies the violation of an individual's right to marry.

A. Interracial Marriage Was Prohibited In This Nation For More Than 300 Years

The interracial marriage prohibition was deeply rooted in our Nation's history and tradition. Statutes prohibiting interracial marriage were enforced in American colonies and states for more than three centuries. (See Peter Wallenstein, Tell the Court I Love My Wife: Race, Marriage & Law—An American History 253-254 [2002], annexed hereto as Tab A). The first anti-miscegenation law was enacted in Maryland in 1661. (Rachel F. Moran, Interracial Intimacy: The Regulation of Race & Romance 19 [2001], annexed hereto as Tab B). Virginia followed suit soon after. (See id.).

Interracial marriage was so far outside of the realm of traditional marriage in colonial America that Virginia amended its anti-miscegenation law in 1691 to banish from the community any white person who married a "negro," "mulatto" or Indian. (Wallenstein, *supra*, at 15-16). Couched in "the language of hysteria rather than legalese," the avowed purpose of Virginia's 1691 law was to prevent "that abominable mixture and spurious issue" of whites with blacks or Indians. (*Id.* at 15).

Although the first American anti-miscegenation laws were enacted in the Chesapeake Bay colonies, they quickly spread throughout the country. Massachusetts enacted an anti-miscegenation law in 1705. (Carter G. Woodson, *The Beginnings of Miscegenation of the Whites and Blacks, in Interracialism:*

Black-White Intermarriage in American History, Literature & Law 42, 45, 49 [Werner Sollors ed., 2000], annexed hereto as Tab C). Pennsylvania passed its anti-miscegenation law in 1725, and Delaware enacted a similar law in 1726. (Charles Frank Robinson II, Dangerous Liaisons: Sex & Love in the Segregated South 4 [2003], annexed hereto as Tab D).

By the time of the Civil War, laws prohibiting interracial marriage covered most of the South and much of the Midwest, and they were beginning to appear in Western states. (See David H. Fowler, Northern Attitudes Toward Interracial Marriage: Legislation & Public Opinion in the Middle Atlantic & the States of the Old Northwest, 1780-1930 214-219 [1987], annexed hereto as Tab E). The proponents of these laws argued that they were necessary to uphold the law of nature:

"Hybridism is heinous. Impurity of races is against the law of nature. Mulattoes are monsters. The law of nature is the law of God. The same law which forbids consanguineous amalgamation; forbids ethnical amalgamation. Both are incestuous. Amalgamation is incest."

(Henry Hughes, *Treatise on Sociology, Theoretical & Practical* 239-240 [1854], annexed hereto as Tab F).

Although New York State never enacted an anti-miscegenation law, interracial relations were still subject to strong taboo here and vilified in the political arena. Indeed, the term "miscegenation" was first used in an anonymous

propaganda pamphlet printed in New York City in 1863. The term was coined from two Latin words meaning "to mix" and "race." The pamphlet - falsely attributed to the Republican Party and abolitionists -- advocated the "interbreeding" of the white and black races so that they would become indistinguishably mixed. The pamphlet was later exposed as a "dirty trick" instigated by Democrats to discredit Republicans. (See e.g. Encyclopedia of the United States in the Nineteenth Century, Miscegenation [2001] (as reproduced in History Resource Center by Gale Group), annexed hereto as Tab G; Encyclopedia of African-American Culture & History, Miscegenation & Intermarriage [1996] (as reproduced in History Resource Center by Gale Group), annexed hereto as Tab H; The Miscegenation Hoax < www.museumofhoaxes.com/miscegenation.html> [last accessed April 12, 2006]). Indeed, "Democrats invented [the term] in 1863 for the express purpose of demonizing black-white relationships and discrediting the Republican party." (Leslie M. Harris, In the Shadow of Slavery: African American in New York City, 1626-1863 191 [2003], annexed to hereto as Tab I).

During Reconstruction, Southern Democrats adopted the New York-minted term "miscegenation" and insisted on the necessity of preserving the sanctity of marriage by banning interracial marriage. (*See* Moran, *supra*, at 26). A few Southern states repealed their anti-miscegenation laws during Reconstruction, but societal pressure to spurn interracial relationships remained steadfast. (*Id.*).

When white Southern males regained control of their state legislatures after Reconstruction, they promptly reinstated anti-miscegenation laws. (See id. at 27).

Nor did ratification of the Fourteenth Amendment and its guarantee of equal protection bring any change in the courts' view of the constitutionality of these laws. Over the next century, scores of courts confronted challenges to these racial restrictions and (with only two exceptions) consistently upheld the laws on the basis of longstanding tradition, "equal" application to the races and the "logic" of prohibiting interracial marriage. For example, in 1878, the Supreme Court of Appeals of Virginia stated:

"The public policy of this state, in preventing the intercommingling of the races by refusing to legitimate marriages between them has been illustrated by its legislature for more than a century.... The purity of public morals, the moral and physical development of both races, and the highest advancement of our cherished southern civilization... all require that they should be kept distinct and separate, and that connections and alliances so unnatural that God and nature seem to forbid them, should be prohibited by positive law, and be subject to no evasion."

(Kinney v Virginia, 71 Va 858, 869 [1878]; see e.g. Dodson v Arkansas, 61 Ark 57, 60-61, 31 SW 977, 977-978 [1895] (anti-miscegenation law held not unconstitutional or even "affected" by amendments to Federal Constitution); Jackson, 80 Mo at 177 (traditional anti-miscegenation law held not to be discriminatory and violative of the Fourteenth Amendment to the Federal

Constitution as it applies equally to the races); *Green*, 58 Ala at 195-197 (same); *Lonas*, 50 Tenn at 312 (holding anti-miscegenation law unaffected by the Fourteenth Amendment to the Federal Constitution); *Indiana v Gibson*, 36 Ind 389, 393-394 [1871] (same); *Scott v Georgia*, 39 Ga 321, 323, 327 [1869] (upholding constitutionality of anti-miscegenation law and stating that "the offspring of these unnatural connections are generally sickly and effeminate")).

Despite the proliferation of anti-miscegenation laws, opponents of interracial marriage feared that state laws were insufficient to protect the sanctity of marriage. In December 1912, Representative Seaborn Roddenberry of Georgia proposed to amend the United States Constitution to declare "Intermarriage between Negroes or persons of color and Caucasians... is forever prohibited." (49 Cong Rec 502 [Dec. 11, 1912]). Leaders from around the country denounced interracial marriage. For example, Governor William Mann of Virginia called miscegenation "a desecration of one of our sacred rites." Even New York's Governor John Dix called it "a blot on our civilization" and "a desecration of the marriage tie [that] should never be allowed." (See Robinson, supra, at 79; see also Denise C. Morgan, Jack Johnson: Reluctant Hero of the Black Community, 32 Akron L Rev 529, 548 [1999]).

B. Marriage Prohibitions Extended To Numerous Racial Groups

Although the first anti-miscegenation laws targeted whites and blacks, many states expanded their application to other racial groups. (See Peggy Pascoe, Miscegenation Law, Court Cases & Ideologies of "Race" in Twentieth Century America, in Interracialism: Black-White Intermarriage in American History, Literature & Law 178, 183 [Werner Sollors ed., 2000], annexed hereto as Tab J). Twelve states prohibited marriage between whites and Native Americans. (Id.). After the mid-eighteenth century, when people from the Far East began to immigrate to the United States, states with substantial populations of Chinese and Japanese responded by enacting anti-miscegenation laws prohibiting marriage between whites and "Mongolians." (Moran, supra, at 28-36).

As new "nonwhite" immigrant communities formed, states amended their anti-miscegenation laws to prevent marriages between whites and these immigrants. (*Id.* at 31-32). In 1862, Oregon passed its first anti-miscegenation law. (*See* 1862 Or Laws § 63-102). In 1866, Oregon amended the statute to prohibit marriage between "any white person, male or female" and "any negro, Chinese, or any person having one fourth or more negro, Chinese, or Kanaka [Native Hawaiian] blood, or any person having more than one-half Indian blood." (*See* 1866 Or Laws § 23-1010).

In 1850, California enacted a law prohibiting marriages between "white persons" and "negroes or mulattoes." (Leti Volpp, American Mestizo: Filipinos & Anti-Miscegenation Laws in California, in Mixed Race America & the Law: A Reader 86 [Kevin R. Johnson ed., 2003], annexed hereto as Tab K). Then, in 1878, California amended its constitution to restrict the intermarriage of whites and Chinese. (See Moran, supra, at 31). Shortly thereafter, the California Legislature amended the Civil Code to ban the union of "a white person with a negro, mulatto, or Mongolian." (Id. [citation omitted]). Later, it amended the law to include "members of the Malay race" as well. (See id. at 38 [citation omitted]).

The specific targets of anti-miscegenation laws varied from state to state, as different racial or national groups were singled out by specific statutes reflecting legislative bigotry directed at particular racial groups. (Randall Kennedy, *Interracial Intimacies: Sex, Marriage, Identity & Adoption* 220 [2003], annexed hereto as Tab L). Other states enforced their anti-miscegenation policies on the basis of judicial decisions that turned on white/non-white distinctions. For example, Virginia voided a marriage between a white person and a person of Chinese descent on the basis of that state's statute making it "unlawful for any white person in this State to marry any save a white person, or a person with no other admixture of blood than white and American Indian." (*See Naim v Naim*,

197 Va 80, 81, 87 SE2d 749, 750 [citation omitted], vacated and remanded 350 US 891 [1955], adhered to 197 Va 734, 90 SE2d 849 [1956]). All told, thirty-eight states had anti-miscegenation laws in effect at one time or another. (See Wallenstein, supra, at 253-254). By the end of World War II, thirty states still had such statutes. (See id. at fig. 8).

Of course, affluent people could avoid certain consequences of the anti-miscégenation laws. For example, John Mercer Langston, the first African American to be elected to public office and the founder in 1868 of the Howard University School of Law, was able to succeed to the wealth of his white father (and the opportunities that such wealth would enable) as a result of his father's capacity to contract around certain consequences of Virginia's anti-miscegenation laws to ensure that his children would inherit his wealth. Upon their parents' deaths, those children, including John Mercer Langston, were taken in by a family friend in a free state -- Ohio. (See John Mercer Langston Bar Assn web site <www.jmlba.org/JMLBio.htm> [last accessed April 12, 2006]; Kansas St Hist Soc'y web site <www.kshs.org/publicat/history/1999winter sheridan.htm> [last accessed April 12, 2006]). Similarly, same-sex couples of means who are denied the right to marry can, with respect to at least a certain few of the benefits attendant to marriage (i.e., rights of succession), contract for the same, albeit privately and at great expense. This juxtaposition highlights yet another dimension to the inequity

that flows from the deprivation of equal marriage rights -- a built-in preference for those affected persons of means.

State anti-miscegenation laws were considered constitutional until 1967, when the U.S. Supreme Court struck down such discrimination as an unconstitutional interference with an individual's fundamental right to marry. (Loving, 388 US at 12; see also Naim, 197 Va at 81, 87 SE2d at 750; Kinney, 71 Va at 869; Dodson, 61 Ark at 60-61, 31 SW at 977-978 (anti-miscegenation law held not unconstitutional or even "affected" by amendments to Federal Constitution); Jackson, 80 Mo at 177 (traditional anti-miscegenation law held not to be discriminatory and violative of the Fourteenth Amendment to the Federal Constitution as it applies equally to the races); Green, 58 Ala at 195-197 (same); Lonas, 50 Tenn at 312 (holding anti-miscegenation law unaffected by the Fourteenth Amendment to the Federal Constitution); Gibson, 36 Ind at 393-394 (same); Scott, 39 Ga at 323, 327 (upholding constitutionality of anti-miscegenation law and stating that "the offspring of these unnatural connections are generally sickly and effeminate").5

⁵ Clearly, a lengthy catalog of discriminatory law cannot, as argued by Respondents, effectively justify the perpetuation of the discrimination in question. Not one iota of the resoundingly "democratic" bigotry expressed through statutes, public opinion and legal decisions rendered the same constitutionally permissible.

C. Anti-Miscegenation Laws Enjoyed Vast Popular Support

Bans on interracial marriage reflected contemporary public sentiment. In 1958, a Gallup Poll indicated that 96 percent of all Americans opposed interracial marriage. (See Nicholas D. Kristof, Marriage: Mix and Match, NY Times, Mar. 3, 2004, at A23). In 1972—five years after the Supreme Court declared bans on interracial marriage unconstitutional—a Gallup Poll reported that 75 percent of all white Americans still opposed interracial marriage. (See Astor, supra). Indeed, it was not until 1998 that the voters of the State of South Carolina voted to amend that state's constitution to eliminate the anti-miscegenation law that had been enshrined in that constitution 103 years earlier. Judy Sheppard, Alabama Voters May Bury Interracial Marriage Ban; It Hasn't Had Legal Force For Decades, Atlanta Const., Sept. 26, 2000, at 11A. And in 2000, Alabama became the last state to repeal its anti-miscegenation law, with 40 percent of its electorate voting to keep the prohibition on the books. (TheFreeDictionary.com, *Miscegenation* <www.encyclopedia.thefreedictionary.com/miscegenation> accessed April 12, 2006]).

CONCLUSION

For the reasons set forth above, NYCLA and NBJC, as *amici curiae*, respectfully request this Court to find New York's prohibition on marriage between same-sex partners unconstitutional and reverse the decisions of the First and Third Departments of the Appellate Division below that denied same-sex-couples the same right to enter into civil marriages that is enjoyed by the heterosexual citizens of New York State.

Dated: New York, New York April 12, 2006

Respectfully submitted,

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ADDENDUM

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TELL THE COURT I LOVE MY WIFE RACE, MARRIAGE, AND LAW—AN AMERICAN HISTORY

PETER WALLENSTEIN



"Indian Foremothers" by Peter Wallenstein, from The Devil's Lane: Sex and Race in the Early South, edited by Catherine Clinton and Michele Gillespie, (c) 1996 by Catherine Clinton and Michele Gillespie. Used by permission of Oxford University Press, Inc.



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First published in hardcover in 2002 by Palgrave Macmillan First PALGRAVE MACMILLAN(tm) paperback edition: January 2004

175 Fifth Avenue, New York, N.Y. 10010 and Houndmills, Basingstoke, Hampshire, England RG21 6XS. Companies and representatives throughout the world.

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ISBN 1-4039-6408-4

Library of Congress Cataloging-in-Publication Data

Wallenstein, Peter.

Tell the court I love my wife: race, marriage, and law: an American history / by Peter Wallenstein.

p. cm. Includes index.

ISBN 1-4039-6408-4

1. Interracial marriage—Law and legislation—United States—History. I. Title. KF 511.W35 2002

346.7301'6-dc21

2002072510

A catalogue record for this book is available from the British Library.

Design by Letra Libre

First PALGRAVE MACMILLAN paperback edition: January 2004

10 9 8 7 6 5 4 3 2 1

Printed in the United States of America.

For my miracle, Sookhan

CHAPTER I

SEX, MARRIAGE, RACE, AND FREEDOM IN THE EARLY CHESAPEAKE

"For prevention of that abominable mixture and spurious issue which hereafter may encrease in this dominion, as well by negroes, mulattoes, and Indians intermarrying with English, or other white woman, as by their unlawfull accompanying with one another"

-Law of Virginia (1691)

No wedding photos, no baby pictures, commemorate the events. John Rolfe and Pocahontas married in 1614, and their son Thomas was born in 1615, when the English colony that was planted in 1607 at Jamestown, Virginia, was still very new. Multiracial Virginians originated as early as that time, and many people—sojourners and residents, English and Native Americans alike—welcomed the interracial marriage that enhanced the likelihood of peace in the Chesapeake region of North America.¹

No law at that time specifically governed interracial sex, interracial marriage, or multiracial children. Law or no law, few whites married Native Americans in colonial Virginia, so the union of John Rolfe and Pocahontas proved a notable exception. Restrictive laws, when they emerged, reflected lawmakers' overriding concerns regarding Virginians of African ancestry, but they affected people in all other groups, too. At about the same time that Virginia began to legislate on the identity and status of mixed-race people, Maryland did as well.

When slavery supplanted servitude in supplying a labor force for the Chesapeake colonies, more African Americans lived in Virginia and Maryland combined than in all the other British North American colonies put together. For some years after the American Revolution, the two states on the Chesapeake Bay continued to contain a majority of all people with African ancestry living in the

new nation. Thus the Chesapeake region generated the dominant experience of black and multiracial people in the settler societies of British North America and the early American republic.

Race, sex, slavery, and freedom commingled with society, economics, politics, and law in Virginia and Maryland in various and changing ways. In 1607—just before men on three ships from England made their way up what they named the James River, arrived at a place they called Jamestown, and established a colony there—the many residents of the Chesapeake region were all Native Americans. Over the next two centuries, newcomers and their progeny from both Europe and Africa soared in numbers while Indians seemed to vanish.

If the patterns had been more simple than they were, it might be possible to speak as though everyone was either white or black, and as though all blacks were slaves, whether in 1750 or 1850. But such was not the case, and boundaries were not so clear. Some black residents were free; Indians refused to vanish; and many people in Maryland and Virginia were multiracial. Some mixed-race people, though born unfree, were designated to remain so only for specific (though lengthy) periods—18, 21, 30, or 31 years. Some people, moreover, though born into lifelong slavery, gained their freedom.

Within marriage or outside it, people of European origin had children with Native Americans or people of African ancestry. This chapter and the next explore each of those complicating features of the social landscape, emphasizing two groups, those descended from white mothers and black (or mixed-race) fathers and those claiming Indian foremothers. Both chapters focus on a region—where most Virginians lived, east of the Blue Ridge mountains—whose population, in the years between 1760 and 1860, was roughly half white and half nonwhite, half free and half slave. In many times and places, only a minority was white, yet only a minority was slave. Tilting the balance was a middle group of people who were considered free but not white. This chapter takes a fresh look at their origins. In particular, it offers a history of the beginnings of legal restrictions on marriage between colonists who were defined as white and people who were defined as nonwhite.

Like Mother, Like Child

Before a law of race could fully develop, definitions of racial categories had to be put in place. In seventeenth-century Virginia and Maryland, these took a while to develop, although some kind of line separating white from nonwhite was ever-present. When, for example, the Virginia House of Burgesses wanted to refer to people of various groups, Europeans might variously be termed "Christians," "English," and "English or other white" persons. Race or color, re-

ligion, language or nation of origin—any category might do. Other people tended to get lumped under such categories as "negroes, mulattoes, and other slaves"; "negroe slaves"; "Indians or negroes manumitted, or otherwise free"; and any "negroe, mulatto, or Indian man or woman bond or free."²

In 1662, Virginia's colonial assembly first addressed the question of the status of the children of interracial couples. The question before the legislators was whether "children got by any Englishman upon a negro woman should be slave or ffree." The new law supplied a formula: "all children borne in this country [shall be] held bond or free only according to the condition of the mother." 3

According to the 1662 law, children would follow the status of their mothers. Slave women would have slave children, regardless of who the father was; if she were a slave, then any child she had, even with a white father, would be a slave. Free women, whether white or not, would have free children, again no matter who the father was; if the woman was free, her child—black, white, or mixed-race—would be free too. All depended on whether the woman—whatever her racial identity—was slave or free. The father's identity did not matter, so neither could his race or his status. Moreover, the 1662 law assumed that the mixed-race child was born to a couple who were not married to each other—in many cases, a slave woman and the white man who owned her. It did not address the question of interracial marriage itself.

Marriage, Children, and the Racial Identity of the Father

A successor act in 1691 took on the matter of marriage. That year, the Virginia assembly took action against sexual relations between free whites and non-whites, at least in certain circumstances, regardless of whether the couple were single or had married. As a rule, colonial governments and churches fostered marriages between adults, but—reflecting a widespread pattern in colonial America—the Virginia assembly was not necessarily going to do any such thing regarding interracial unions. Slaves could contract no marriages that the law recognized. Free people could, but, after 1691, white people were not free to marry across racial lines. Prior to this time, some white women had married nonwhite men; the assembly tried to curtail the practice, punish infractions, and contain the consequences.⁴

The 1691 act, couched in the language of hysteria rather than legalese, was designed "for prevention of that abominable mixture and spurious issue which hereafter may encrease in this dominion, as well by negroes, mulattoes, and Indians intermarrying with English, or other white woman, as by their unlawfull accompanying with one another." In the cultural world that these legislators inhabited, it was anathema for white women to have sexual relations

with nonwhite men. For the relationship to be sanctified in marriage was no better—if anything, it was worse—than if the couple remained unwed.⁵

The 1691 statute targeted sexual relations between white women and black men (the "abominable mixture") and the children of such relationships (the "spurious issue"). The first thing the new law did was to outlaw interracial marriage for white men and white women alike. Actually, it did not ban the marriage but, rather, mandated the banishment of the white party to any interracial marriage that occurred, if that person was free and thus owed labor to no planter: "Whatsoever English or other white man or women being free shall intermarry with a negroe, mulatto, or Indian man or woman bond or free, shall within three months after such marriage be banished and removed from this dominion forever." If the bride in the interracial couple was white, then she would vanish from Virginia, and her mixed-race child would be born and raised outside Virginia.

The law began by condemning all marriages between whites and nonwhites, but its main intent was to target white women who strayed across racial lines, whether they actually married nonwhite men or not. An occasional white woman, even though unmarried, would have a child whose father was "negro or mulatto" (here lawmakers did not include Indians). Concerned about that contingency, legislators targeted the white mothers of interracial children—"if any English woman being free shall have a bastard child by any negro or mulatto," she must, within a month of the birth, pay a fine of 15 pounds sterling to the church wardens in her parish. Her crime, such as it was, entailed a sexual relationship with a nonwhite man—in particular, a relationship that resulted in a mixed-race child.⁷

If the white mother of a multiracial child was free but could not pay the fine, the church wardens were to auction off her services for five years. The penalty called for her to pay in either money or time, property or liberty. But if she was an indentured servant, the law did not mean to punish her owner by denying him her labor (and thus his property). If she was a servant and thus not the owner of her own labor at the time of the offense, her sale for five years would take place after she had completed her current indenture.

In view of the provision for banishment, few white Virginians involved in interracial marriages would still be in the colony when their children came along. But this addressed only the question of the children—the "spurious issue"—of white women who actually went through a wedding ceremony, whose relationship would have been, before 1691, lawful. What about children whose parents' "accompanying with one another" was "unlawful"—that is, the couple was unmarried? Any "such bastard child," mixed-race and born in Virginia, was to be taken by the wardens of the church in the parish where the child was born and

"bound out as a servant... untill he or she shall attaine the age of thirty yeares."8

If the mother stayed in Virginia and retained her freedom, therefore, she lost her child, who would be bound out as a servant until the age of 30. As is evident from this act, mixed-race children troubled the Virginia assembly if their mothers were white, not if they were black. The old rule continued to operate for the mixed-race children of white fathers, but a new rule targeted the problem of mixed-race children of white mothers. The law said nothing, however, about the nonwhite father of a white woman's child. It imposed no penalty of loss of labor or liberty, though it surely broke up any family there might have been. The father was important to the law because, regardless of whether he was free or slave, he was nonwhite and had fathered a child by a white woman. But the penalties were imposed on the woman and the child.

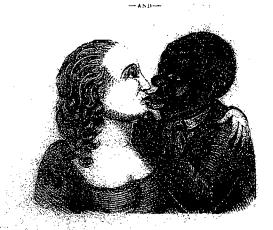
The status, slave or free, of the child of a white man and a black woman continued, under the 1662 law, to depend on the status of the mother. The 1691 legislature worried about other questions, and it devised a new rule to address them. The new rule meant that the father's identity could be as important as the mother's. By 1691, the central question regarding the status of a child in Virginia had to do with whether the mother was white or black as much as whether she was free or slave. Most black women were slaves, so most children of black women would be slaves, although nonslave, nonwhite mothers would still bear nonslave children. If the mother was white, the answer depended on the racial identity of the father.

The legislature had, as its primary object, seeing that white men retained exclusive sexual access to scarce white women. It also had, as a significant secondary object, propelling the mixed-race children of a white mother out of the privileged white category and into a racial category that carried fewer rights, and out of the group born free and into long-term servitude to a white person.⁹

Eighteenth-Century Amendments

Legislation in 1705 modified the 1691 statute in several significant ways. In framing an act "declaring who shall not bear office in this country" that excluded "any negro, mulatto, or Indian," the Virginia legislature defined "mulatto"—for the purpose of "clearing up all manner of doubts" that might develop regarding "the construction of this act, or any other act"—as "the child, grand child, or great grand child, of a negro." It thereby defined as "mulatto" any mixed-race Virginian with at least one-eighth African ancestry. The statute probably sufficed at the time to exclude virtually all Virginians with any traceable African ancestry. In 1705, only some 86 years after the arrival in 1619 of

WHAT MISCEGENATION IS!



WHAT WE ARE TO EXPECT

Now that Mr. Lincoln is Re-elected.

By L. SEAMAN, LL D. ON OF WASHINGTON

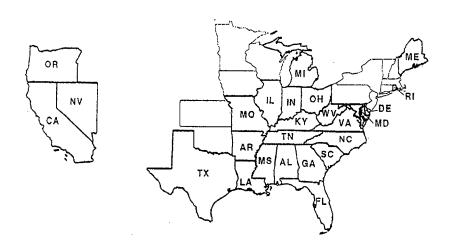
WALLER & WILLETTS, Pentishers, NEW YORK

Figure 4. What Miscegenation Is! (1865). The word was widely adopted soon after its introduction in the 1864 presidential election year, and this pamphlet—its caricature of an African American man with a Caucasian woman reflecting, and designed to foster, fears of black men mixing with white women—came out soon after Abraham Lincoln was reelected. Courtesy of the Library of Congress.



(left) Figure 5. Justice John Marshall Harlan was the U.S. Supreme Court's sole dissenter in The Civil Rights Cases (1883) and again in Plessy v. Fetguson (1896), but in Pace v. Alabama (1883), also about the Fourteenth Amendment and "equal protection of the laws," he failed to dissent, so the Court unanimously upheld a miscegenation statute. Courtesy of the Library of Congress.

(below) Figure 6. The South was solid in its allegiance to the antimiscegenation regime in 1866-one year after the Confederacy's defeat in 1865 and one year before Congress passed the Reconstruction acts of 1867. But many states outside the South also had such laws at that time. Some .. of the former Confederate states had just inaugurated such laws during the previous year; and seven-whether by legislative or judicial actionsoon dropped their miscegenation laws for at least a few years. Produced by John Boyer, geography department, Virginia Tech.



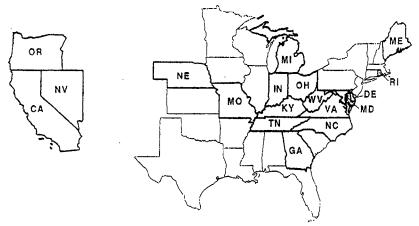


Figure 7. Of the 37 states in 1874, at least 9 (of 21) in the North and another 9 (of 16) in the South had miscegenation laws. Many western territories (not shown here) also had such laws, but most states of the Lower South had lifted them. Produced by John Boyer, geography department, Virginia Tech.

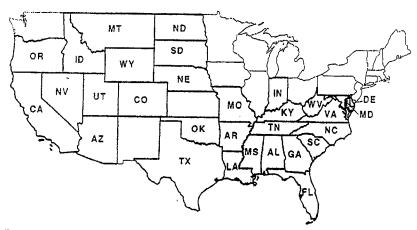


Figure 8. Between 1913 (when the last state enacted such a law) and 1948 (when the California Supreme Court overturned that state's law), the antimiscegenation regime's power was at its peak, and its territory held at 30 of the 48 states. Produced by John Boyer, geography department, Virginia Tech.

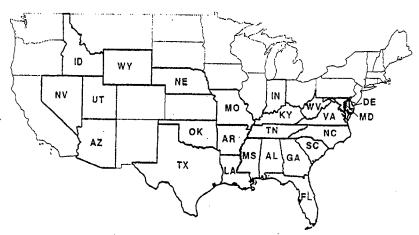


Figure 9. When the Lovings were arrested in 1958 in Virginia for their interracial marriage, 24 of the 48 states still had miscegenation laws on the books. Virginia's law dated all the way back to 1691, Wyoming's only to 1913. Produced by John Boyer, geography department, Virginia Tech.

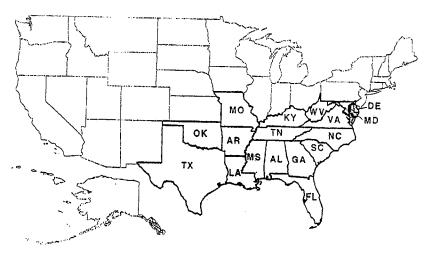


Figure 10. By 1966, the territory controlled by the antimiscegenation regime had shrunk to one-third of the nation—17 of the 50 states, clustered in the South. Into the 1960s, laws that banned interracial marriage continued to be enforced in those states. Produced by John Boyer, geography department, Virginia Tech.

APPENDIX I

PERMANENT REPEAL OF STATE MISCEGENATION LAWS, 1780-1967

The territory governed by the antimiscegenation regime kept changing. After beginning in the seventeenth century in the Chesapeake colonies, it spread north as well as south and then, in the nineteenth century, west to the Pacific. Over the years, some states peeled away from the regime, either temporarily or permanently. Suspensions of miscegenation laws took place in most of the Deep South during Reconstruction but proved temporary. With restoration there, and repeal in some northern states, the territory took on its twentieth-century contours, and was eventually—very briefly—restricted to the South.

As many as 12 states (or as few as 8) never had laws restricting interracial sex or marriage. Four of these were among the original 13 states: New Hampshire, Connecticut, New Jersey, and New York (although New York, when it was New Amsterdam, a Dutch colony, had a law against interracial sex). Five other states never had such laws: Vermont, Minnesota, and Wisconsin, together with Hawaii and Alaska, both admitted in 1959. Three territories had such laws for a time but repealed them before statehood: Kansas (1859), New Mexico (1866), and Washington (1868); Wyoming did so, too (1882), but then it passed a new miscegenation law in 1913.

Between 1780 and 1887, 8 states (in addition to those 3 territories) permanently repealed their miscegenation laws (and 7 southern states abandoned the antimiscegenation regime for some years after 1867). Then, for many years, no states repealed such measures, while additional states inaugurated miscegenation laws as late as 1913, and 30 states (out of 48) retained those laws at the end of World War II. Repeal by 13 of the 30 by 1965 left 17 holdout states—Maryland (which repealed its law shortly before the Supreme Court handed down the decision in *Loving v. Virginia* in June 1967) and 16 other states, from Delaware

2.5%

Florida, Georgia, Kentucky, Louisiana, Mississippi, Missouri, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, Virginia, and West Virginia.

A list of states with miscegenation laws follows, together with the years in which—through state action, between 1780 and the eve of the *Loving* decision in 1967—they permanently ended their participation in the antimiscegenation regime:¹

Pennsylvania	1780
Massachusetts	1843
Iowa	1851
Illinois	1874
Rhode Island	1881
Maine and Michigan	1883
Ohio	1887
California (court decision)	1948
Oregon	1951
Montana	1953
North Dakota	1955
South Dakota and Colorado	1957
Idaho and Nevada	1959
Arizona	1962
Utah and Nebraska	1963
Wyoming and Indiana	1965
Maryland	1967

APPENDIX 2

INTERMARRIAGE IN NAZI GERMANY AND APARTHEID SOUTH AFRICA

The antimiscegenation regime in America endured from a Maryland law in 1664 to the Supreme Court decision in *Loving* v. *Virginia* in 1967; corresponding systems developed in the twentieth century on other continents. In Europe, Germany's was born in 1935, and it died with Allied victory in World War II in 1945. A South African version, in place by 1949, was repealed in 1985; and Protas Madlala and American-born Suzanne Leclerc married that summer. ¹

For ten years, the color line in the law of marriage and the family in the United States had its counterparts in Hitler's Germany. Who had what racial identity? What pool of prospective marriage partners did that identity allow? What was the status, and the identity, of the children of a mixed marriage? What penalties might await violations of the law of race and marriage? A number of the major themes of America's antimiscegenation regime recurred in Hitler's Germany under the Nuremburg Laws of 1935. Though American culture tends to view the term "Jewish" as connoting "religion" rather than "race," race was the more relevant category in Hitler's Germany. There the preferred equivalent for the term "miscegenation" was "Rassenschande," or "race defilement."

Under the Nazi regime, people were classified in terms of their ancestry going back two generations, and that classification could change if a grandparent remarried and this time the spouse was Jewish rather than Aryan. Germans were divided into several categories, chiefly "Jews" (people with either three or four Jewish grandparents) and "Aryans" (who had none), although "mixed blood" people, "Mischlinge," fell in between. The rules governed which group could marry within which other groups. Mixed marriages were viewed as better if the man was "Aryan" than if he was the "Jewish" partner.

Mixed marriages already entered into could cause enough of a problem, but entering new ones could be out of the question. Authorities and informal influences alike pressured people in mixed marriages to separate and divorce. Partners

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Interracial Intimacy

The Regulation of Race

Rachel F. Moran

The University of Chicago Press Chicago & London The University of Chicago Press, Chicago 60637
The University of Chicago Press, Ltd., London
© 2001 by The University of Chicago
Paperback edition 2003
All rights reserved. Published 2001
Printed in the United States of America
12 II 10 09 08 07 06 05 04 03 2 3 4 5

ISBN: 0-226-53662-9 (cloth) ISBN: 0-226-53663-7 (paperback)

Library of Congress Cataloging-in-Publication Data

Moran, Rachel F.

Interracial intimacy : the regulation of race and romance / Rachel F. Moran. $\,$

p. cm, Includes index.

ISBN 0-226-53662-9 (cloth : alk. paper)

1. Miscegenation—Law and legislation—United States—History. 2. Interracial marriage—United States. 3. United States—Race relations. I. Title.

KF4757 .M667 2001
305.8'00973—dc21

800110-00

 ⊕ The paper used in this publication meets the minimum requirements of the American National Standard for Information Sciences—Permanence of Paper for Printed Library Materials, ANSI Z39.48-1992. To my parents, who taught me how to cross boundaries and how to love

30. A

Conclusion

Williams's memoir reminds us of the power of race and intimacy. Looking back on his early years as a boy navigating uneasily between black and white worlds, he realizes that all of his later professional success cannot shield him from the impact of his racial heritage and family ties:

I was fortunate to be able to achieve my goal of becoming a lawyer, and later my dream of being a law professor. I have held positions that even in my wildest fantasies during the nights at 6011/2 Railroad Street I could not envision for myself. Yet when I stand in front of students, my mind often wanders back to the pain and rejection of the Muncie years. Almost as if it were yesterday, I vividly recall watching Dad being beaten by the police, and the day we were chased from the "white" waiting room in Louisville. I never felt more impotent and powerless to control my life than I did in those days. When I think of those times, I remember what Dad used to say:

"Son, one day this will all pale into insignificance."

He was wrong. Muncie has never paled into insignificance. It has lived inside me forever. 42

The time is long overdue to recognize the singular importance of interracial intimacy. It has not paled into insignificance, nor should it. Interracial intimacy is far more than an incidental consequence of racial equality or a particular proof of personal autonomy. As this book will show, those who choose love across the color line challenge the conventional wisdom that racial equality can be achieved in the absence of a rich network of interracial relationships and that love is truly free when it is cabined by pervasive segregation.

TWO

Antimiscegenation Laws and the Enforcement of Racial Boundaries

ANY HISTORY OF antimiscegenation laws must begin with the regulation of black-white intimacy, but it must not end there. Laws barring sex and marriage between blacks and whites had the longest history and the widest application in the United States. As one historian of intermarriage has pointed out, however, antimiscegenation "laws were enacted first-and abandoned last-in the South, but it was in the West, not the South, that the laws became most elaborate. In the late nineteenth century, western legislators built a labyrinthine system of legal prohibitions on marriages between whites and Chinese, Japanese, Filipinos, Hawaiians, Hindus, and Native Americans, as well as on marriages between whites and blacks." At one time or another, thirtyeight states adopted laws regulating interracial sex and marriage. All of these laws banned black-white relationships, but fourteen states also prohibited Asian-white marriages and another seven barred Native American-white unions.2 No state ever officially banned Latino-white intermarriage, though, presumably because treaty protections formally accorded former Spanish and Mexican citizens the status of white per-

Antimiscegenation laws have played an integral role in defining racial identity and enforcing racial hierarchy.3 To understand the distinctive ways in which antimiscegenation statutes were used to establish norms about race, it is essential to focus on the two groups that suffered the most onerous legal burdens: blacks and Asians. For blacks, the laws identified them as diminished persons marked with the taint of slavery and inferiority, even after they were nominally free. Although the statutes 17 formally limited the freedom of blacks and whites alike, the restrictions clearly functioned to block black access to the privileges of associating with whites. For Asians, antimiscegenation laws confirmed their status as unassimilable foreigners. Already marked as racially distinct and unfit for citizenship by federal immigration laws, state constraints on intermarriage prevented Asian male immigrants from integrating into communities by thwarting their sexuality, hindering them from developing ties to the United States through marriage, and deterring them from having children who would be American citizens by birth. For both blacks and Asians, segregation in sex, marriage, and family was a hallmark of intense racialization and entrenched inequality.

The Black Experience: Drawing the Color Line and Keeping It in Place

The regulation of sex and marriage played a singularly important role in drawing the color line between whites and blacks. Antimiscegenation laws in the South laid a critical foundation for securing the full personhood of whites and entrenching the diminished status of blacks. Whenever racial ambiguity threatened the established social order, statutory restrictions on interracial sex and marriage were imposed to keep the color line firmly in place. During the colonial era, Southern states faced special challenges in drawing racial boundaries and establishing sexual norms. In New England, settlers were mostly farmers and artisans who arrived with families, settled in towns, and had strong religious traditions. In these homogeneous communities, same-race families were the norm and sex outside of marriage was relatively rare. 4 By contrast, in the Chesapeake world of Virginia and Maryland, settlers came from a wide range of backgrounds. Many arrived alone as indentured servants, who had contracted to work until they paid for their passage to America. No sense of community based on shared origins, townships, or religious beliefs bound the newcomers together. Men outnumbered women by four to one. In addition, the scarcity of marriageable women was exacerbated because indentured female servants could not marry until they completed their terms of service. Under these circumstances, rates of extramarital sex and out-of-wedlock pregnancy soared despite laws punishing fornication, adultery, and rape.5

When slavery began to replace indentured servitude as the primary source of labor in the upper South during the last decades of the seven-

teenth century, white indentured servants often worked in close proximity to black slaves. In some instances, coworkers became sexual intimates, and interracial sex and marriage began to blur the color line.⁶ Antimiscegenation laws became a way to draw a rigid boundary between slave and free, black and white. Maryland enacted the first antimiscegenation statute in 1661, and Virginia followed suit one year later. Even before that, Virginia authorities in the 1630s and 1640s had whipped and publicly humiliated those who participated in interracial sexual liaisons.⁷

By punishing interracial sex severely, authorities in Maryland and Virginia sent a clear message that whites were not to adopt the sexual practices of slaves. Slaves typically did not enjoy access to the formal institution of marriage, although they did conduct their own slave marriage rituals. Some slaves practiced polygamy or polygyny, and many did not condemn premarital intercourse. Without social stigma, a woman might have sex and even bear children by a man before having been recognized by other slaves as "married" to him. Legislation prohibiting interracial intimacy clearly condemned these alternative sexual and marital practices as heathen and unfit for right-minded, white Christians.

In the early settlement years, interracial marriage had been tolerated, presumably because of the uncertain racial status of blacks and the shortage of women. As the institution of slavery was consolidated in the late seventeenth century, marriages across the color line became anomalous and dangerous exceptions to the emerging racial hierarchy. Interracial unions enabled black women to control access to their sexuality through marriage, and it enabled black men to occupy a superior position to white women in a patriarchal institution that treated the husband as master. Marriages across the color line could give blacks and their mixed-race offspring access to white economic privileges by affording them the property protections that marriage and inheritance laws offered.9 Black-white marriages threatened the presumption that blacks were subhuman slaves incapable of exercising authority, demonstrating moral responsibility, and capitalizing on economic opportunity. If whites could share their emotional lives and economic fortunes with blacks, how could blacks be anything less than full persons?

The Chesapeake colonies enacted statutes to ensure that, rather than benefit blacks, interracial marriages would simply degrade Whites. Under Virginia's 1691 law, a white spouse was to be banished from the colony within three months of an interracial wedding. In 1705, Virginia authorized jail sentences of six months for whites married to blacks or mulattoes. In Maryland, "freeborne English women" who married

"Negro slaves" were required to serve their husbands' masters during their husbands' lifetimes. 10 These laws stripped whites of racial privileges based on their intimacy with blacks.

Despite these harsh sanctions, some whites paid the price to marry across the color line. In Maryland in 1681, Nell Butler, known as "Irish Nell," fell in love with a slave known as "Negro Charles." When Nell, an indentured servant, informed Lord Baltimore, her master, of the planned marriage, he warned her that she and all her descendants would live as slaves. Unswayed and defiant, Nell replied that she would rather marry Charles than Lord Baltimore himself. She did marry Charles and spent the rest of her life working for his masters, probably as an indentured servant. Had she not married Charles, her contract of servitude with Lord Baltimore would have ended in four or five years. Nell reportedly died "much broken and an old woman." Still, Lord Baltimore was wrong about Nell's offspring. In the eighteenth century, a Maryland court held that neither Nell nor her descendants could be slaves. Subsequently, masters complained of runaway mulatto slaves who claimed to be "descendants of the famous Nell Butler."11

As the story of Irish Nell suggests, the problem of mulatto offspring was a serious one in a slave economy predicated on a clearcut boundary between whites and blacks. Despite laws punishing interracial sex, onefifth of children born out of wedlock at the end of the seventeenth century were mulattoes.¹² Whether slave or free, these mulattoes complicated the enforcement of slavery and compromised its claims to moral authority. Mulatto slaves who could pass as white were considered particularly risky property because they could easily run away and escape detection. In 1835 in Virginia, whites refused to bid on one male slave because he was "too white" and might "too easily escape from slavery and pass himself as a free man." Later on, light-skinned mulatto slaves were used to call into question the very propriety of slavery. A favorite theme of abolitionist literature was the "white slave," who reminded white audiences that they too might be held in bondage.13

With widespread interracial sex that threatened the color line, the Virginia legislature had to define and ultimately confine the relevance of the mulatto. A 1705 law classified a mulatto as "the child of an Indian and the child, grandchild, or great grandchild of a negro."14 During the Revolutionary era, high rates of emancipation coupled with Virginia's "one-fourth black" rule allowed some free mixed-race individuals to claim the privileges of whites, although they obviously had some African

ancestry. Officials concluded that "[m]ulattoes must be made black, and the unfreedom of blacks must be defined and made universal." 15 To this end, the upper South adopted a one-drop rule, which defined as black any person with traceable African ancestry.

The adoption of a rule of hypodescent kept blacks from transmitting special privileges to the next generation through interracial sex or marriage. This racial tax on offspring precluded them from gaining official recognition of their white ancestry. By erasing their white heritage, the racial classification scheme converted mulattoes into blacks by a type of parthenogenesis: It was almost as though the child had been generated by a single parent without intercourse across the color line. As slavery hardened the lines between whites and blacks, the racial tax on mulattoes increased. Their curtailed privileges clearly identified them as nonwhite, and even the lightest mulattoes were denied the privileges of whiteness.

The imperative of consolidating racial boundaries was so great that Chesapeake authorities were willing to undo the legal tradition of paterfamilias. A long-standing English rule mandated that a child's status follow that of the father. Given the initial scarcity of white women in the Chesapeake, most interracial sex probably took place between white men and black women. As a result, the majority of mulatto offspring were free under the English approach. In 1662, Virginia departed from tradition by making a child's status follow that of the mother. 16 Under this matrilineal approach, children like Irish Nell's would be free, but most mulattoes would be slaves. Even mulattoes born to white mothers enjoyed only tenuous liberties. Under a 1691 Virginia law, they could still be sold as servants until the age of thirty. Mulattoes could not hold public office, and by 1723, free mulattoes were stripped of many of the privileges—including voting and the unrestricted right to bear arms that white citizens enjoyed. 17 Virginia authorities also were concerned that doting white fathers might subvert laws that made their mulatto offspring slaves by emancipating them. To discourage manumission of mulatto offspring, masters had to send their freedmen out of the colony, and authorities were encouraged to eliminate roving bands of "negroes, mulattoes, and other slaves [perhaps Indians]."18 In 1723, Virginia made private emancipation even more difficult. 19 Restricting the liberty of racially ambiguous mulattoes was essential to ensuring their definition as nonwhite.

Despite formal, legal restrictions, an influential and powerful white father sometimes could rely on his privileged position to win localalbeit fragile and informal—acceptance of a mixed-race child. In 1805 in Campbell County, Virginia, Robert Wright, the mulatto son of a wealthy white landowning father and black slave mother, inherited his father's estate and became a well-to-do planter. Robert's father, a lifelong bachelor, was estranged from his white brothers and sisters and determined to pass on his substantial holdings to his beloved only son. With his father's support and guidance, Robert learned to manage the land and gained entry into the uppermost echelons of Campbell County's white society. One year after inheriting his father's property, Robert married a white woman. Although the county clerk and minister never recorded the marriage because of its illegality, Robert and his wife lived openly as a married couple and had a child together without being ostracized by their white neighbors.

Robert's troubles began when his wife ran away with a white man. In petitioning Virginia legislators for divorce so that he could marry another white woman, Robert sought formal acceptance of his white privilege, but the jerry-built, informal status of his father's making could not survive legal scrutiny. In his petition, Robert emphasized that he, his wife, and her lover were all free. He argued that despite the ban on interracial marriage, the union was "to all intents and purposes valid and binding between the parties" because they had obtained a marriage license and been married by a clergyman. Even if the minister had destroyed the marriage certificate, the marriage clearly had been recognized as valid for approximately a decade in the Campbell County community. White citizens in the community wrote in support of Robert's petition, noting his propriety, kindness to his wife, and reputation as "an honest, upright, and good citizen."20

Despite Robert's status in Campbell County, the state of Virginia could not permit its official ban on interracial marriage to be subverted. The Virginia House of Delegates decisively rejected Robert's divorce petition, making clear that "Robert Wright could be married to a white woman in his community, [but] he could not be married to her in law."21 With the illusion of his whiteness destroyed, Robert lost standing in Campbell County. On tax rolls, his designation was changed from "White" to "M," for mulatto. When he persisted in living with the white woman he had hoped to wed, many of his neighbors condemned his public adultery. Humiliated and ostracized, Robert died at the age of 38, two years after the House of Delegates stripped away the pretense of his whiteness.22

Robert Wright's story is remarkable primarily because it demonstrates the privileges that white fathers could confer on mulatto offspring even in the face of antimiscegenation laws. Robert's father demonstrated his power as a white landowner in the community by subverting the legal restrictions on his mulatto son's ability to manage a white man's estate, mingle with the white elite, and marry a white woman. Yet even someone as influential as Robert's father could not create a foolproof escape from restrictions on personhood and identity that were essential to the preservation of racial inequality. Once Robert's wife left him for a white lover, the mulatto's manliness and his entitlement to the privileges of whiteness were called into question. Robert was no longer free to marry the woman of his choice, and his neighbors ceased to think of him as morally deserving or racially white. Robert's despoiled identity as mulatto was marked by incursions on his autonomy to associate with whites as he pleased.

In other instances, though, informal recognition of mulatto children reinforced racial hierarchy and subverted sexual mores that condemned incest and adultery. For example, in antebellum Loudon County, Virginia, a quadroon slave woman named Ary lived with her white paternal uncle. There she became the concubine of her young master, who also was her cousin. Far from challenging racial privilege, Ary's circumstances reinforced it: She avoided associating too closely with blacks, perhaps remembering her master's admonition not to get involved with "colored men" because they "weren't good enough" for her.23 Nor did the situation trigger outrage at her sexual exploitation: Ary insisted that she was her father's favorite child, and she proudly described her elite white heritage and her young master's attentions to her. The price of Ary's sense of superiority to blacks was a complete dependency on white male relatives for validation of her racial and sexual worth. Because of their racial privilege, these men could define Ary's identity wholly in relation to their sexual needs, regardless of their relationship to her as father, uncle, or cousin.

In general, interracial relationships were tolerated only insofar as they left norms of racial and sexual privilege intact. By deprecating white women who cohabited or had intercourse with blacks, the affairs could be dismissed as indecent and depraved. According to historian Martha Hodes, local communities regularly turned a blind eye to black or mulatto men and poor white women who lived together as man and wife, so long as they remained on the outskirts of white society. These long-

term liaisons as well as brief sexual encounters could be explained by characterizing the women as low-class and licentious.²⁴ For instance, in North Carolina in 1825, Polly Lane, a white indentured servant, accused Jim, a slave, of rape. Although Jim pleaded innocent, he was convicted and sentenced to death. As Jim awaited execution, white neighbors noted that Polly appeared to be pregnant, and they became suspicious of her claim of rape.²⁵ Four doctors submitted a statement that "without an excitation of lust, or the enjoyment of pleasure in the venereal act, no conception can probably take place."²⁶ When Polly gave birth to a child declared to be of "mixed blood," Jim was eventually pardoned "in part by invoking the white woman's bad reputation, thereby demonstrating that a poor and transgressing white woman could be worth less to elite whites than the profitable labor of a slave."²⁷

Where the pressure to consolidate racial and sexual norms was less intense, sex across the color line was commonplace despite its racially ambiguous consequences. White men enjoyed ready and open access to black and mulatto women as a mark of their untrammeled freedom and privilege. In the lower South, for example, free mulattoes were rare and posed little threat to the system of slavery. The issue of interracial sex was openly debated in newspapers in South Carolina in the 1730s, and one anonymous poet wondered: "Kiss me black or white, why need it trouble you?"28 This laissez-faire attitude toward sex across the color line allowed wealthy white planters regularly to indulge their appetite for black and mulatto women. In New Orleans and Charleston, there was a profitable "fancy trade" in mulatto women, who brought twice the price of a prime field hand. Free mulatto women went to quadroon balls in New Orleans to meet wealthy white men. Under a system of concubinage known as "placage," the men could make formal arrangements to support the women for a few years or for life in exchange for sexual services.²⁹ Without fear of social reprisal, plantation owners set up special residences for black and mulatto mistresses, and some slave owners even went so far as to bring concubines into their own homes, where their white wives had to endure the humiliation in silence.³⁰ At a time when the New England colonies and upper South frowned on extramarital sexuality, planters in the lower South openly flouted the norm of fidelity in marriage. Tolerance of concubinage commodified black and mulatto women, but it also damaged the status of white women. One northern visitor to the South in 1809 remarked that the "dull, frigid insipidity, and reserve" of southern women was one of the most insidious costs of slavery.31

The lower South's tolerance for interracial relationships was linked to an unwillingness to adopt hard and fast legal definitions of blackness. As Judge William Harper wrote in 1835:

We cannot say what admixture of negro blood will make a colored person. The condition of the individual is not to be determined solely by distinct and visible mixture of negro blood, but by reputation, by his reception into society, and his having commonly exercised the privileges of a white man. . . . [I]t may be well and proper, that a man of worth, honesty, industry, and respectability, should have the rank of a white man, while a vagabond of the same degree of blood should be confined to the inferior caste.³²

A flexible classification scheme permitted mulattoes to earn the privileges of whiteness through personal accomplishments and social connections. This reward system enhanced the mulattoes' value to whites as racial mediators: Mulattoes would not identify too closely with blacks, for fear of jeopardizing the benefits associated with their White heritage. Tolerance for mulattoes was so great in some parts of the lower South that they were able to establish themselves as a separate elite. In Louisiana, mulattoes amassed large estates and slaves to work their properties, educated their children abroad, and developed their own elegant, cultural traditions. Labeled "Creoles," these highly successful mulattoes kept their social distance from both whites and blacks by adopting a norm of endogamy, or in-marriage.³³

By the 1850s, the industrial revolution had transformed the textile industry, and the demand for cotton had grown dramatically. Southern planters needed a growing number of slaves, and the proportion of mulattoes in bondage increased. As the slave population became "lighter," the free mulatto population seemed increasingly anomalous and dangerous. Grand juries were convened to identify the hazards associated with free mulattoes. As one jury concluded, "We should have but two classes, the Master and the slave, and no intermediate class can be other than immensely mischievous to our peculiar institution."34 When the lower South found it necessary to rigidify racial boundaries, it followed the lead set in the upper South. States punished interracial sexual contacts, encouraged free people of color (of whom 75 percent were mulatto) to leave the jurisdiction, and adopted a one-drop rule that denied the relevance of mixed-race origins altogether. Vigilantes reinforced these legal changes by punishing those who had interracial sex and by threatening free people of color with violence.35

Although the one-drop rule had been consolidated in the South before the Civil War, the war and its aftermath threatened to undo racial boundaries. Nothing was better calculated than the prospect of interracial sex and marriage to stir up fears that the color line was crumbling completely. For this reason, when calling for emancipation, orthodox abolitionists shunned the issue of sex and marriage across racial boundaries. Indeed, when freethinker Francis Wright established an interracial community and called for amalgamation of the races, she was promptly dubbed the "priestess of Beelzebub" and dropped by mainstream abolitionists who feared her radicalism would hurt the movement.³⁶ Similarly, after the war, most Reconstruction efforts focused on "political" equality, such as the right to vote, sit on juries, and hold office. Republican reformers deflected concerns that political equality would lead to "social" equality, as typified by race-mixing in integrated communities. When southern Democrats coined the term miscegenation to ridicule the quest for racial equality during Reconstruction, Republicans chided their opponents for implying that cross-racial sexual liaisons were even tempting.37 The distinction between political and social equality made clear that the races would remain separate and distinct. Blacks would be formally rehabilitated as full persons before the law, but they would remain subordinate in informal and intimate spheres of life.

Although a few southern states did eliminate antimiscegenation laws after the Civil War, black-white intermarriage dropped sharply. The decline is particularly striking because of the strong incentives for white women to cross the color line. The ranks of white males had been decimated by the bloody conflict, and black men enjoyed newfound status and freedom of movement. Yet only in places with a particularly liberal view of race relations like New Orleans did some white women become involved with black men.³⁸ Presumably, the harsh pressures of public opinion prevented white women and black men from crossing the color line. Many white southerners blamed their defeat on the corrupting influence of miscegenation:

It does seem strange that so lovely a climate, and country, with a people in every way superior to the Yankees, should be overrun and destroyed by them. But I believe that God has ordered it all, and I am firmly of the opinion . . . that it is the judgement of the Almighty because the human and brute blood have mingled to the degree it has in the slave states. Was it not so in the French and British Islands and see what has become of them.39

To prevent further transgressions, self-appointed vigilante groups delivered swift and terrible punishment to black men suspected of consorting with white women. The Ku Klux Klan formed at about this time, and it sometimes lynched freedmen prominent in Reconstruction politics under the guise of retribution for the mistreatment of white women. 40 Through this clandestine attack on interracial relations, whites were able to send a clear message that political equality would not dismantle the color line. Restrictions on sex, marriage, and family would continue to be a cornerstone in defining racial difference.

Although black men suspected of having sex with white women could be lynched, 41 black women were unable to fend off the advances of white men. Ironically, once slavery ended, black and mulatto women found it more difficult than during the antebellum period to limit their sexual availability to only one white male. As a result, the number of mulatto offspring increased after emancipation. Reconstruction legislators did try to protect black and mulatto women from sexual exploitation. Efforts to outlaw concubinage failed, but some states adopted bastardy statutes that enabled black and mulatto women to file paternity suits so that white men would be forced to support their illegitimate mulatto children. These bastardy statutes eventually were repealed. 42

Even though interracial marriages were exceedingly rare during Reconstruction, white southern males promptly reinstated antimiscegenation laws when they regained control of state legislatures in the post-Reconstruction era. With the one-drop rule of racial classification in place, 43 the color line could once again be officially consolidated by regulating sex and marriage. Under this regime, antimiscegenation laws became critical to conserving the integrity and purity of the white race. Without these prohibitions, blacks could gain access to white wealth and privilege through marriage. After all, in black-white marriages, the one-drop rule dictated that the heirs to white fortunes would be black.

Interracial sexuality outside of marriage became a means of establishing racial power and domination. White men could enjoy the sexual favors of black women with impunity, but black men would pay with their lives for sexual contact with white women. When white men impregnated black women, the offspring were illegitimate and generally could not even seek support from their fathers. The children of these black-white relationships threatened neither white identity nor privilege. By contrast, if black men had adulterous relations with married white women, any resulting offspring threatened the racial integrity of

white men's families. After Reconstruction, then, antimiscegenation laws reaffirmed antebellum definitions of racial identity and reasserted the superiority of whites as marital partners. White men expressed their sexual dominance by policing access to white women and enjoying the favors of black women without obligations of marriage or support.

The Chinese and Japanese Experience: Racial Unassimilability and Sexual Subordination

Although antimiscegenation laws were used to draw racial boundaries between whites and blacks during the colonial era and early years of nationhood, the color line was well-established by the time Chinese and Japanese began to immigrate to the United States in substantial numbers during the mid- to late 1800s.44 Definitions of blackness evolved through state legislation, but for Asians, federal immigration law made their status as nonwhite wholly unambiguous. Much of the racialization of Asians took place as successive waves of immigrants were labeled nonwhite, unassimilable, and unfit for citizenship. The Chinese were the first to arrive, coming in substantial numbers after 1848 when gold was discovered in California.45 Early on, the U.S. government made plain that the Chinese were not white. Under a 1790 naturalization law, only "free white persons" were eligible for citizenship. 46 When Chan Yong applied for citizenship in 1854, a federal district court denied his application because he did not qualify as white, although newspaper accounts at the time stated that he was lighter-skinned than most Chinese.⁴⁷

After the Civil War, race relations in America were contested. Congress amended the naturalization law to permit "aliens of African nativity" and "persons of African descent" to petition for citizenship. When the naturalization law was codified in 1875, the reference to "free white person" was dropped, leaving open the possibility that the Chinese could naturalize. Chinese immigrants quickly capitalized on the statutory uncertainty by filing petitions for naturalization in San Francisco. 48 Shortly thereafter, a federal court made clear that as nonwhites, Chinese immigrants continued to be ineligible for citizenship. 49

A few years later, the federal government went even further in defining the Chinese as undesirable nonwhite aliens. In 1882, by an overwhelming margin, Congress passed the Chinese Exclusion Act, the first statute to ban a group from immigrating to the United States based solely on race or ethnicity. The Act prohibited any Chinese laborer or miner from

entering the United States, and it barred any state or federal court from naturalizing any Chinese.50 After passage of the Act, the Chinese population in the United States declined precipitously. 51 Periodically renewed and strengthened by Congress,52 the law remained in force until 1952 when the McCarran-Walter Act nullified racial restrictions and substituted a quota system for immigration based on national origin.53

The Japanese began to arrive in the United States about twenty years after the Chinese. Most Japanese emigrated to Hawaii to work in the sugar industry, and their numbers were small because of restrictive Japanese emigration policies. 54 After 1890, two important changes in Japanese immigration occurred. First, the number of immigrants increased substantially so that by 1910, the Japanese outnumbered the Chinese; and second, Japanese immigrants began to arrive in the western continental United States, particularly California, to replace the dwindling numbers of Chinese laborers and to escape low wages and poor working conditions in Hawaii.55 Having observed the mistreatment of the Chinese, the Japanese struggled to avoid occupying the same place in the racial hierarchy by distinguishing themselves from the Chinese under federal naturalization policy. Although the 1790 law permitted only whites to become citizens, the Chinese Exclusion Act of 1882 withheld the privilege of naturalization only from the Chinese. Several hundred Japanese successfully petitioned for citizenship in lower federal courts on the ground that they were not covered by legislation targeting the Chinese. 56 The federal government soon moved to clarify the status of the Japanese as nonwhite. In 1905, the U.S. attorney general informed President Theodore Roosevelt that the Japanese were and always had been ineligible for naturalization based on their race. One year later, the attorney general issued a formal opinion to that effect.57

Despite this setback, the Japanese continued to try to win favorable treatment under immigration laws by highlighting their capacity to assimilate to an American way of life. In a 1922 case, Takao Ozawa asked that his petition for naturalization be granted because the word free was more important than the word white in determining eligibility of "free white persons" for citizenship. Ozawa insisted that even though he was nonwhite, he should be allowed to naturalize because he could successfully shoulder the responsibilities of democratic freedom.⁵⁸ Despite Ozawa's proofs of good moral character and individual accomplishment, the U.S. Supreme Court denied his eligibility for citizenship. According to the Court, Ozawa's status as nonwhite barred him from naturalization, regardless of his ability to conform to an American way of life.59 Race

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was a categorical stigma, one that did not permit individuals to escape through acculturation and achievement.

The federal government's treatment of immigrants from India cemented the racialization of Asians. 60 Unlike the Chinese and Japanese, Asian Indians were treated as Caucasian under the prevailing scientific taxonomy. Even so, the U.S. attorney general refused to find that Asian Indians qualified as "free white persons," 61 but several federal district courts reached a different conclusion. 62 To remedy the confusion, the U.S. Supreme Court made clear in its 1923 decision in United States v. Thind⁶³ that Asian Indians were ineligible for citizenship because they were nonwhite. According to Thind, Congress used the term white rather than Caucasian because it was relying on popular, not scientific, conceptions of race. As the Court explained: "It may be true that the blond Scandinavian and the brown Hindu have a common ancestor in the dim reaches of antiquity, but the average man knows perfectly well that there are unmistakable and profound differences between them today. . . . "64 Just as personal accomplishments could not save the Japanese, science could not save the Asian Indian from racialization. All Asians-whether Chinese, Japanese, or Asian Indian-had been definitively categorized as nonwhite. Any claims of racial ambiguity were decisively laid to rest by Congress, the attorney general, and the Supreme Court.

By labeling Asian immigrants unassimilable and unfit for citizenship, the federal government made them easy targets for racial discrimination in the western states where they settled. Bans on intermarriage were one of a number of state restrictions on Asian immigrants' liberties, all of which were designed to mark them as inferior and undesirable. With the color line clearly drawn by federal immigration laws, the statutes reinforced the temporary status of Asian sojourners, who came to the United States to work and then return to their home countries. Antimiscegenation laws marked the newcomers' marginal and subordinate status, prevented them from developing permanent ties to America through marriage and family, and severely restricted sexual options for Asian men in bachelor communities.

The racialized imagery that informed federal immigration policy dominated debates about the personhood of Asians. Popular accounts analogized the Chinese to blacks because of their willingness to work in conditions akin to slavery, their incapacity to handle freedom, and their distinctive physical appearance. 65 One politician compared the Chinese to Native Americans and recommended their removal to reservations.66 These racial images in turn were linked to a degraded sexuality. One

California magazine confirmed the depravity of Chinese women by noting that their physical appearance was "but a slight removal from the African race."67 As early as 1854, the New York Tribune characterized the Chinese as "lustful and sensual in their dispositions; every female is a prostitute of the basest order."68 Other journals claimed that debauched Chinese males went to Sunday school only to ravage white female teachers. Readers were warned that Chinese men could not be left alone with children, especially little girls. Sexual anxieties about the Chinese were exacerbated by religious differences, as Christian missionaries sought to proselytize a people characterized as base and lecherous pagans. 69

California's laws were particularly important because so many Asian immigrants resided there. During the convention to draft the 1879 California constitution, the chairman of the Committee on the Chinese warned: "Were the Chinese to amalgamate at all with our people, it would be the lowest, most vile and degraded of our race, and the result of that amalgamation would be a hybrid of the most despicable, a mongrel of the most detestable that has ever afflicted the earth." 70 To address these concerns, the delegates proposed an 1878 constitutional amendment to restrict intermarriage of Chinese and whites: "The intermarriage of white persons with Chinese, negroes, mulattoes, or persons of mixed blood, descended from a Chinaman or negro from the third generation, inclusive, or their living together as man and wife in this State, is hereby prohibited. The Legislature shall enforce this section by appropriate legislation."71 The California electorate ratified the provision the following year, and the California legislature quickly moved to enact antimiscegenation statutes. The California Civil Code was amended in 1880 to prohibit the issuance of marriage licenses authorizing the union of "a white person with a negro, mulatto, or Mongolian."72

Although levels of interracial sex and marriage among whites and Chinese were quite low, the California legislature criminalized Chinesewhite intermarriage in 1901.73 That same year, the legislation was held unconstitutional based on a procedural defect.74 California did not reenact the statute until 1905, primarily in response to intensified concerns about amalgamation with a new group of Asian immigrants, the Japanese. 75 As with the Chinese, Americans feared what they presumed to be Japanese immigrants' alien racial identity and unbridled sexual impulses. When the Japanese government successfully lobbied for its nationals to be exempted from laws that segregated the Chinese, political leaders warned of the dangers of white girls "sitting side by side in the school rooms with matured Japs, with their base minds, their lascivious

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thoughts, multiplied by their race and strengthened by their mode of life."76 California's 1905 antimiscegenation law reflected fears of both racial difference and sexual deviance. The statute addressed eugenic concerns that Asian immigrants were a threat to the "self-preservation of [the white] race"77 as well as anxieties about the lawless sexuality of Japanese immigrants.78

Even with state antimiscegenation laws in place, concerns about Asian intermarriage persisted. In 1907, Congress had passed an Expatriation Act,79 which stripped American women of their citizenship if they married foreign nationals. In 1922, in response to protests from women's groups, Congress passed the Cable Act. In general, the Act did away with the practice of treating a woman's nationality as derivative of her husband's, thereby assuring a wife the freedom to choose her own allegiance. In the area of race, though, women who crossed the color line to marry Asian immigrants remained disempowered. The Cable Act continued to strip American women of their citizenship if they married aliens ineligible to naturalize. The marital autonomy of white women was sacrificed to preserve racial distinctions.

Moreover, the Cable Act made it more difficult than before for American men, usually native-born Chinese, to bring their wives from China. Because a woman's nationality was now independent of her husband's, the U.S. Supreme Court interpreted the Act as barring Chinese women from entering the country based on marriage to an American citizen. Previously, the women had been able to come to the United States but not naturalize. These provisions remained in effect for ten years.80 Unable to bring wives from China and barred by antimiscegenation laws from marrying white women, even American-born Chinese had limited marital options. Citizenship by birth did not spare them from the adverse consequences of racial difference.

Restrictive immigration policies and state bans on intermarriage had particularly harsh consequences for the Chinese, who were denied access to wives of any race. Federal policy treated the Chinese as sojourners temporary male workers who would eventually return to their homelands after fulfilling their labor contracts. Poor, unable to speak English, and unfamiliar with American customs, Chinese immigrants were illequipped to challenge their isolation. Many of them could not even afford their wives' additional passage. These obstacles were compounded by cultural tradition, which dictated that Chinese women join their husbands' extended families. This practice cemented the family's expectation that the men would return someday and send remittances in the meantime.81

Given this combination of federal policy, limited resources, and cultural traditions, the number of Chinese women coming to the United States during the 1800s was minuscule. In 1852, of 11,794 Chinese, only 7 were female. By 1870, Chinese men outnumbered Chinese women in the United States by 14 to 1. These severe imbalances in turn led to images of sexual deprivation and degradation. Men living without women in bachelor communities seemed deviant and dangerous. The few Chinese women in the United States were vulnerable to sexual exploitation, which reinforced the image of sojourners as predatory and debauched. According to the 1870 census, 61 percent of Chinese women were "prostitutes," while only 21 percent were "housekeepers." 82 Chinese women regularly worked in the sex trade after having been lured to the United States with promises of marriage, abducted, or sold into indentured servitude by needy families.

Antimiscegenation laws arguably played a more significant role in sending messages of racial inferiority than in thwarting interracial relationships. Anxieties about lustful Chinese bachelors harming white women appear to have been largely unfounded. Although interracial sex between blacks and whites remained relatively commonplace even under antimiscegenation laws, Chinese men were unlikely to cross the color line to cohabit and procreate with white women. During the early decades of Chinese migration, only the most affluent and powerful Chinese might dare to take a white wife or mistress. 83 The linguistic and cultural isolation of the Chinese, their segregation in immigrant enclaves, and their vulnerability to deportation—all of these factors undoubtedly made affairs with white women an unlikely prospect, and Chinese men frequently remained childless bachelors. Indeed, even as late as the 1920s and 1930s, many Chinese men chose to remain single rather than intermarry. According to Los Angeles County marriage records for 1924-1933, of the Chinese who married, only 23.7 percent had a non-Chinese spouse. Given that there were nine Chinese men for every two Chinese women at the time, the majority of Chinese men must have remained alone. 84 Although there is little evidence that the Chinese pursued white women for sex and marriage, western states continued to threaten the immigrants with criminal prosecution under antimiscegenation laws.

Far from alleviating the problems of bachelor communities, Congress consistently enacted immigration policies that worsened the gender imbalances. In 1875, the Page Law barred Chinese prostitutes from entering the country. Tough interrogation techniques were used to enforce the ban. In fact, the law was so intimidating that the number of Chinese women coming to the United States dropped by 68 percent between 1876 and 1882. Shortly after the Chinese Exclusion Act was passed, a federal court in 1844 held that Chinese women assumed the status of their laborer husbands and were barred from entry. Only the wives of lawfully domiciled merchants could enter the United States. Immigration laws were so effective in deterring family creation that, in 1890, only 8.7 percent of the Chinese in the United States were native born. Restrictive immigration policies coupled with antimiscegenation laws confirmed the sojourner's status as a dehumanized and degraded laborer: "Permitted neither to procreate nor to intermarry, the Chinese immigrant was told, in effect, to re-emigrate, die out—white America would not be touched by his presence."

The only relief that the Chinese had from harsh immigration policies came with the 1906 San Francisco earthquake. Because official records had been destroyed, Chinese men claimed to be native-born citizens who could bring their wives from China to the United States. Between 1907 and 1924, ten thousand Chinese women entered the country. By contrast, before 1900, only slightly more than forty-five hundred Chinese women lived in America. This loophole was closed in 1924 when Congress restricted entry of Chinese women to students and wives of clergymen, professors, and government officials. One year later, the U.S. Supreme Court upheld the law, even though it barred native-born Chinese from bringing their spouses to America. The Chinese themselves felt the bitter sting of the federal government's efforts to restrict female immigration: "We were beginning to repopulate a little now so they passed this law to make us die out altogether."

In contrast to the Chinese, Japanese immigrants were able to build same-race families in the United States. Although the Japanese also arrived as dekaseginin, or "men who go out to work," they soon were converted to teiju, or "permanent residents abroad." Arriving in California in the midst of anti-Chinese hysteria, the Japanese quickly concluded that sojourner status would subordinate and humiliate them. With the support of the Japanese government, the newcomers embarked on a strategy of settlement to ensure economic independence, social standing, and self-respect. Integral to this strategy was the immigration of Japanese women, who could help to build stable, self-sufficient families and

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communities. When the United States moved to restrict immigrant labor from Japan, a 1908 "Gentleman's Agreement" permitted Japanese residents to bring members of their immediate family to the United States. ⁹⁵ The agreement protected the Japanese from the hardships of bachelor communities. In 1905–8, 16 percent of Japanese immigrants were women, but by 1909–14, the proportion exceeded 50 percent. ⁹⁶ The ongoing arrival of Japanese women rapidly rectified gender imbalances in the immigrant community. In 1900, there were almost five Japanese men for every Japanese woman. By 1910, the ratio had dropped to 3.5 to 1, and by 1920, it was only 1.6 to 1. Moreover, nearly every adult Japanese female was married. ⁹⁷

Despite these important differences between the Chinese and Japanese immigrant experiences, both groups triggered anxieties about race-mixing. Fears associated with bachelor communities persisted for the Chinese, but the fears surrounding the Japanese arguably should have dissipated by the 1920s. The Japanese had built prosperous families and communities in the United States. Carefully screened by the Japanese government, immigrants arrived with higher rates of literacy and more material resources than their counterparts from Europe. Property A number of Japanese became entrepreneurs, running successful farms and small businesses. In addition to their economic accomplishments, Japanese immigrants were able to forge stable, same-race families due to the steady influx of women from their home country.

Because the Japanese represented the anomaly of nonwhites with material resources, however, their self-contained communities sparked conflicting anxieties about their sexual and marital proclivities among whites. Some whites concluded that the Japanese settlements were proof of the immigrants' unassimilability and chauvinism. As one witness from California testified before the Senate Committee on Immigration in 1924:

[W]ith great pride of race, they have no idea of assimilating in the sense of amalgamation. They do not come to this country with any desire or intent to lose their racial or national identity. They come here specifically and professedly for the purpose of colonizing and establishing here permanently the proud Yamato race. They never cease to be Japanese. They have as little desire to intermarry as have the whites, and there can be no proper amalgamation, you will agree, without intermarriage. In Hawaii, where there is every incentive for intermarriage, the Japanese have preserved practical racial purity. . . . 99

At the same time, the Japanese immigrants' ability to establish farms and businesses raised fears that they would try to convert their economic success into sexual and marital privilege. One farmer worried that property and wealth would lead Japanese men to covet white wives with disastrous consequences:

Near my home is an eighty-acre tract of as fine land as there is in California. On that tract lives a Japanese. With that Japanese lives a white woman. In that woman's arms is a baby. What is that baby? It isn't Japanese. It isn't white. I'll tell you what that baby is. It is a germ of the mightiest problem that ever faced this state; a problem that will make the black problem of the South look white. 100

Concerns about the Japanese immigrants' sexuality were magnified by their integration into white schools and communities. Anti-Japanese propaganda warned that the Japanese were "casting furtive glances at our young women. They would like to marry them."101

Despite widespread fears that prosperous Japanese men would prey on white women, the rate of outmarriage among first-generation Japanese, or Issei, was quite low. Los Angeles County marriage records between 1924 and 1933 indicate that of Issei women who married, only 1.7 percent wed non-Japanese men; of the Issei men who married, fewer than 3 percent had non-Japanese brides. This was the lowest rate of outmarriage for any racial group in the area. By comparison, of blacks who married, 11.3 percent had nonblack spouses, and of Chinese who married, 23.7 percent wed non-Chinese. 102 Nor is there any evidence that the Japanese regularly evaded antimiscegenation laws through extramarital affairs with whites that produced illegitimate offspring.

The self-sufficiency and success of Japanese communities presented a singular challenge in interpreting the significance of antimiscegenation laws. Although bans on intermarriage could be interpreted as an unequivocal mark of racial subordination for blacks and Chinese, the same was not true for the Japanese. By building prosperous, autonomous communities, Japanese immigrants appeared to be exercising the freedom to forge a separate but equal society in the shadow of racial restrictions. Confronted with a nonwhite population that defied easy categorization as inferior or dependent, whites could no longer assume that low intermarriage rates automatically signalled a diminished status. To preserve a sense of white superiority, the lack of Japanese-white relationships had to be attributed either to Japanese chauvinism or to thwarted sexuality. The Filipino Experience: Not Compliance but Defiance

Although the Chinese and Japanese generally abided by restrictions on intermarriage, one group of Asian immigrants refused to accept racebased limits on their sexual and marital autonomy. Unlike other Asian immigrants, Filipinos arrived in the United States steeped in the American democratic tradition. Convinced of their entitlement to full personhood, Filipinos fought vigorously for the freedom to date and marry as they saw fit.

Filipinos arrived on the West Coast, particularly California, in the 1920s and 1930s. 103 Like the Chinese, most Filipino immigrants were male: In 1930, there were 40,904 Filipino men but only 1,640 women. By 1940, of the Filipinos in the United States, there were still seven men for every woman. 104 They, too, formed bachelor communities and sparked fears of miscegenation. 105 Popular accounts portrayed the Filipinos as lascivious dandies with a taste for white women. One anti-Filipino spokesman described the immigrants as "little brown men attired like 'Solomon in all his glory,' strutting like peacocks and endeavoring to attract the eyes of young American and Mexican girls." 106 The president of the Immigration Study Commission warned of race-mingling between "Filipino coolie fathers and low-grade white mothers," whose numerous offspring could become "a serious burden." 107 Sexual anxieties reached such a pitch that race riots broke out in 1930 when white men became angry at Filipino men who were socializing with white women. 108

Filipinos reacted defiantly to efforts to control their sexuality. Unique among Asian immigrants, Filipinos arrived not from a foreign country but from an American territory. As a result, they had been educated in American schools, spoke English, and were familiar with American history and civics. They felt that their discriminatory treatment betrayed the ideals taught in their classrooms: "In school in the Islands we learn from the Declaration of Independence that all men are created equal. But when we get over here we find people treating us as if we were inferior." 109 Filipinos confounded their critics by reveling in their depiction as sexually powerful and threatening. In 1936, a San Francisco municipal court judge wrote in Time magazine that Filipinos "have told me bluntly and boastfully that they practice the art of love with more perfection than white boys."110 The Philippine Resident Commissioner responded dryly: "[T]he Judge admits that Filipinos are great lovers." 111 Another Filipino wrote to Time that "We, Filipinos, however poor, are

taught from the cradle up to respect and love our women. . . . If to respect and love womenfolks is savagery, then make the most of it, Judge. We plead guilty."¹¹²

Filipinos in California strongly resisted the application of antimiscegenation laws. Most of California's Filipino population resided in Los Angeles County. California forbade marriages between whites and Mongolians, but the Los Angeles City Council announced in 1921 that Filipinos were exempt because they were not Mongolian. Eight years later, the California attorney general issued a contrary opinion, concluding that the term *Mongolian* included Filipinos as well as Chinese and Japanese. 113 Nevertheless, county clerks in Los Angeles continued to issue marriage licenses to Filipino—white couples. 114 In 1930, a lawsuit was filed to force the clerks to cease issuing licenses to Filipinos who were marrying whites. When a superior court judge held that the California attorney general's opinion was binding, 115 the Filipino community reacted with outrage. 116

Filipino leaders promptly spearheaded efforts to fight the decision. By 1931, four cases were pending in Los Angeles superior courts on the legality of Filipino-white marriages. 117 Reversing itself after only one year, the superior court held that the term Mongolian did not include Filipinos. The California court of appeals agreed, affirming the lower court decision by a 3-3 vote. According to the court of appeals, the California legislature had not intended to cover Filipinos under the antimiscegenation law because anthropologists typically classified Filipinos as "Malays," not "Mongolians," and the legislature presumably had adopted this usage. Moreover, the original legislative debate was focused on Chinese, not Filipinos. The court added that the legislature could always amend the statute if it wanted to bar marriages between Filipinos and whites. 118 The California legislature did not take long to act on this suggestion. Nine days before the court's decision, a state senator introduced a bill that would amend the antimiscegenation statute to preclude Filipino-white marriages. Within a few months, California had adopted a new law to cover "negroes, Mongolians, members of the Malay race, or mulattoes."119 The 1933 provision remained in effect until the California Supreme Court declared it unconstitutional fifteen years later.120

Faced with the ban on intermarriage, Filipinos did not concede defeat. Instead, they evaded California's antimiscegenation law by leaving the state to marry. Efforts to close this loophole met with limited success. In 1936, a California court of appeals ruled that a Filipino-white mar-

riage that took place in New Mexico was valid in California. In that case, a white woman sought to annul her marriage on the ground that her Filipino husband had falsely represented himself to be "of Spanish Castilian descent." She testified that she would not have married him had she known he was Filipino because the marriage was illegal in California. The judge held that marriages between whites and Filipinos were legal in New Mexico, so "the ethnological status of the parties was not a ground of annulment." In 1938, the California legislature passed a resolution calling on Utah to prevent whites and Filipinos from going there to evade the ban on miscegenation. Utah obliged by outlawing white—Filipino marriages that same year. Still dissatisfied, a California legislator introduced a bill to void interracial marriages that took place outside the state if they would be illegal in California. The bill died in committee. 122

In addition to circumventing the law by going out of state, Filipinos married Mexican, Chinese, Japanese, and Eskimo women. In fact, most mixed couples in Los Angeles were Filipino-Mexican. There were some cultural affinities between Filipino immigrants and Mexican women because Spain had at one time colonized the Philippines. Consequently, many Filipinos spoke Spanish and were devout Catholics. Although Mexican-origin women were formally classified as white under California law, registrars seldom stood in the way of a marriage between a Mexican woman, particularly one who was dark skinned, and a Filipino man. 123 The prevalence of intermarriage among Filipinos was so great that by 1946, over half of the immigrants' children were biracial. 124 Far from accepting their relegation to bachelor communities, Filipino immigrants drew on their familiarity with American law and culture to challenge the ban on intermarriage. Unlike the Japanese who relied on separate settlements, Filipinos invoked their rights to freedom and equality before the law. When Filipino demands for recognition of their full personhood failed, they asserted their autonomy by using loopholes to circumvent racial restrictions.

Conclusion

Although antimiscegenation laws were identical in form, they served different functions at different times and for different groups. In the colonial era and during the early years of nationhood, bans on intermarriage were critical to drawing the color line between indentured white servants

and blacks. Once the color line was in place, the statutes became a way to enforce racial hierarchy by barring blacks from assimilating through marriage to whites. Interracial sex continued to occur on a widespread basis, but it did not threaten white identity and privilege because the one-drop rule classified any illegitimate offspring as black. Nor did the extramarital liaisons jeopardize white superiority since white men could have their way with black women, but black men faced severe sanctions for having sex with white women.

Asian immigrants were subject to harsh restrictions on intermarriage, although their racial identities already were clear from federal immigration law. The use of antimiscegenation laws to subordinate the Chinese was in some ways harsher than their use to subordinate blacks. Blacks could form same-race families, but Chinese men often remained single and childless for life because of the shortage of Chinese women. Although forced to live in bachelor communities, Chinese men did not cross the color line to procreate. Linguistically, culturally, and economically isolated, Chinese men were ill-equipped to pursue extramarital liaisons with white women. Their emasculation reinforced their powerlessness, even as they were portrayed as sexually degraded and lascivious. The penalties for whites who became involved with the Chinese also were in certain respects more severe than for those who became involved with blacks. Although a white spouse in a black-white marriage remained white, American women who wed Chinese immigrants were stripped of their nationality, thereby taking on some of their spouses' unassimilable, alien qualities.

Enforcing racial subordination was particularly critical where the prosperous Japanese were concerned. The ability of Japanese immigrants to build stable, successful businesses, families, and communities threatened a sense of white superiority. In response, nativists insisted that the Japanese could not assimilate through naturalization or intermarriage; whatever their personal accomplishments. At the same time, though, nativists feared that Japanese racial pride made them spurn assimilation to a white way of life. While intermarriage remained a daunting prospect, the possibility that the Japanese might choose to remain a separate people also threatened white superiority. Just when proof of racial subordination was most urgently needed, antimiscegenation laws could no longer offer unambiguous evidence of white desirability and unattainability.

Although the Chinese and Japanese generally complied with antimiscegenation laws, Filipino immigrants defied the statutes. Rather than simply evade the restrictions through illicit liaisons, Filipinos demanded

the right to cross the color line to date and marry women of their choice. Explicitly linking their masculinity to romantic and marital freedom, Filipinos were unwilling to forgo intimacy as the price of admission to the American workforce. Though economically marginal, Filipinos were not hampered by the linguistic and cultural isolation that doomed the Chinese to perennial bachelorhood. Often able to communicate in English and aware of American political ideals, Filipinos had a welldeveloped sense of democratic entitlement and acted on it. Their collective, confrontational approach to restrictions on sexual and marital freedom is unique in the annals of antimiscegenation law.

INTERRACIALISM



Black-White Intermarriage in American History, Literature, and Law

Edited by Werner Sollors

OXFORD UNIVERSITY PRESS

2000

OXFORD UNIVERSITY PRESS

Oxford New York Athens Auckland Bangkok Bogotá Buenos Aires Calcutta Cape Town Chennai Dar es Salaam Delhi Florence Hong Kong Istanbul Karachi Kuala Lumpur Madrid Melbourne Mexico City Mumbai Nairobi Paris São Paulo Shanghai Singapore Taipei Tokyo Toronto Warsaw and associated companies in Berlin Bedan

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Published by Oxford University Press, Inc. 198 Madison Avenue, New York, New York 10016

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Library of Congress Cataloging-in-Publication Data Interracialism: Black-white intermarriage in American history,

literature, and law / edited by Werner Sollors. p. cm.

Includes bibliographical references and index. ISBN 0-19-512856-7; ISBN 0-19-512857-5 (pbk.)

1. Interracial marriage—United States—History.

2. Miscegenation-United States-History. 3. Racially mixed people-United States-History. 4. Miscegenation-Law and legislation-United States—History. 5. Miscegenation in literature. 6. Racially mixed people in literature. I. Sollors, Werner.

HQ1031.I8 2000

306.84'6'0973—dc21 99-32521

In memoriam

A. Leon Higginbotham, Jr. (February 25, 1928-December 14, 1998)

98785432

Printed in the United States of America on acid-free paper

the operation of those natural laws which are so often quoted by Southern writers as the justification of all sorts of Southern "policies"—are questions which the good citizen may at least turn over in his mind occasionally, pending the settlement of other complications which have grown out of the presence of the Negro on this continent.

The Beginnings of Miscegenation of the Whites and Blacks*

CARTER G. WOODSON

Although science has uprooted the theory, a number of writers are loath to give up the contention that the white race is superior to others, as it is still hoped that the Caucasian race may be preserved in its purity, especially so far as it means miscegenation with the blacks. But there are others who express doubt that the integrity of the dominant race has been maintained. Scholars have for centuries differed as to the composition of the mixed breed stock constituting the Mediterranean race and especially about that in Egypt and the Barbary States. In that part of the dark continent many inhabitants have certain characteristics which are more Caucasian than negroid and have achieved more than investigators have been willing to consider the civilization of the Negro. It is clear, however, that although the people of northern Africa cannot be classed as Negroes, being bounded on the south by the masses of African blacks, they have so generally mixed their blood with that of the blacks that in many parts they are no nearer to any white stock than the Negroes of the United States.

This miscegenation, to be sure, increased toward the south into central Africa, but it has extended also to the north and east into Asia and Europe. Traces of Negro blood have been found in the Malay States, India and Polynesia. In the Arabian Peninsula it has been so extensive as to constitute a large group there called the Arabised Negroes. But most significant of all has been the invasion of Europe by persons of African blood. Professor Sergi leads one to conclude that the ancient Pelasgii were of African origin or probably the descendants of the race which settled northern Africa and southern Europe, and are therefore due credit for the achievements of the early Greek and Italian civilizations.2

There is much evidence of a further extension of this infusion in the Mediterranean world.

"Recent discoveries made in the vicinity of the principality of Monaco and others in Italy and western France," says MacDonald, "would seem to reveal . . . the actual fact that many thousand years ago a negroid race had penetrated through Italy into France, leaving traces at the present day in the physiognomy of the peoples of southern Italy, Sicily, Sardinia and western France, and even in the western parts of the United Kingdom of Great Britain and Ireland. There are even at the present day some examples of the Keltiberian peoples of western Scotland, southern and western Wales, southern and western Ireland, of distinctly negroid aspect, and in whose ancestry there is no indication whatever of any connection with the West Indies or with Modern Africa, Still more marked is this feature in the peoples of southern and western France and of the other parts of the Mediterranean already mentioned."3

Because of the temperament of the Portugese this infusion of African blood was still more striking in their country. As the Portugese are a good-natured people void of race hate they did not dread the miscegenation of the races. One finds in southern Portugal a "strong Moorish, North African element" and also an "old intermixture with those Negroes who were imported thither from Northwest Africa to till the scantily populated southern provinces." This miscegenation among the Portugese easily extended to the New World. Then followed the story of the Caramarii, the descendants of the Portugese, who after being shipwrecked near Bahia arose to prominence among the Tupinambo Indians and produced a clan of half-castes by taking to himself numerous native women.5 This admixture served as a stepping stone to the assimilation of the Negroes when they came.

There immigrated later into Brazil other settlers who, mixing eagerly with the Amerindians, gave rise to a race called Mamelucos who began to mix maritally with the imported Negro women. The French and Dutch too in caring for their offspring by native women promoted the same. "They educated them, set them free, lifted them above servitude, and raised them socially to the level of the whites" so that today generally speaking there are no distinctions in society or politics in Brazil. Commenting on this condition in Brazil, Agassiz said: "This hybrid class, although more marked here because the Indian is added, is very numerous in all cities; perhaps, the fact, so honorable to Brazil, that the free Negro has full access to all privileges of any free citizen, rather tends to increase than to diminish that number." After emancipation in Brazil in 1888, the already marked tendency toward this fusion of the slave and the master classes gradually increased.7

^{*} From Carter G. Woodson, "The Beginnings of Miscegenation of the Whites and Blacks." The Journal of Negro History 3.4 (October 1918); 335-353.

^{1.} MacDonald, Trade, Politics and Christianity in Africa and the East, chapter on inter-racial marriage, p. 239; and The Journal of Negro History, pp. 329, 334-344.

^{2.} Report of First Race[s] Congress, 1911, p. 330 [probably G. Spiller, ed., Papers on Inter-Racial Problems Communicated to the First Universal Races Congress Held at the University of London, July 26-29, 1911 (London: P. S. King & Son, 1911) -Ed.]; MacDonald, Trade, Politics, and Christianity, p. 235; and Contemporary Review, August, 1911.

^{3.} Report of First Races Congress, 1911, p. 330.

^{4.} Johnston, The Negro in the New World, p. 98.

^{5.} Ibid., p. 78.

^{6.} Ibid., pp. 98-99.

^{7.} Authorities consider the Amerindians the most fecund stock in the country, especially when mixed with an effusion of white or black blood. Agassiz, A Journey in Brazil in 1868.

The Spaniards mixed less freely with the Negroes than did the Portugese but mixed just the same. At first they seriously considered the inconveniences which might arise from miscegenation under frontier conditions and generally refrained from extensive intermingling. But men are but men and as Spanish women were far too few in the New World at that time, the other sex of their race soon yielded to the charms of women of African blood. The rise of the mixed breeds too further facilitated the movement. Spaniards who refused to intermingle with the blacks found it convenient to approach the hybrids who showed less color. In the course of time, therefore, the assimilation of the blacks was as pronounced in some of the Spanish colonies as in those which originally exhibited less race antipathy. There are millions of Hispanicized Negroes in Latin America. Many of the mixed breeds, however, have Indian rather than Negro blood.8

Miscegenation had its best chance among the French. Not being disinclined to mingle with Negroes, the French early faced the problem of the half caste, which was given consideration in the most human of all slave regulations, the Code Noir.9 It provided that free men who had children from their concubinage with womenslaves (if they consented to such concubinage) should be punished by a fine of two thousand pounds of sugar. But if the offender was the master himself, in addition to the fine, the slave should be taken from him, sold for the benefit of the hospital and never be allowed to be freed; excepting, that, if the man was not married to another person at the time of his concubinage, he was to marry the woman slave, who, together with her children, should thereby become free. Masters were forbidden to constrain slaves to marry against their will. Many Frenchmen like those in Haiti married their Negro mistresses, producing attractive half caste women who because of their wealth were sought by gentlemen in preference to their own women without dot.

Among the English the situation was decidedly different. There was not so much need for the use of Negro women by Englishmen in the New World, but there was the same tendency to cohabit with them. In the end, however, the English, unlike the Latins, disowned their offspring by slave women, leaving these children to follow the condition of their mother. There was, therefore, not so much less miscegenation among the English but there remained the natural tendency so to denounce these unions as eventually to restrict the custom, as it is today, to the weaker types of both races, the offspring of whom in the case of slave mothers became a commodity in the commercial world.

There was extensive miscegenation in the English colonies, however, before the race as a majority could realize the apparent need for maintaining its integrity. With the development of the industries came the use of the white servants as well as the slaves. The status of the one differed from that of the other in that the former at the expiration of his term of service could become free whereas the latter was doomed to servitude for life. In the absence of social distinctions between these two classes of laborers there arose considerable intermingling growing

out of a community of interests. In the colonies in which the laborers were largely of one class or the other not so much of this admixture was feared, but in the plantations having a considerable sprinkling of the two miscegenation usually ensued.

The following, therefore, was enacted in Maryland in 1661 as a response to the question of the council to the lower house as to what it intended should become of such free women of the English or other Christian nations as married Negroes or other slaves. 10 The preamble reads: "And forasmuch as divers freeborn English women, forgetful of their free condition, and to the disgrace of our nation, do intermatry with negro slaves, 11 by which also divers suits may arise, touching the issue of such women, and a great damage doth befall the master of such negroes, for preservation whereof for deterring such free-born women from such shameful matches, be it enacted: That whatsoever free-born woman shall intermarry with any slave, from and after the last day of the present assembly, shall serve the master of such slave during the life of her husband; and that all the issues of such free-born women, so married, shall be slaves as their fathers were." "And be it further enacted: That all the issues of English, or other free-born women, that have already married negroes, shall serve the master of their parents, till they be thirty years of age and no longer." 12

According to A. J. Calhoun, however, all planters of Maryland did not manifest so much ire because of this custom among indentured servants. "Planters," said he, "sometimes married white women servants to Negroes in order to transform the Negroes and their offspring into slaves."124 This was in violation of the ancient unwritten law that the children of a free woman, the father being a slave, follow the status of their mother and are free. The custom gave rise to an interesting case. "Irish Nell," one of the servants brought to Maryland by Lord Baltimore, was sold by him to a planter when he returned to England. Following the custom of other masters who held white women as servants, he soon married her to a Negro named Butler to produce slaves. Upon hearing this, Baltimore used his influence to have the law repealed but the abrogation of it was construed by the Court of Appeals not to have any effect on the status of her offspring almost a century later when William and Mary Butler sued for their freedom on the ground that they descended from this white woman. The Provincial Court had granted them freedom but in this decision the Court of Appeals reversed the lower tribunal on the ground that "Irish Nell" was a slave before the measure repealing the act had been passed. This case came up again 1787 when Mary, the daughter of William and Mary Butler, petitioned the State for freedom. Both tribunals then decided to grant this petition.13

^{8.} Johnston, The Negro in the New World, p. 135.

^{10.} Brackett, The Negro in Maryland, pp. 32-33.

Benjamin Banneker's mother was a white woman who married one of her own slaves. See Tyson, Benjamin Banneker, p. 3.

^{12.} Archives of Maryland, Proceedings of the General Assembly, 1637-1664, pp. 533-534.

^{122.} Calhoun. A Social History of the American Family, p. 94.

The act of repeal of 1681, therefore, is self explanatory. The preamble reads: "Forasmuch as, divers free-born English, or white women, sometimes by the instigation, procurement or connivance of their masters, mistresses, or dames, and always to the satisfaction of their lascivious and lustful desires, and to the disgrace not only of the English, but also of many other Christian nations, do intermarry with Negroes and slaves, by which means, divers inconveniences, controversies, and suits may arise, touching the issue or children of such free-born women aforesaid; for the prevention whereof for the future, Be it enacted: That if the marriage of any woman-servant with any slave shall take place by the procurement of permission of the master, such woman and her issue shall be free." It enacted a penalty by fine on the master or mistress and on the person joining the parties in marriage.14

The effect of this law was merely to prevent masters from prostituting white women to an economic purpose. It did not prevent the miscegenation of the two races. McCormac says: "Mingling of the races in Maryland continued during the eighteenth century, in spite of all laws against it. Preventing marriages of white servants with slaves only led to a greater social evil, which caused a reaction of public sentiment against the servant. Masters and society in general were burdened with the care of illegitimate mulatto children, and it became necessary to frame laws compelling the guilty parties to reimburse the masters for the maintenance of these unfortunate waifs."15 To remedy this laws were passed in 1715 and 1717 to reduce to the status of a servant for seven years any white man or white woman who cohabited with any Negro, free or slave. Their children were made servants for thirty-one years, a black thus concerned was reduced to slavery for life and the maintenance of the bastard children of women servants was made incumbent upon masters. If the father of an illegitimate child could be discovered, he would have to support his offspring. If not this duty fell upon the mother who had to discharge it by servitude or otherwise,16

As what had been done to prevent the admixture was not sufficient, the Maryland General Assembly took the following action in 1728:

Whereas by the act of assembly relating to servants and slaves, there is no provision made for the punishment of free mulatto women, having bastard children by negroes and other slaves, nor is there any provision made in the said act for the punishment of free negro women, having bastard children by white men; and forasmuch as such copulations are as unnatural and inordinate as between white women and negro men, or other slaves.

Be it enacted, That from and after the end of this present session of assembly, that all such free mulatto women, having bastard children, either within or after the time of their service, (and their issue,) shall be subject to the same penalties that white women and their issue are, for having mulatto bastards, by the act, entitled, An act relating to servants and slaves.

And be it further enacted, by the authority aforesaid, by and with the advice and consent aforesaid, That from and after the end of this present session of assembly, that all free negro women, having bastard children by white men, (and their issue,) shall be subject to the same penalties that white women are, by the act aforesaid, for having bastards by negro men.¹⁷

Virginia which faced the same problem did not lag far behind Maryland. In 1630 the Governor and Council in Court ordered Hugh Davis to be soundly whipped before an assembly of Negroes and others for abusing himself to the dishonor of God and shame of a Christian by defiling his body in lying with a Negro, which he was to acknowledge next Sabbath day. In 1662 the colony imposed double fines for fornication with a Negro, but did not restrict intermarriage until 1691. The words of the preamble give the reasons for this action. It says:

And for the prevention of that abominable mixture and spurious issue which hereafter may increase in this dominion, as well by negroes, mulattoes, and Indians intermarrying with English, or other white women, as by their unlawful accompanying with one another, Be it enacted by the authoritie aforesaid, and it is hereby enacted. That for the time to come, whatsoever English or other white man or woman being free shall intermarry with a negro, mulatto, or Indian man or woman bond or free shall within three months after such marriage be banished and removed from this dominion forever, and that the justices of each respective countie within this dominion make it their perticular care, that this act be put in effectuall execution.

If any free English woman should have a bastard child by any Negro or mulatto, she should pay the sum of fifteen pounds sterling, within one month after such bastard child should be born, to the church wardens of the parish where she should be delivered of such child, and in default of such payment she should be taken into the possession of the said church wardens and disposed of for five years, and such bastard child should be bound out as a servant by the church wardens until he or she should attain the age of thirty years, and in case such English woman that should have such bastard child be a servant, she should be sold by the church wardens (after her time is expired that she ought by law to serve her master) for five years, and the money she should be sold for divided as before appointed, and the child should serve as aforesaid.¹⁹

It was further provided in 1753 that if any woman servant should have a bastard child by a Negro or mulatto, over and above the year's service due to her master or owner, she should immediately upon the expiration of her time, to her then present master, or owner, pay down to the church wardens of the parish wherein such child should be born for the use of the said parish, fifteen pounds current money of Virginia, or be sold for five years to the use aforesaid; and if a free Christian white woman should have such bastard child by a Negro, or mulatto,

^{14.} Hurd, Law of Freedom and Bondage, VI, pp. 249-250.

^{15.} McCormac. White Semitude in Maryland n 70

Dorsey, The General Public Statutory Law and Public Local Law of State of Maryland, from 1692– 1839, p. 79.

¹⁹ Dalland Militar Committee to the College of China to the Co. Co.

for every such offence, she should within one month after her delivery of such bastard child, pay to the church wardens for the time being, of the parish wherein such child should be born, for the use of the said parish, fifteen pounds current money of Virginia, or be by them sold for five years to the use aforesaid; and in both the said cases, the church wardens should bind the said child to be a servant until it should be of thirty-one years of age.

And for a further prevention of that "abominable mixture, and the spurious issue, which may hereafter increase in this his majesty's colony and dominion as well by English, and other white men and women, intermarrying with Negroes or mulattoes, as by their unlawful coition with them" it was enacted that whatsoever English, or other white man or woman, being free, should intermarry with a Negro, or mulatto man or woman bond or free, should by judgment of the county court, be committed to prison and there remain during the space of six months, without bail or main-prize, and should forfeit and pay ten pounds current money of Virginia, to the use of the parish as aforesaid. It was further enacted that no minister of the Church of England, or other minister or person whatsoever, within that colony and dominion, should thereafter presume to marry a white man with a Negro, or mulatto woman, or to marry a white woman with a Negro or mulatto man, upon pain of forfeiting and paying for every such marriage, the sum of ten thousand pounds of tobacco.20

It developed later that these laws did not meet all requirements, for there were in subsequent years so many illegitimate children born of such mothers that they became a public charge.21 Those of Negro blood were bound out by law. According to Russell, "In 1727 it was ordered that David James a free negro boy, be bound to Mr. James Isdel 'who is to teach him to read ye bible distinctly also ye trade of a gunsmith that he carry him to ye Clark's office & take Indenture to that purpose.' By the Warwick County court it was 'ordered that Malacai, a mulatto boy, son of mulatto Betty be, by the Church Wardens of this Parish bound to Thomas Hobday to learn the art of a planter according to law.' By order of the Norfolk County court, about 1770, a free negro was bound out 'to learn the trade of a tanner.' "22

In making more stringent regulations for servants and slaves, North Carolina provided in 1715 that if a white servant woman had a child by a Negro, mulatto or Indian, she must serve her master two years extra and should pay to the Church wardens immediately on the expiration of that time six pounds for the use of the parish or be sold four years for the use aforesaid.23 A clergyman found guilty of officiating at such a marriage should be fined fifty pounds. This law, according to Bassett, did not succeed in preventing such unions. Two ministers were indicted within two years for performing such a marriage ceremony. "In one case the suit was dropped, in the other case the clergyman went before the Chief

Justice and confessed as it seems of his own accord. . . . In 1727 a white woman was indicted in the General Court because she had left her husband and was cohabiting with a negro slave. . . . So far as general looseness was concerned this law of 1715 had no force. Brickell, who was a physician, says that white men of the colony suffered a great deal from a malignant kind of venereal disease which they took from the slaves."24

By the law of 1741 therefore the colony endeavored to prevent what the General Assembly called "that abominable mixture and spurious issue, which hereafter may increase in this government, by white men and women intermarrying with Indians, Negroes, mustees, or mulattoes." It was enacted that if any man or woman, being free, should intermarry with an Indian, Negro, mustee or mulatto man or woman, or any person of mixed blood, to the third generation, bond or free, he should, by judgment of the county court forfeit and pay the sum of fifty pounds, proclamation money, to the use of the parish.25 It was also provided that if any white servant woman should during the time of her servitude, be delivered of a child, begotten by any Negro, mulatto or Indian, such servant, over and above the time she was by this act to serve her master or owner for such offence, should be sold by the Church wardens of the parish, for two years, after the time by indenture or otherwise had expired.26

The miscegenation of the whites and blacks extended so widely that it became a matter of concern to the colonies farther north where the Negro population was not considerable. Seeking also to prevent this "spurious mixt issue" Massachusetts enacted in 1705 that a Negro or mulatto man committing fornication with an "English woman, or a woman of any other Christian nation," should be sold out of the province. "An English man, or man of any other Christian nation committing fornication with a Negro or mulatto woman," should be whipped, and the woman sold out of the province. None of her Majesty's English or Scottish subjects, nor of any other Christian nation within that province should contract matrimony with any Negro or mulatto, under a penalty imposed on the person joining them in marriage. No master should unreasonably deny marriage to his Negro with one of the same nation; any law, usage or custom to the contrary notwithstanding.27

There was much social contact between the white servants and the Negroes in Pennsylvania, where the number of the latter greatly increased during the first quarter of the nineteenth century. Turner says a white servant was indicted for this offence in Sussex County in 1677 and a tract of land there bore the name of "Mulatto Hall."28 According to the same writer Chester County seemed to have a large number of these cases and laid down the principle that such admixture should be prohibited,

^{20.} Hening, Statutes at Large, VI, pp. 360-362.

^{21.} Meade, Old Churches and Families of Virginia, I, p. 366.

^{22.} Russell, Free Negro in Virginia, pp. 138-139.

^{24.} Ibid., pp. 58-59. See also Natural History of North Carolina, p. 48; and Hawk's History of North · Carolina, II, pp. 126-127.

^{25.} Potter, Revised Laws of North Carolina, I., p. 130.

^{26.} Ibid., I, p. 157.

^{27.} Massachusetts Charters, etc., p. 747; Hurd, Law of Freedom and Bondage, VI. p. 262.

"For that hee," referring to a white man, "Contrary to his Masters Consent hath...got wth child a certaine molato wooman Called Swart anna." "David Lewis Constable of Haverford Returned a Negro man of his And a white woman for having a Bastard Childe... the Negroe said she Intised him and promised him to marry him: she being examined, Confest the same: the Court ordered that she shall receive Twenty one lashes on her bare Backe... and the Court ordered the negroe never more to meddle with any white woman more uppon paine of his life." 29

Advertising for Richard Molson in Philadelphia in 1720, his master said, "He is in company with a white woman named Mary, who is supposed now goes for his wife"; "and a white man named Garrett Choise, and Jane his wife, which said white people are servants to some neighbors of the said Richard Tilghman." In 1722 a woman was punished for abetting a clandestine marriage between a white woman and a Negro. In the *Pennsylvania Gazette*, June 1, 1749, appeared the notice of the departure of Isaac Cromwell, a mulatto, who ran away with an English servant woman named Anne Greene.

The Assembly, therefore, upon a petition from inhabitants inveighing against this custom enacted a prohibitory law in 1725. This law provided that no minister, pastor or magistrate or other person whatsover who according to the laws of that province usually joined people in marriage should upon any pretence whatever join in marriage any Negro with any white person on the penalty of one hundred pounds. And it was further enacted that if any white man or woman should cohabit or dwell with any Negro under pretense of being married, such white man or woman should be put out of service as above directed until they come to the age of thirty-one years; and if any free Negro man or woman should intermarry with a white man or woman, such Negro should become a slave during life to be sold by order of the justice of the quarter sessions of the respective county; and if any free Negro man or woman should commit fornication or adultery with any white man or woman, such Negro or Negroes should be sold as a servant for seven years and the white man or woman should be punished as the law directs in cases of adultery or fornication.¹²

This law seemed to have very little effect on the miscegenation of the races in certain parts. In Chester County, according to the records of 1780, mulattoes constituted one fifth of the Negro population.³³ Furthermore, that very year when the State of Pennsylvania had grown sufficiently liberal to provide for gradual emancipation the law against the mingling of the races was repealed. Mixed marriages thereafter became common as the white and the blacks in the light of the American Revolution realized liberty in its full meaning. Thomas Branagan said:

There are many, very many blacks who...begin to feel themselves consequential,... will not be satisfied unless they get white women for wives, and are

likewise exceedingly impertinent to white people in low circumstances.... I solemnly swear, I have seen more white women married to, and deluded through the arts of seduction by negroes in one year in Philadelphia, than for eight years I was visiting (West Indies and the Southern States). I know a black man who seduced a young white girl... who soon after married him, and died with a broken heart. On her death he said that he would not disgrace himself to have a negro wife and acted accordingly, for he soon after married a white woman.... There are perhaps hundreds of white women thus fascinated by black men in this city, and there are thousands of black children by them at present.²⁴

A reaction thereafter set in against this custom during the first decade of the nineteenth century, when fugitives in the rough were rushing to that State, and culminated in an actual campaign against it by 1820. That year a petition from Greene County said that many Negroes had settled in Pennsylvania and had been able to seduce into marriage "the minor children of the white inhabitants."35 This county, therefore, asked that these marriages be made an offence against the laws of the State. Such a marriage was the cause of a riot in Columbia in 1834 and in 1838 the members of the Constitutional Convention engaged in a heated discussion of the custom.³⁶ Petitions were frequently sent to the legislature asking that this admixture be penalized by law, but no such action was ever taken. Relying upon public opinion, however, the advocates of racial integrity practically succeeded. Marriages of whites and blacks eventually became so odious that they led to disturbances as in the case of the riot of 1849, one of the causes of which was that a white man was living with a Negro wife.³⁷ This was almost ineffective, however, in the prevention of race admixture. Clandestine intermingling went on and tended to increase in enormous proportions. The conclusive proof of this is that in 1860 mulattoes constituted one third of the Negro population of Pennsylvania.

Persons who professed seriously to consider the future of slavery, therefore, saw that miscegenation and especially the general connection of white men with their female slaves introduced a mulatto race whose numbers would become dangerous, if the affections of their white parents were permitted to render them free.³⁸ The Americans of the future would thereby become a race of mixed breeds rather than a white and a black population. As the lust of white persons for those of color was too strong to prevent this miscegenation, the liberty of emancipating their mulatto offspring was restricted in the slave States but that of selling them remained.³⁹

These laws eventually, therefore, had their desired effect. They were never intended to prevent the miscegenation of the races but to debase to a still lower

^{29.} Ibid., p. 30.

^{30.} The American Weekly Mercury (Philadelphia), August 20, 1720.

^{31.} The Pennsylvania Gazette, June 1, 1749.

^{32.} Statutes at Large, IV, p. 62.

Branagan, Serious Remonstrances, pp. 68, 69, 70, 71, 73, 74, 75, 102; Somerset Whig, March 12, 1818, and Union Times, August 15, 1834.

^{35.} Journal of Senate, 1820-1821, p. 213; and American Daily Advertiser, January 23, 1821.

^{36.} Proceedings and Debates of the Convention of 1838, X, p. 230.

^{37.} The Spirit of the Times, October 10, 11, 12, 13, 17, 19, 1849.

^{38.} Harriet Martineau, Views of Slavery and Emancipation, p. 10.

status the offspring of the blacks who in spite of public opinion might intermarry with the poor white women and to leave women of color without protection against white men, who might use them for convenience, whereas white women and black men would gradually grow separate and distinct in their social relations. Although thereafter the offspring of blacks and whites did not diminish, instead of being gradually assimilated to the type of the Caucasian they tended to constitute a peculiar class commonly called people of color having a higher social status than that of the blacks but finally classified with all other persons of African blood as Negroes.

While it later became a capital offence in some of the slave States for a Negro man to cohabit with a white woman, Abdy who toured this country from 1833 to 1834 doubted that such laws were enforced. "A man," said he, "was hanged not long ago for this crime at New Orleans. The partner of his guilt—his master's daughter-endeavored to save his life, by avowing that she alone was to blame. She died shortly after his execution."40 With the white man and the Negro woman the situation was different. A sister of President Madison once said to the Reverend George Bourne, then a Presbyterian minister in Virginia: "We Southern ladies are complimented with the name of wives; but we are only the mistresses of seraglios." The masters of the female slaves, however, were not always the only persons of loose morals. Many women of color were also prostituted to the purposes of young white men41 and overseers.42 Goodell reports a well-authenticated account of a respectable Christian lady at the South who kept a handsome mulatto female for the use of her genteel son, as a method of deterring him, as she said, "from indiscriminate and vulgar indulgences." Harriet Martineau discovered a young white man who on visiting a southern lady became insanely enamored of her intelligent quadroon maid. He sought to purchase her but the owner refused to sell the slave because of her unusual worth. The young white man persisted in trying to effect this purchase and finally informed her owner that he could not live without this attractive slave. Thereupon the white lady sold the woman of color to satisfy the lust of her friend.44

The accomplishment of this task of reducing the free people of color to the status of the blacks, however, was not easy. In the first place, so many persons of color had risen to positions of usefulness among progressive people and had formed connections with them that an abrupt separation was both inexpedient and undesirable. Exceptions to the hard and fast rules of caste were often made to relieve the people of color. Moreover, the miscegenation of the races in the South and especially in large cities like Charleston and New Orleans had gone to the extent that from these centers eventually went, as they do now, a large

number of quadroons and octoroons,45 who elsewhere crossed over to the other race.

White men ashamed of the planters who abused helpless black women are now trying to minimize the prevalence of this custom. Such an effort, however, means little in the face of the facts that one seventh of the Negroes in the United States had in their veins any amount of Caucasian blood in 1860 and according to the last census more than one fifth of them have this infusion. Furthermore the testimony of travelers in this country during the slavery period support the contention that race admixture was common.⁴⁶

So extensive did it become that the most prominent white men in the country did not escape. Benjamin Franklin seems to have made no secret of his associations with Negro women.⁴⁷ Russell connects many of these cases with the master class in Virginia.⁴⁸ There are now in Washington Negroes who call themselves the descendants of two Virginians who attained the presidency of the United States.

The abolitionists made positive statements about the mulatto offspring of Thomas Jefferson. Goodell lamented the fact that Jefferson in his will had to entreat the legislature of Virginia to confirm his bequest of freedom to his own reputed enslaved offspring that they might remain in the State of their nativity, where their families and connections were.49 Writing in 1845, the editor of the Cleveland American expressed regret that notwithstanding all the services and sacrifices of lefferson in the establishment of the freedom of this country, his own son then living in Ohio was not allowed to vote or bear witness in a court of justice. The editor of the Ohio Star said: "We are not sure whether this is intended as a statement of actual fact, or of what might possibly and naturally enough be true." The Cincinnati Herald inquired: "Is this a fact? If so, it ought to be known. Perhaps 'the Democracy' might be induced to pass a special act in his favor." The Cleveland American, therefore, added: "We are credibly informed that a natural son of Jefferson by the celebrated 'Black Sal,' a person of no little renown in the politics of 1800 and thereafter, is now living in a central county of Ohio. We shall endeavor to get at the truth of the matter and make public the result of our inquiries."50

^{40.} Abdy, North America, I, p. 160.

^{41.} Child, Anti-slavery Catechism, p. 17; 2 Howard Mississippi Reports, p. 837.

Kemble, Georgian Plantation, pp. 140, 162, 199, 208-210; Olmstead, Seaboard States, pp. 599-600; Rhodes, United States, I, pp. 341-343.

^{43.} Goodell, Slave Code, pp. 111-112.

^{45.} Featherstonaugh, Excursion, p. 141; Buckingham, Slave States, I, p. 358.

^{46.} Writing of conditions in this country prior to the American Revolution, Anne Grant found only two cases of miscegenation in Albany before this period but saw it well established later by the British soldiers. Johann Schoepf witnessed this situation in Charleston in 1784. J. P. Brissot saw this tendency toward miscegenation as a striking feature of society among the French in the Ohio Valley in 1788. The Duke of Saxe-Weimar-Eisenach was very much impressed with the numerous quadroons and octoroons of New Orleans in 1825 and Charles Gayarré portrayed the same conditions there in 1830. Fredrika Bremer frequently met with this class while touring the South in 1850. See Grant, Memoirs of an American Lady, p. 28; Schoepf, Travels in the Confederation, II, p. 382; Brissot, Travels, II, p. 61; Saxe-Weimar, Travels, II, p. 69; Grace King, New Orleans, pp. 346-349; Fredrika Bremer, Homes of the New World, I, pp. 325, 326, 382, 385.

^{47.} Ibid., XXII, p. 98.

^{48.} See Russell, Free Negro in Virginia, p. 127.

^{49.} Goodell, Slave Code, p. 376.

A later report of miscegenation of this kind was recorded by Jane Grey Swisshelm in her Half a Century, where she states that a daughter of President John Tyler "ran away with the man she loved in order that she might be married, but for this they must reach foreign soil. A young lady of the White House could not marry the man of her choice in the United States. The lovers were captured and she was brought to His Excellency, her father, who sold her to a slave-trader. From that Washington slave-pen she was taken to New Orleans by a man who expected to get twenty-five hundred dollars for her on account of her great beauty."51

Interracial Marriage and the Law*

WILLIAM D. ZABEL

In the past decade, the law and the Supreme Court have done a great deal to ensure the equality of all races and to guarantee equal civil rights. But in the area of interracial marriage, the statutes of nineteen states continue to deny the individual the freedom to marry the person of his choice. The vagaries of these statutes and the failure of the Supreme Court to act are here set forth by William D. Zabel, a practicing lawyer in New York.

When a reporter asked former President Harry S. Truman if interracial marriage—miscegenation—would become widespread in the United States, Mr. Truman said, "I hope not; I don't believe in it." Then Mr. Truman asked the reporter that hackneyed question often spouted at anyone advocating racial integration, "Would you want your daughter to marry a Negro?" The reporter responded that he wanted his daughter to marry the man she loved whoever he might be. "Well, she won't love someone who isn't her color," the former President continued, and, as if he had not said enough, added that racial intermarriage ran counter to the teachings of the Bible.

The question of miscegenation can make a man like Truman, whose past support of integration in other respects is not open to question, appear unthinking if not bigoted. The fact of interracial marriage can cause a young Radcliffeeducated "liberal" to refuse to attend the wedding of her only brother, or a civilized, intelligent judge to disown and never again speak to his daughter. How many persons are repelled or at least disconcerted at the mere sight of a Negrowhite couple? Perhaps their number tells us how far we are from achieving an integrated society.

If usually tolerant and rational persons can react this way, it is not surprising that many experts consider the fear of miscegenation the strongest reason for the desire of whites to keep the Negro permanently segregated. Next in importance in the "white man's rank order of discrimination," according to Gunnar Myrdal in his classic study, An American Dilemma, are other social conventions, the use of public facilities, political franchise, legal equality, and employment. On the other hand, the social and legal barriers to miscegenation rank at the bottom of the Negro's list of grievances; quite naturally, he is more concerned with obtaining a job, decent living accommodations, and an education than with marrying "your daughter." A recent Ford Foundation study of more than seven hundred Negro families in Chicago concluded: "There is no evidence of a desire for miscegenation, or even interest in promoting it, except among a tiny minority."

Even though the Negro has finally attained equality under the law in most areas of American life, a Negro and a white still cannot marry in nineteen states having antimiscegenation statutes—mostly Southern and "border" states, but also including Indiana and Wyoming. No other civilized country has such laws except the Union of South Africa.

The United States Supreme Court has never ruled on the constitutionality of these statutes. In 1954, a few months after its historic decision prohibiting segregation in public schools, the Court refused to review the case of Linnie Jackson, a Negro woman who had been convicted under the Alabama miscegenation statute. Later, in 1956, the Court again avoided the issue, dismissing an appeal in a miscegenation case from Virginia. This dismissal was termed "wholly without basis in law" by a leading authority on constitutional law, Professor Herbert Wechsler of the Columbia Law School, because there was no appropriate legal reason for avoiding the decision.

In December, 1964, the Court upset the conviction of Connie Hoffman, a white woman, and Dewey McLaughlin, a Spanish-speaking merchant seaman from British Honduras. They had violated a Florida criminal law punishing extramarital cohabitation only if the offending couple were a Negro and a white person. The Court invalidated this statute as a denial of equal protection of the law guaranteed by the Fourteenth Amendment but refused to express "any views about [Florida's] prohibition of interracial marriage."

The Court may again be confronted with this question in a case instituted by a white construction worker and his part-Negro wife, Richard and Mildred Loving. They are seeking to have the Virginia miscegenation law declared unconstitutional so that they and their three children may reside in the state from which they have been banished. The Lovings have no connection with the civil rights movement and are not represented by attorneys of a Negro civil rights organization. Both had spent all their lives in Caroline County, Virginia, south of Fredericksburg. They were married in Washington, D.C., in 1958 and returned to Virginia. Five weeks later, they were charged with the crime of marrying each other, and because of this crime were convicted and sentenced to one year in prison. But Virginia County Circuit Judge Leon M. Bazlie suspended the sentences and provided instead that the Lovings leave Virginia "at once and do not

^{51.} Swisshelm, Half a Century, p. 129.

^{*} From William D. Zabel, "Interracial Marriage and the Law." Atlantic Monthly (October 1965): 75-

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DANGEROUS LIAISONS

Sex and Love in the Segregated South

Charles Frank Robinson II

The University of Arkansas Press Fayetteville 2003 Copyright © 2003 by The University of Arkansas Press

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Designer: John Coghlan

⊕ The paper used in this publication meets the minimum requirements of the American National Standard for Permanence of Paper for Printed Library Materials Z39.48-1984.

Library of Congress Cataloging-in-Publication Data Robinson, Charles F. (Charles Frank)

Dangerous liaisons : sex and love in the segregated South / Charles Frank Robinson II.

p. cm.

Includes bibliographical references and index.

ISBN 1-55728-755-4 (cloth: alk. paper)

1. Miscegenation—Southern States—History. 2. Miscegenation—Law and legislation—Southern States—History. 3. African Americans—Legal status, laws, etc.—Southern States—History. 4. African Americans—Southern States—Social conditions. 5. Southern States—Race relations. 6. Southern States—Social conditions—1865—1945. I. Title.

E185.62 .R66 2003 306.84'6'0975—dc21

2003010651

This book is dedicated to the absolute love of my life, my son, "C3"—Charles F. Robinson III

Virginia expanded its anti-miscegenation efforts in 1691 with the passage of a law that prohibited marriage between blacks and whites. The edict threatened white violators with banishment while providing no direct penalty for the black person involved in the interracial liaison. Although Virginia lawmakers left no record to indicate why they punished only whites with physical ostracism, one can conjecture that because most blacks were slaves, lawmakers probably did not want to deprive masters of their laborers.¹¹

The Virginia law of 1691 had other clauses that demonstrated its link to maintaining labor. The measure penalized English women who produced children from black men with a fine of fifteen pounds. Failure to pay resulted in the woman being "disposed of for five years" so that she could pay the fine through her labor. Further, the law empowered authorities to take possession of the woman's child and to bind him out for service until he reached the age of thirty.¹²

The anti-miscegenation codes of other colonies also revealed the tie between the law and slavery. Maryland's 1664 anti-miscegenation law required a white woman who married a male slave to serve the master for the lifetime of her slave husband. In addition, Maryland's law insisted that any children resulting from the union be required to labor for the parish for thirty-one years. In a subsequent measure passed by the Maryland assembly in 1692, free blacks who married white women suffered the penalty of life in bondage.¹³

Pennsylvania's anti-miscegenation law, erected in 1725, followed that of Maryland, punishing free blacks who married whites with the sentence of life bondage. The Pennsylvania law, likewise, outlawed interracial sexual relations outside the institution of marriage. All free persons convicted of interracial fornication could receive the sentence of seven years in bondage.¹⁴

Proscriptions similar to those found in the laws of Virginia, Maryland, and Pennsylvania also marked the anti-miscegenation statutes of Massachusetts (1705), North Carolina (1715), South Carolina (1717), Delaware (1726), and Georgia (1750). In each colony a violation of the law required some party, man, woman, and/or child, to make restitution by sacrificing freedom. Anti-miscegenation laws, therefore, definitely served as one of the colonial cornerstones in sustaining and expanding the institution of slavery.¹⁵

Interracial sexual codes in colonial history also had another purpose. Although the laws did not prevent interracial sex, they attempted to control how and between whom it occurred. As has already been suggested, by implication the laws allowed sex between white masters and slave women. Because a slave's paternity did not matter, colonial authorities would scarcely attempt to prosecute white men for sex with slave women. The laws, however, did bring the sexual choices of white women under greater public scrutiny, making them special targets of enforcement. In practice white women who had sex with black men ran a greater risk of being punished for their activities because in most cases they were not slave owners and because many anti-miscegenation laws specifically singled out white women for punishment. Hence, the white men of the Virginia assembly probably viewed the colony's first anti-miscegenation law as a means of placing stricter controls on the sexuality of white women. ¹⁶

Indeed, colonial officials made white women special targets of anti-miscegenation enforcement. As mentioned earlier, the anti-miscegenation laws of Virginia and Maryland levied special punishments on white women who crossed the color line. The colonial records detailed a number of cases of courts punishing white women for their interracial sexual transgressions. For example, in Elizabeth City County, Virginia, a court convicted Ann Hall, a free English woman, of "having two mulatto bastards by a Negro." In Chester County, Pennsylvania, a court ordered a white woman to "receive twenty-one lashes on her bare" back for "inticing" a black man to cross the sexual color line. ¹⁷ In Westfield, Massachusetts, the general court dissolved the marriage of a white couple, Nicholas and Agnes Brown, after Nicholas charged Agnes with engaging in sexual relations with several black men. ¹⁸

Why did white colonials target the sexuality of white women? The answer appears to be five-fold. First, bastardy constituted a special problem in and of itself to colonial communities as it placed greater pressure on the community to provide for the children born out of wedlock. By establishing severe penalties for bastardy, colonial officials hoped to discourage white women from delivering children outside of marriage and thus mitigate economic burdens on the local community. ¹⁹ Second, Englishmen had very negative perceptions of female morality. Many colonials believed that women were particularly vulnerable to satanic

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proved critical. If she confessed to sharing mutual affection with a black man, the state would most likely indict them both or in a few cases ignore them. If the white woman intimated that a black man had forced himself upon her, whites would likely employ lynch law and murder the black man.

By the last decade of the nineteenth century, race relations in the South had moved far away from Reconstruction's egalitarianism or Redemption's delicate balance. Southern white conservatives solidified their dominance by disenfranchising blacks and relegating them to second-class citizenship. The federal government that had at one time served as a guardian of black rights relinquished that role, choosing to view the repressive actions of the white South through the blinders of a "separate but equal" legal philosophy. Under these conditions interracial couples found it more difficult to publicly sustain their relationships. To Southern white authorities, formal black/white intimacy, especially that involving black men and white women, could no longer be tolerated. To allow such affiliations to go unchallenged undermined both white male gender privileges and notions of white supremacy. Undoubtedly, this repressive environment discouraged many from daring to choose intimacy across the color line. For those who did maintain their relationships, this hostile atmosphere gave them little choice but to mask them.

CHAPTER V

Expanding the Color Divide

The Anti-miscegenation Effort during the Progressive Era

On December 12, 1912, James Arthur Johnson of Galveston, Texas, the first black heavyweight boxing champion, married Lucille Cameron, a nineteen-year-old white woman from Minnesota. Instead of holding a private ceremony, the controversial Johnson opened his nuptials to the public, knowing that his actions would produce national rancor. Newspapers throughout the country reported the virulent condemnation of the Johnson marriage. A Los Angeles Times article recorded the reaction of a group of Louisiana whites who questioned whether or not the people of Illinois knew what "sea-grass ropes were made for" and announced that they had started a fund to "take care" of Johnson. The Cleveland Gazette noted the anger of an Oklahoma woman who declared that the people of Oklahoma would never have allowed Johnson to marry Cameron. The official organ of the NAACP, the Crisis, announced that two Southern ministers had recommended the lynching of Johnson.

Prominent officials across the nation also expressed their strident disapproval of the Johnson marriage. Governor William Mann of Virginia called the marriage "a desecration of one of our sacred rites." Governor John Dix of New York referred to the Johnson marriage as "a blot on our civilization." Cole Blease, the governor of South Carolina, explained that Johnson, "the boasted hero of blacks... could not disgrace South Carolina by having himself united to a white woman within its borders."²

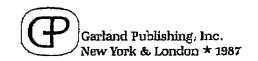
THE ANTI-MISCEGENATION EFFORT IN THE 1890s

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NORTHERN ATTITUDES TOWARDS

INTERRACIAL MARRIAGE * Legislation and
Public Opinion in the
Middle Atlantic and the
States of the Old
Northwest, 1780–1930

David H. Fowler



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Library of Congress Cataloging-in-Publication Data

Fowler, David H., 1924-

Northern attitudes towards interracial marriage.

(American legal and constitutional history)
Originally presented as the author's thesis (Ph.D.—
Yale University, 1963),

Bibliography: p.

- Miscegenation—Law and legislation—Middle
 Atlantic States—History.
 2. Miscegenation—Law and legislation—Northwest. Old—History.
 3. Miscegenation—Middle Atlantic States—Public opinion—History.
- 4. Public opinion—Middle Atlantic States—History.
- 5. Miscegenation—Northwest, Old—Public opinion—History. 6. Public opinion—Northwest, Old—History.

I. Title. II. Series.

KF511.F69 1987 346.7301'B 86-27077

ISBN 0-8240-8266-4 347.30616

All volumes in this series are printed on acid-free, 250-year-life paper.

Printed in the United States of America

114 7

NORTHERN ATTITUDES TOWARDS INTERRACIAL MARRIAGE

Legislation and Public Opinion in the Middle Atlantic States and the States of the Old Northwest, 1780-1930

David H. Fowler

about the negro race, and that, by pursuing a proper course towards them, they might be elevated far above the position they now occupy?

Still another speaker argued later in the convention debates against the theory of polygenesis:

All respectable suthority on zoology, physiology, and divinity agree, in reference to the unity of origin of the white and black man. They are the same in organization and governed by the same laws of organization. They are subject to the same disease—they have the same mental faculties—they possess the same sympathies and instinct. With different species it is not so. 142

One theory sat forth by Dr. Nott, used often to support the position that genetic intermixture of Megroes and whites violated the law of natura as well as the law of God, argued that hybrid offspring of whites and blacks were weaker than either parent stock and tended to die out rapidly. The difficulties of free Negroes, many of whom were mulattoes, under the conditions of life in the North, lent obvious force to this argument. In legislative debates, occasional mention was made of the idea of mulatto inferiority, but it was usually treated as a self-evident fact rather than a proposition requiring proof by avidence or even illustration.

If state statutes, taken by themselves, furnished a reliable guide to social conditions, the observer would be forced to conclude that in the years before the Civil War caste lines were becoming more rigid in the East North Central states, while weakening only slightly in the

Middle Atlantic area. Yet laws tell only a part of the story. The emergence of interracial marriage as a public issue, debated in legislatures and the press, helps the reader to see how deeply divided were whites in the North-especially in the East but also in parts of the Midwest-on the question of public responsibility for the maintenance of caste.

This discussion also reveals how subversive to caste were the ideas and ideals of Revolutionary liberalism and Christian humanitarianism, given fresh strength by their application to the anti-slavery movement.

Both advocates and opponents of intermarriage laws agreed, as the debates make clear, on the social value of caste lines, But where advocotes insisted on a total social separation of Megroes and whites, which could be achieved only by open and forceful public sanctions, opponents argued that virtual social separation of the races, which would be enforce in any case by private social pressure, was good enough. Moreover, the opponents continued, public enforcement of caste violated social values even more primary than caste, namely, Christian brotherhood and the political equality of free individuals. The danger to casts, however enforced, of these ideas can be seen in the fact that they left social separation without any ideological support. Where caste in India has had strong religious justifications and occupational identification, and where caste in the American South had both slavery and actual fear of racial conflict to support it, caste in the American North had comparatively weak support from any of these justifications or identifications. The use of Christianity and democratic philosophy to attack legalized casts thus served to undermine all caste lines.

This interpretation of Christian and democratic principles grow in influence among Northern whites during the pre-Civil War period. The

¹⁴² Report of Debates of the Convention for Revision of the Constitution, I, 563; II, 1933.

Jorish C. Nott, "The Mulatto a Hybrid," American Journal of Medical Science, new series 6 (1843), 252-256; Stanton, Leopard's Spots, pp. 66-68

ohio black laws (the Ohio intermarriage law, the repeal of some of the Ohio black laws (the Ohio intermarriage law of 1861 notwithstanding), the resistance to intermarriage legislation in Pennsylvania and Wisconsin and the absence of sentiment for such laws in New York and New Jersey, and finally, the repeated attacks on the disfranchisement of Negroes in nearly all the states with restrictions, spelled out a serious challenge to caste everywhere in that section. How Northern whites might have answered that challenge without a Civil War no one can say, but the challenge did exist.

The agitation over intermarriage laws probably did not, however, carry as much significance for the future of caste in the North as did agitation over suffrage restrictions. The high value accorded the franchise in the United States stems from the peculiar force of popular suffrage in a democracy with widespread literacy, widespread economic opportunity, and traditions of individualism and political participation. Prevention of social mobility at any given time is as essential to the perpatuation of caste as is the intermarriage taboo, and of the possible levers which free Negroes had available to them to bring about improvements in their parish status, the franchise was the most obvious. Opponents of Negro suffrage argued, with much logic, that if this particular right were granted, Negroes would use their new political power to obtain other rights. It is worth noting that while four of the states being examined had no intermarriage laws in this period, all eight limited the franchise pretty effectively to whites. It was easy to envision Negroes in large numbers taking immediate advantage of the suffrage, but to conceive of a change in the intermarriage rate in the near future required much greater imagination. Thus the controversy over Hegro suffrage had a reality and an immediacy which gave it added significance and more

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frequent exposure.

The passage or repeal of intermarriage laws elsewhere in the United States between 1831 and 1865 shows both strong similarities and sharp contrasts to the trands seen in these eight states. Inaction in New England resembled that in New York and New Jersey. New Hampshire, Vermon and Connecticut continued without prohibitions. The Massachusetts law wa of course, repealed in 1843, but the laws of Maine and Rhode Island remained undisturbed. One suspects that outside Massachusetts, abolitionis activity against the laws was not militant, while recial relations in the whole region were quite stable in the years before the Civil War. Negroes in New England increased in number from 21,379 to only 24,711 between 1830 and 1860, constituting only 0.8 per cent of the region's population in the letter year. 144 Problems of Negro-white relationships were probably insignificant compared to those arising from contact of the native-born with the hundreds of thousands of foreign-born who arrive in New England in the 1840's and 1850's.

The slave states adopted relatively little legislation on intermarriage during these years, partly because most of them had laws already partly, no doubt, because the caste line was generally so sacrosanct that intermarriage did not become an issue. Two states which did not possess intermarriage laws before the Civil War, Alabama and Mississippi, had negligible numbers of free Negroes, and thus the slave codes effectually prevented intermarriages. South Carolina, which retained its colonial punishment of interracial bastardy, did not prohibit intermarriage, nor did Georgia. The latter acted in 1852 and 1861, however, to punish interracial cohabitation. New laws were passed in Plorida in 1832, Misso

Bureau of the Census, Negro Population, 1790-1915, table 13, on. 43-45: table 5. n. 51.

in 1834 or 1835, Texas (then an independent nation) in 1837, and Arkanses in 1838, while North Carolina altered its law slightly in 1837 and again in 1838, and Kentucky revemped its prohibition in a revision of its law code in 1852. 145

The above new laws, like those of Maine, Michigan, Indiana, and perhaps Illinois, suggest that it was commonplace for an area which achieved separate territorial or state status to adopt an intermarriage law during the early years of independent jurisdiction. This tendency also appeared in the new territories and states beyond the Mississippi. Iowa Territory prohibited intermarriage in 1840, California in 1850, Kansas Territory, Nebraska Territory, and Washington Territory in 1855, New Mexico Territory in 1857, Nevada Territory in 1861, Oragon in 1862, Idaho Territory and Colorado Territory in 1864, and Arizona Territory in 1865. Utah Territory, which did not prohibit intermarriage at this time, did in 1852 ban sexual intercourse between whites and "persons of the African race." Minnesote was the only trans-Mississippi jurisdiction to violete this pattern; like neighboring Wisconsin, it never prohibited intermarriage. Finally, West Virginia took no action to prohibit intermarriage in the first years of its separation from Virginia.

Before the Civil War only two of these Western jurisdictions joined Massachusetts on repealing their intermatriage statutes. They were lowa, which omitted its 1840 law from a statutory revision in 1850, and Kansas where in 1859 sati-slavery adherents repealed the whole code of black laws

adopted by the earlier pro-slavery legislature in 1855. New Mexico and Washington territories were to repeal their laws in 1866 and 1868, after the war. 148

In the absence of detailed study, it is impossible to evaluate the strengths of the various factors which impelled the Western jurisdictions which had few Regroes, to adopt intermarriage laws. Undoubtedly routine imitation of the law codes of Eastern states took place. Certainly the transplanted Easterners who wrote those codes took their habits and prejudices along with them. An additional factor which may have had some significance, however, was one which schood the frontier experience of seventeenth century Virginia and early mineteenth century Indians; there existed a characteristic, and sometimes acute, shortage of white women in frontier areas. A federal census report in 1864 observed:

The great excess of males in the newly settled Territories, illustrates the influence of immigration in effecting a disparity in the sexes. The males of California outnumber the females near 67,000, or about one-fifth of the population. In Illinois the excess of males amounts to about 92,000, or one-twelfth of the entire population. In Massachusetts the females outnumber the males some 37,600. Michigan shows near 40,000

See appendix. Alabams and Mississippi authorized ministers and officials to perform marriages between free whites and between free Negroes, but seem to have given no thought to forbidding specifically the performance of interracial marriages.

See appendix.

¹⁴⁷ It is doubtful whether the omission of the Iowa intermarriage pro hibition was realized by the legislators who took the action. The legislature in 1848 had appointed three men to revise the code of laws, The Code of Iowa, passed at the session of the General Assembly of 1850-1 and approved 5th February 1851 (Iowa City, 1851), p. 470. The legislature adopted the section on marriage, which contained no reference to interaccial marriage (sec. 35). The general attitude of the legislature may be inferred from the fact that they also passed in 1851 a prohibition of the immigration of free Negroes into the state, Acts..., passed at the Regular Bession of the Third General Assembly... (Iowa City, 1851), pp. 172-173. The free-state legislature in Kansas Territory decided in 1858 to take the laws of Ohio (which then contained no prohibition of intermarriage) as the basis for a new Kansas code, Kansas Territory Council Journal, 1858, pp. 72-73, 78.

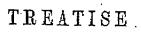
¹⁴⁸ See appendix.

excess of males; Texas, 36,000; Wisconsin, 43,000. In Colorado the males to females, are as twenty to one. In Utah the numbers are nearly equal; and while in New York there is a small preponderance of females, the males are more numerous in Pennsylvania. 149

Population of the United States in 1860; compiled from the Original Returns of the Eighth Census, under the direction of the Secretary of the Interior, Joseph C. G. Kennedy, Superintendent of Census (Washington, D. C., 1864), p. xviii.

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SOCIOLOGY,

THEORETICAL AND PRACTICAL.

HENRY HUGHES



"Findicate the ways of God, to man."

PHILADELPHIA:
LIPPINCOTT, GRAMBO & CO.
1854.

PARATH CH

Entered according to Act of Congress, in the year 1854, by HENRY HUGHES,

in the Clark's Office of the District Court of the United States for the Restern District of Pannsylvania. "The same morning, as we sat by the Dolphin-fountain, and threw harley bread to the lame swan; he gave us this precept, with its glosses: that for showing the truth, perspicuity is the only ornament of style; that the logical is unfriendly to the rhetorical; and that in the matter of style, tilled and sandy nakedness, (auditas palastrica) is better, for conviction; and dramatis drepery, for entertaiment. This plainness, which, said he again, is logical neatness, is grateful not to the popular many, but to the philosophic few. He then added somewhat abruptly, that public opinion is not the confine of the public. Heeing some of the younger of us smile, he explained at once. He simply means, he said, that the popular, changed with the philosophic, opinion; or that in other words, the thinkers rule, and must be first convinced. The conference on the Greek Styles then ended; and we all rose, and left the fountain."

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Warrantees' right to philosophic or educational power, order, and liberty is not yet actualized. If justice economically authorized it; the educational means or power might be added to wages. If not this; any other method following justice.

But political independently of economic justice, does not now anthorize the systematic education of warrantees. The warranteeism of the United States South, is that with the ethnical qualification. The existence-rights of both or of one of these races, now forbids to the other, this progressright. The educational is at present antagonistic to the political system. This antagonism is accidental and temporary. It is not necessary or natural to warranteeism. It is due to a temporary outside fact. This fact is from an error which confounds essentials and accidentals; which is rather aggressive against the greater good essential, than progressive from the lesser bad accidental. It is bad opposition from good disposition. It is philanthropy in design, and misanthropy in deed. But between warrantors and warrantees, there is naturally no educational antagonism. The educational and economic systems, are syntagonistic. So also, the political and educational systems; but this, only after the political fact as it is, shall be the political fact as it ought to be.

CHAPTER XIV.

In the United States South, the rights of warrantees under the political system, are such as are just. Their political status is not wrong. It is right; it is from duty; it is a moral necessity. They have now the political power, order, and liberty to which they are rightly entitled; neither more nor less.

In the civil government of republics, the people are the sovereign. They are the supreme orderer. But republics are representative governments; the sovereign people constitute representatives. These representatives in their capacity as such, are magistrates; or supersovereign. In the political system, they are the orderers. They adapt and regulate. But all the people are not sovereign or supersovereign. Some only are sovereign. These are such alone as are peculiarly qualified. They must be males. They must be of a certain age. They must be of sound mind. They must be residents. In some commonwealths, property qualifications, are necessary; in some, religious qualifications. There may be other qualifications just or unjust.

All other people in the State, who are not sovereign people, are subsovereign. To this class belong women, minora, criminals, lunatics and idiots, aliens, and all others unqualified or disqualified.

Such, the three classes of people. In republics, all are represented. The representatives or orderers, represent and are responsible to their constituents, the sovereign people. But these are not constituents only; they likewise

represent the class of subsovereign people; these are constituents of these. A man represents his family. This is special; he also represents the interests of other subsovereigns; this, his general duty.

The representation of all is thus actualized.

Duties are coupled to relations. By the common law, a natural person's relations under the civil government are public or private. By the common law, private relations are those of master and servant, husband and wife, parent and child, guardian and ward.

In warrantee commonwealths, public relations are those of magistrates and people; or orderers and orderees. Magistrates are legislators, executors and adjudicators. To these the relations of the people, are those of orderees. The people are therefore, legislatees, executees and adjudicatees. The magistrates are adapters and regulators; the people, adaptees and regulatees.

In republics in which the warranteeism is that with the ethnical qualification, the warrantees are subsovereign. They have not the right of sovereignty. That is not their due; it is unjust; it is wrong. Warrantees have the right of representation. But they have not the right of political constitution. Neither ought they; they are not entitled to it. Subsovereignty is the right of warrantees. Their sovereignty is the wrong of warrantors, and others.

In the warrantee commonwealths of the United States who therefore, ought to be the sovereign people? Who ought to be the supreme power in the warrantee States? There, warranteeism with the ethnical qualification is orderined and established. What is the effect of this qualification? The people are of two races. They are ethnically related to each other. But because every act has a moral

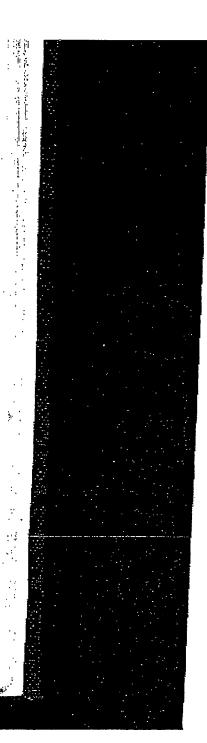
quality; with every relation, duties are coupled. These races in their ethnical relations, differ from each other in beauty; in color; in the inclination, shape, and direction of the pile; in the conformation of their body, and in other physiological respects.

The black race must be civilly either (1), Subsovereign, (2), Sovereign, or (3), Supersovereign. If not subsovereign, they must be co-sovereign. The white race may also be subsovereign, sovereign, or supersovereign. If both races are promiscuously sovereign; that is co-sovereignty. The white race is now and has been sovereign; the black, subsovereign. This, the historical fact.

The black race ought not to be admitted to cosovereignty. It is wrong: it is in violation of moral duty.

These races physiologically must be either equal or unequal. They must be either peers ethnically, or not peers. If not peers ethnically, the black race must be either superior or inferior. If superior, their ethnical progress forbids amalgamation with an inferior race. If the white race is superior; their ethnical progress forbids intermixture with an inferior race.

But races must progress. Men have not political or economic duties only. They have bygienic duties. Hygicne is both ethnical and ethical; moral duties are coupled to the relation of races. Races must not be wronged. Hygienic progress is a right. It is a right, because a duty. But hygienic progress forbids ethnical regress. Morality therefore, which commands general progress, probibits this special regress. The preservation and progress of a race, is a moral duty of the races. Degeneration is evil. It is a sin. That sin is extreme. Hybridism is beinous.



Impurity of races is against the law of nature. Mulattness are monsters. The law of nature is the law of God. The same law which forbids consanguineous amalgamation; forbids ethnical amalgamation. Both are incestious. Amalgamation is incest.

But the relation of the two races to each other, is moral: every relation has an ethical quality: ethics is ethnic. Moral hygienic duties must not be violated. For progress must be developed, and regress, enveloped. Polity therefore—the duty of the State—probibits the sovereignty of the black race. Because, if the black race are sovereign, they must be co-sovereign. If not politically subordinate or superordinate; they must be politically coordinate. But the black and white race must not be co-sovereign; they must not be politically coordinate. They must be, the one subordinate, and the other, superordinate. They must not be aggregated; they must be segregated. They must be civilly pure and simple from each other. is a hygienic ethnical necessity. It is the duty of caste to prevent amalgamation: it is, caste for the purity of races. For, political amalgamation is ethnical amalgamation. One makes the other: that is the immediate, invariable autocedent of this. Subsovereignty is necessary for segregation, and both necessary to duty.

CHAPTER XV.

Political amalgamation is sexual amalgamation: one is a cause of the other. There must be either caste or cosovereignty: this is the alternative to that. For power to rule, is power to marry, and the power to repeal or annul discriminating laws.

In States, the intercourse of sexes is either (1), Lawful or (2), Unlawful. Marriage is lawful intercourse. Of two races in a State, marriage may be (1), Between males and females of the same race; (2), Between males of one race and females of the other race; or, (3), Miscellaneously, between males and females of both races.

Of marriage, the motives or springs of action are such as are either (1), Matrimonial, or, (2), Extramatrimonial. Love is a matrimonial motive. Extramatrimonial motives are such as avarice or the desire of wealth; and ambition or the desire of power.

If therefore, marriage miscellaneously between too races, is lawful; the motives will be both matrimonial and extramatrimonial. Females of the inferior will elect males of the superior race. This, from natural preference, which is matrimonial; or from ambition, which is extramatrimonial. Males of the superior race will from avarice, ambition, or other extramatrimonial motives, elect females of the inferior race. These motives are certain; and certainty of motive, is certainty of movement; certainty of cause, certainty of effect. If therefore, intermarriage of races, is lawful; intermarriage will be actual: the cause, certain; the effect will be certain. The law must

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Miscegenation

Source Database: Encyclopedia of the United States in the Nineteenth Century

Miscegenation, the practice of interracial sex and marriage, became a social and political issue in British North America as early as the seventeenth century, when Maryland and Virginia banned marriages between whites and people of other races. Relations between white men and black women generally caused legislators far less concern than did relations between white women and men of color. The laws were designed to curtail formal relations that exemplified racial equality; interracial competition for white women; the birth of mixed-race children to white women; and access by people of color to property by means of marriage or inheritance.

By law and custom, interracial relations were discouraged in the United States, although the specifics varied from place to place and from time to time, and miscegenation laws were not always enforced. Some statutes established penalties of ten years or even life in prison; others imposed neither fines nor imprisonment. Among the thirteen original states, all had laws against interracial marriage except New Hampshire, Connecticut, New York, and New Jersey; Pennsylvania repealed its law in 1780, as did Massachusetts in 1843. Among the thirty-five new states that joined the Union by 1912, only Kansas, New Mexico, and Washington (aside from a brief period each prior to statehood), and Vermont, Minnesota, and Wisconsin failed to enact such laws. Some far western states demonstrated as much concern regarding whites' marriages with people of Asian ancestry as with those of African ancestry.

The term "miscegenation" originated during the Civil War, replacing "amalgamation," when two Democratic newspapermen from the New York *World*, David Goodman Croly (1829-1889) and George Wakeman (d. 1870), published a hoax pamphlet during the 1864 presidential campaign, designed to portray Republicans as avowed advocates of interracial sexual relations, particularly between black men and white women. The mere fabrication by Croly and Wakeman indicates how salient the question was, as Republicans in the 1860s struggled to foster enhanced rights of African Americans.

In 1865 to 1866, in the immediate aftermath of the Civil War and emancipation, southern white legislators displayed their continued commitment to white power and privilege by retaining miscegenation laws or even imposing greater penalties than before. During the Republican years of Reconstruction, however, those laws often came under political attack or were challenged on constitutional grounds. Mississippi, South Carolina, and Louisiana dropped their prohibitions of interracial marriage for a time during Reconstruction, and courts in Alabama, Louisiana and Texas briefly overturned miscegenation laws then. By the 1890s, however, those states had restored such laws and all the former Confederate states banned interracial marriage. Virginia had established a two- to-five-year term in the penitentiary for each partner in an interracial marriage; Alabama legislated a two- to-seven-year term.

Especially after the 1870s, courts almost uniformly ruled that miscegenation laws did not violate the Fourteenth Amendment's clause requiring "equal protection of the laws." The U.S. Supreme Court upheld a miscegenation statute in *Pace v. Alabama* (1883), a case in which Tony Pace, a black man, challenged an Alabama law that--in a legal environment in which he could not marry a white woman--established a higher penalty for his living with her outside marriage than he would have suffered had both parties been black or both white. Meanwhile, a number of northern states repealed their miscegenation statutes--Illinois in 1874; Rhode Island in 1881; Maine and Michigan in 1883; and Ohio in 1887--so such laws became an

increasingly southern phenomenon. Miscegenation laws remained on the books in every former Confederate state until the U.S. Supreme Court outlawed them in *Loving v. Virginia* in 1967, when a white man and a black woman successfully challenged a Virginia law that made their marriage a felony.

-- Wallenstein, Peter

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Source Citation: "Miscegenation." *Encyclopedia of the United States in the Nineteenth Century.* 3 vols. Charles Scribner's Sons, 2001. Reproduced in History Resource Center. Farmington Hills, MI: Gale Group. http://galenet.galegroup.com/servlet/HistRC/

Document Number: BT2350040259

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Miscegenation and Intermarriage

Source Database: Encyclopedia of African-American Culture and History

The word *miscegenation* was coined during the presidential campaign of 1864 (from the Latin *miscere*, "to mix," and *genus*, "race") when the Democratic party asserted that Lincoln's Republican party advocated sex and marriage across the color line. Like *mulatto*, probably derived from the concept of mules and hybridity, the word was pejorative in its historical context.

People of European ancestry and people of African ancestry began reproducing together in America from their earliest contacts in the seventeenth-century South, when white servants and black slaves lived and labored together. Census counts of "mulattoes" (a category used in some, but not all, nineteenth-century U.S. census schedules) were subjectively based upon appearance, and while documentation of frequency can also be gathered from court records, slave narratives, and personal writings, such statistics are ultimately based on conjecture and are always cast in terms of proportions of European ancestry in the African-American population. With that said, perhaps 15 to 25 percent of African Americans in 1860 had some European ancestry; and perhaps 75 percent of modern-day African Americans do.

Colonial authorities wrote statutes against liaisons between Europeans and Africans from the 1660s forward, punishing liaisons between white women and black men most harshly. Under slavery, these laws largely reflected white fears of free African Americans. Because a child's legal status as slave or free followed the mother, when white women and black men reproduced together, their children would be free, but of partial African ancestry, thereby eroding racial slavery. On the contrary, children of slave women and white men were legally slaves, and usually remained enslaved throughout their lives.

Under the antebellum southern slave system, the sexual exploitation of black women by white masters and overseers, or the explicit or implicit threat of it, was a constant burden for slave families. Most liaisons between black women and white men were exploitive; resistance, on the part of black women and men alike, was ever present though often ineffective, and southern courts very rarely concerned themselves with the assault or rape of black women. These broad patterns differed markedly only in New Orleans, in which a system called *placage*, essentially concubinage, coupled free women of color with white men through formal dances.

Beyond testimony of cruelty, and the constant factor of unequal power between black women and white men, it is difficult to discern any uniformity of treatment; beyond entitlement on the part of masters, and anger and humiliation on the part of female slaves, it is difficult to discern the emotions that accompanied such relations in the context of a slave regime.

Under slavery, white southern communities displayed a degree of toleration for sexual liaisons between white women and black men, though this toleration was never as great as that displayed for the much more frequent master-female slave pairing. Sexual encounters with planter-class women presented the gravest dangers for black men, while dominant ideology was likely to cast lower-class white women as depraved agents of such illicit actions.

In the antebellum North, some states (though not all) had laws against intermarriage, and regardless of the law, liaisons between African Americans and whites remained socially taboo at least through the Civil War.

After Emancipation, the topic of liaisons between white women and black men entered Congressional debates about the Fourteenth and Fifteenth Amendments, with Democrats linking black male suffrage with fears of marriage to white women. Determined to retain a racial hierarchy, white Southerners then conflated the new political power of black men with sexual transgressions against white women. The Reconstruction years thus saw the development of full-scale white hysteria about black male sexuality, thereby commencing an era of terrorism and lynching that rapidly spread north and west.

In the decades following Emancipation, the sexual coercion and assault of black women by white men continued in the South, especially as Reconstruction drew to a close. Marriages across the color line were illegal in the post-Reconstruction South, while some Northern states had repealed those laws. Other laws, in both the North and West, ranged from declaring such marriages null and void, to imposing fines, to imprisonment; they were largely enforced against white women and black men only.

People of mixed European and African ancestry have never been considered a separate "race" in this country, although both the African American and white communities of antebellum New Orleans, Charleston, S.C., Mobile, Ala., and Savannah, Ga., recognized a "mulatto" or "brown" class. By the late nineteenth century, the "one-drop rule," which proclaimed that anyone with any known African ancestry would be classified as black, prevailed nationally.

While the numbers of mixed couples have increased in the second half of the twentieth century, percentages are still small; the majority of mixed couples since the end of World War II have been white women and black men, a phenomenon that caused considerable racial tension in the Civil Rights Movement of the 1960s. According to the U.S. Census Bureau, in 1970 there were 146 black-white married couples for every 100,000 married couples. In 1980 that number increased to 335 and in 1990, to 396. There are no reliable statistics on nonmarried couples. While recognizing legal sanctions as racist and a violation of rights, many African Americans have looked down on those who consorted with whites. As for dominant white attitudes, it was not until 1967, after nine years of trials and appeals in the case of Loving v. Virginia, that the United States Supreme Court ruled laws prohibiting marriages between blacks and whites unconstitutional; at that time, sixteen southern states had such laws.

The ongoing legacies of the legal and social history of this subject are apparent in issues ranging from the choice of racial categories on United States census forms, to the influence of racist ideology in sex crimes or alleged sex crimes, to antagonism from both white and black communities toward marriages and relationships across the color line.

-- Martha E. Hodes

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Source Citation: "Miscegenation and Intermarriage." *Encyclopedia of African-American Culture and History.* 5 vols. Macmillan, 1996. Reproduced in History Resource Center. Farmington Hills, MI: Gale Group. http://galenet.galegroup.com/servlet/HistRC/

Document Number: BT2312228471

IN THE SHADOW OF

SLAVERY

AFRICAN AMERICANS IN NEW YORK CITY, 1626-1863

LESLIE M. HARRIS

The University of Chicago Press
Chicago and London

The University of Chicago Press, Chicago 60637
The University of Chicago Press, Ltd., London
© 2003 by The University of Chicago
All rights reserved. Published 2003
Paperback edition 2004
Printed in the United States of America

12 11 10 09 08 07 06 05 04 2 3 4 5 ISBN: 0-226-31774-9 (cloth) ISBN: 0-226-31773-0 (paperback)

Library of Congress Cataloging-in-Publication Data

Harris, Leslie M.

In the shadow of slavery: African Americans in New York City, 1626–1863 / Leslie M. Harris.

p. cm.—(Historical studies of urban America) Includes bibliographical references and index. ISBN 0-226-31774-9 (cloth: alk. paper)

1. African Americans—New York (State)—New York—History. 2. New York (N.Y.)—History—Colonial period, ca. 1600–1775. 3. New York (N.Y.)—History—1775–1865. 4. New York (N.Y.)—Race relations—History. I. Title. II. Series.

F128.9.N4H37 2003 305.896'07307471'09—dc21

2002027144

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The Long Shadow of Southern Slavery: Radical Abolitionists and Black Political Activism against Slavery and Racism

n the 1830s, a new coalition of black and white middle-class reformers challenged the racial order of the nation. These "radical abolitionists" called for an immediate end to southern slavery, unlike the gradual emancipation that whites had enacted in the North, and without plans to colonize free blacks. Radical abolitionists also pledged to fight racism by elevating "the character and condition of the people of color" so that blacks could "share an equality with whites, of civil and religious privileges." The activism of New York City blacks, together with blacks from other cities, inspired much of the radicalism among whites on the issues of slavery and racism. Free blacks' vociferous opposition to colonization in the 1820s and 1830s, as well as their establishment of annual national conventions in 1830, led some white supporters of colonization, such as William Lloyd Garrison, to rethink and then reject colonization as a solution to America's problems of slavery, racism, and black poverty. White abolitionists were also inspired by the religious revivalism of the Second Great Awakening. Arthur and Lewis Tappan, who came to New York City from New England, were among those whose intense religious experiences motivated them to work to expunge the sins of slavery and racism from the nation. For the Tappans, Garrison, and other white radical abolitionists, the struggle against slavery and racism was part of a larger struggle for the moral perfection of the United States. Slavery and racism were the most degrading of a host of sins of which they hoped to cleanse the United States, ranging from intemperance to sexual promiscuity to nonobservance of the Sabbath.1

Blacks agreed that slavery and racism were immoral, but their opposition to them came from the direct threat these sins caused to their well-being. In

New York City the racism of northern whites limited blacks' abilities to educate themselves and find well-paying jobs. As debilitating to blacks was the long reach of southern slavery. Fugitive slaves fled to New York City seeking freedom, and New York City blacks welcomed them into their communities. But southern slaveholders and their agents also traveled to New York in search of their former slaves. As southerners sought fugitives, all blacks, regardless of their status, were subject to capture, for it was whites' words against blacks' that they were free.

The interracial radical abolitionist coalition offered blacks powerful new allies in the struggle against slavery and for racial equality. The unprecedented racial equality preached and practiced by white radical abolitionists led blacks to support the organized abolition movement across evolving class lines. New York City middle-class black reformers who had cooperated with the Manumission Society during the emancipation era, such as Samuel Cornish and Peter Williams Jr., united with white middle-class abolitionists such as William Lloyd Garrison and Lydia Maria Child nationally and the Tappan brothers in New York City. Working-class blacks, too, found ways to contribute to the new movement. The tactics of the abolitionist movement, such as the creation of local auxiliary organizations both before and after the organization of the interstate American Anti-Slavery Society; the focus on individual contributions to the struggle against slavery, ranging from prayer and individual moral reform to raising money through sewing bees to the boycotting of products produced with slave labor; and the respect that white abolitionists and particularly William Lloyd Garrison held for black opinions on colonization and antislavery, led many blacks to pledge their support to the new movement.2

The radicalism of the abolitionist movement led to opposition from proslavery, colonizationist, and racist whites of all classes. These groups feared the power of the new abolitionist coalition to upset the racial hierarchy north and south. New York City had important economic ties to the South, and merchants feared the alienation of southern slaveholders. Working-class whites feared losing jobs to blacks and resented the efforts of the abolitionists and other evangelical reformers to impose a new morality on them. In New York City, these whites also feared the economic and political power of reformers like the Tappans, who represented a new middle class whose vision of economics, politics, and morality potentially threatened their livelihoods. Anti-abolition whites attempted to discredit the abolitionist movement by charging abolitionists with encouraging amalgamation, or racial mixture that included socializing in integrated settings, casual sex, and intermarriage. The charges of amalgamation highlighted some whites' fears

that blacks would achieve economic and political power in New York City through association with abolitionist whites. Such fears resulted in the 1834 anti-abolition riots, the worst riots in antebellum New York City.

The 1834 riots cooled the radicalism of New York City's abolitionists. Black middle-class abolitionists refocused their efforts on the moral and material reform of the black community. White abolitionists who had not anticipated the violence with which their calls for racial equality would be met backed away from addressing the material problems of northern free blacks to focus on eradicating southern slavery. The abolitionist movement also divided over the ways blacks should work against slavery and for racial equality. Some of these divisions were class based. Because anti-abolition, colonizationist, and racist whites used the poverty of many free blacks and their allegedly immoral activities to support arguments for racial inequality, black and white middle-class abolitionists focused on working-class blacks as crucial to solving the problems of racism in the North and slavery in the South. For these abolitionists, the end of slavery required not only that southern slaveholders realize their own sinfulness, but also that free blacks demonstrate their moral worthiness and equality. Thus, middle-class abolitionists focused on converting all blacks to the evolving middle-class ideals of moral and social improvement, such as classical education, temperance, and religiosity. Middle-class abolitionists also tried to control the participation of the black masses in the struggle to protect fugitive slaves in New York City. Middle-class abolitionists advocated nonphysical ways to fight against slavery and for racial equality, such as moral suasion, nonresistance, and legal action. Abolitionists should convince others of the sinfulness of slavery through propaganda campaigns, petitions to government, and refusal to participate in economic systems that upheld slavery. Physical or defensive force should not be used to protect fugitives. Rather, blacks accused of being fugitives should fight for their freedom only through the courts. These were tenets of abolitionist activism aimed at everyone regardless of class or race, though in some cases, abolitionists explicitly attempted to limit the participation of blacks whom they deemed uneducated or unruly.3

Abolitionists, black and white, were participating in the process of defining middle and working classes, consciously and unconsciously. In their own eyes, they advocated a new moral standard for all, regardless of class. But the rejection of their moral ideologies by both black and white working classes, albeit for different reasons, meant that they developed new meanings of what it meant to be middle class, based on morality as well as economic success. When dealing with the economic, political, and social problems of blacks, both white and black abolitionists tried to conflate class and racial

identities. By advocating certain ideological stances as best for blacks as a race, abolitionists tried to remove the class implications of such ideologies. Both black and white abolitionists advocated moral and intellectual reform out of a sincere belief in its efficacy for solving the problems of race in America. But black middle-class abolitionists occupied a special relationship to the reforms aimed at the black working class. The fate of the black middle class or aspiring middle class was bound inextricably with that of the black working class in a society that saw all blacks as inferior and defined that inferiority partially in class terms. Black abolitionists, reacting to the race- and class-based assumptions of inferiority promulgated by the society at large, sought both to control the black working class and also to define themselves in relation to that class. Discussions of the problems of working-class blacks were often cloaked in the unifying language of racial community. Black middle-class reformers thus attempted to create a united black community that would be a reproduction of themselves: their own moral, political, social, and intellectual goals and desires. This kind of black community, they believed, could not be denied equality in the United States.

Middle-class abolitionists' advocacy of certain tactics heightened class divisions among blacks. The solutions to racial inequality promulgated by both black and white middle-class abolitionists were increasingly markers of ideological differences between the black middle class and working class. A few blacks began to question the prescriptions for success spelled out by abolitionists. Some simply claimed working-class identities and pleasures privately, implicitly challenging moral perfectionism as the only way to prove black equality. Others, such as the porter Peter Paul Simons, publicly attacked moral suasion, nonresistance, and intellectual elevation as ways to achieve racial equality. Simons advocated manly physical struggle and greater public roles for women, forcing more conservative black middleclass abolitionists such as Samuel Cornish to defend their political methods. Some black middle-class activists, most notably David Ruggles, founder of the New York Committee of Vigilance, attempted but failed to find a middle ground between the tactics of middle-class radical abolitionists and those of black workers in order to create a more inclusive movement against slavery and for racial equality. These tensions over the best tactics to fight slavery and racism were mirrored in the larger abolitionist movement and resulted in the split in the abolitionist forces by 1840.

. . .

For free blacks across the North, 1829 was a turning point to greater radicalism. That year, the American Convention of Abolition Societies openly

declared its support of the American Colonization Society. In Cincinnati, Ohio, a three-day riot by whites who feared the increase in the free black population that had occurred there in the 1820s drove two thousand blacks out of the city to Canada. In September of that year, David Walker published his Appeal to the Coloured Citizens of the World. Walker, a runaway slave from North Carolina who had settled in Boston, set off a storm of fear among southern whites as his pamphlet, with its fiery call for physical action by blacks to achieve racial freedom and justice, turned up in the hands of free blacks and slaves there. Not all parts of Walker's argument appealed to reform-minded blacks and whites. Black and white reformers, particularly religious leaders, probably agreed with Walker's call to educated "men of colour" to "enlighten your brethren!" But blacks and whites questioned Walker's justification of the violent uprising of southern slaves, even as a last resort against whites who refused to cease their abuse of blacks. Still, the increase in support for colonization, the Cincinnati riot, and Walker's pamphlet called blacks to action and increased the number of whites sympathetic to immediate abolition and antiracism.5

For a few years prior to 1829, blacks in New York, Philadelphia, and Baltimore had toyed with the idea of holding a "national" convention of free people of color to address the pressing issues of the day: emigration to Canada or Liberia as well as the struggle for black freedom and racial equality in the United States. The events of 1829 spurred them to action. In September 1830, Philadelphian Richard Allen, founder and bishop of the A.M.E. Bethel Church, called a meeting to form an organization that would improve the condition of blacks in the United States but would also buy land and aid in the settlement of free blacks in Upper Canada. The majority of the delegates to the convention came from Philadelphia. Allen's desire for leadership and tight control of the convention echoed his attempts to gain control over New York City's black Methodist churches in the 1820s and discouraged the attendance of New Yorkers such as Christopher Rush, Samuel Cornish, and Peter Williams. But free blacks from Maine to Virginia watched with interest the first attempt by blacks to achieve an organized national presence. Although the convention movement largely reflected the goals and aspirations of black middle-class leaders throughout the antebellum period, it also served as a forum for cross-class debate of the issues of moral and economic improvement, emigration, and blacks' role in the abolition of southern slaverv.6

In 1831, the convention reassembled in Philadelphia with a broader platform of goals and broader geographical representation. (Allen had died

a few weeks prior to the meeting.) New Yorkers Samuel Cornish, Peter Williams Jr., Henry Sipkins, William Hamilton, and Thomas Jennings were active participants, their numbers equaling that of the Philadelphians. In addition, delegates from Maryland, Delaware, Long Island, and Virginia attended and were joined in subsequent years by delegates from upstate New York, Connecticut, Rhode Island, Massachusetts, New Jersey, Ohio, Maine, and Washington, D.C. White antislavery activists William Lloyd Garrison of Boston, Arthur Tappan of New York, Benjamin Lundy of Washington, D.C., and Simeon S. Jocelyn of New Haven, Connecticut, also attended the 1831 convention. All had recently or were soon to reject colonization and convert to the doctrine of immediatism, which called for the immediate abolition of slavery, without guarantees of compensation to slave owners, colonization of freed blacks, or any form of "apprenticeship" freedom for former slaves."

The desires of free blacks and the perfectionist beliefs of religious revivalists like Charles Grandison Finney inspired this new group of white antislavery activists. Although William Lloyd Garrison was deeply affected by the religious revivalism of the 1820s and 1830s, his position against colonization also grew out of his contacts with the black Baltimore community while he assisted Lundy with his newspaper, the Genius of Universal Emancipation, in the late 1820s. In 1831, soon after he founded his own newspaper, the Liberator, Garrison traveled to black communities in half a dozen cities, including New York, pledging to devote his life to the service of blacks who had suffered at the hands of whites for so long. Additionally, Garrison publicized what he had learned on this tour about blacks' anticolonization views in his 1832 work Thoughts on African Colonization. In the first half of the book, Garrison repudiated his previous alliance with the American Colonization Society. He devoted the second half of the book to blacks' thoughts on colonization, as expressed in anticolonization meetings and resolutions in Philadelphia, New York, and other cities. Garrison's willingness to listen to blacks' thoughts about their own destiny and to allow them to shape his views on colonization, slavery, and racial equality led blacks to embrace Garrison wholeheartedly. Blacks provided the majority of the funds for the Liberator in its first years of existence and peddled the newspapers in cities across the North.8

In contrast, the conversion to the cause of immediatism of New York merchant Arthur Tappan and, later, his brother Lewis was based more on perfectionist religious ideology than on contacts with free blacks. Perfectionist reformers believed that the world around them could achieve moral perfection, free from sin. Eventually, the Tappans came to believe that slavery was

the greatest of sins in the United States, but they were also concerned with other evils such as alcohol and prostitution. Their belief in perfectionism did not necessarily lead to greater faith in the abilities of blacks to survive in the United States. Although Arthur Tappan's visit to the Convention of the Free People of Color in 1831 was a turning point in his awareness of the conditions and aspirations of northern free blacks, he did not openly reject colonization as a solution to slavery until two years later. The temperate Tappan's disillusionment with the American Colonization Society stemmed partly from his knowledge of blacks' opposition to colonization, but also from the fact that rum was the Colonization Society's chief import into Liberia. When the society refused to stop shipping spirits to Liberia, Tappan resigned. Throughout the 1830s, both Arthur and Lewis Tappan held a more conservative attitude toward methods of achieving the abolition of slavery and the equality of blacks than did Garrison. Arthur Tappan initially favored an apprenticeship system to ease the transition from slavery to freedom in the South, such as the British had implemented in Jamaica and similar to gradual emancipation in New York. The New York-based antislavery newspaper founded by Arthur Tappan and Charles Denison, the Emancipator, was less fiery in its rhetoric than Garrison's Liberator.9

The range of opinions between Garrison and the Tappans would be both a strength and a source of division in the national antislavery movement after 1834. 10 In 1831, however, the formation of the interracial but whitedominated American Anti-Slavery Society was still a few years off. Blacks were more organized in their goals regarding slavery and racism than were whites. What Garrison, Arthur Tappan, and the others brought to the 1831 black convention was the possibility that they could provide money and property for the conventioneers' plans to educate blacks. The white activists suggested that blacks and whites work together to create a college "for the liberal education of Young Men of Colour, on the Manual Labor System." This manual labor school would combine moral and intellectual uplift with practical means to alleviate economic distress among laboring blacks, much as the African Free Schools had. "Young Men of Colour" educated on the manual labor system were to obtain both a classical education and "a useful Mechanical or Agricultural profession." Such education would help alleviate the "present ignorant and degraded condition" of free blacks and "elevate the general character of the coloured population." Blacks and whites would work together on the project, but blacks would control the school and form a majority of the school's trustees. The school was never built. But the discussion around the manual labor school plan, as well as the reasons for its failure, reveal the evolving class and race ideologies of this new interracial coalition,

which in a few years would lead the most radical attack on slavery and racism New York and the United States had yet witnessed.¹¹

The manual labor school model on which the conventioneers based their plans was not initially designed to outfit individuals for careers as manual laborers. American theological seminaries adapted the manual labor school from European models, hoping this method of education would strengthen the bodies of students without impairing their mental abilities. The manual labor system theoretically would enable poorer students to work their way through school by farming, making and selling furniture, and perhaps even constructing school buildings. Middle-class abolitionists in the early 1830s turned to the manual labor system because they thought that the instruction of middle-class students in manual labor would alleviate the middle class's growing distaste for physical labor. For middle-class abolitionists, the manual labor school was a way to decrease evolving class divisions and instill respect among the middle class for all in society. 12

By 1834, most American educators had begun to question the combination of manual and intellectual pursuits in schools. "The calling of the laborer is as honorable, useful and important as that of the student, but these two callings do not require the same kind of training, either physically or intellectually; nor is the physical system of the student to be kept in the same condition with that of the laborer," stated one. On a more practical level, students who had hoped to work their way through school often did not have the mechanical or agricultural experience to do so successfully. But the manual labor system remained popular through the 1850s at abolitionist schools such as the Oneida Institute in upstate New York and Oberlin in Ohio. 14

Neither mainstream nor abolitionist manual labor schools were designed to prepare their students for manual labor occupations, but the dual nature of education (manual and mental) inherent in the structure of the manual labor system particularly suited black and white reformers' goals for free blacks. At the 1831 convention, both blacks and whites saw the school as a way around the exclusion of free black male workers from skilled apprenticeships in the North. The school could employ skilled craftsmen who would train blacks outside of the racially exclusive apprenticeship system in northern cities. But providing intellectual and moral education to blacks was just as important to supporters of the school. The children of the poor would "receive a regular classical education, as well as those of their more opulent brethren." The school would also provide an institutional basis for inculcating morals into free blacks. For middle-class blacks, the "present ignorant and degraded condition" of many working-class blacks reinforced the racist

perceptions of blacks held by proslavery and colonizationist whites. Black reformers recognized that blacks had had few opportunities "for mental cultivation or improvement" but saw blacks' lack of education as detrimental to the fight for racial equality. ¹⁵ The black conventioneers identified the school as a way to combat whites' claims of black inferiority.

The abolitionists' focus on moral and intellectual training also reflected a desire to give blacks opportunities to move beyond working-class status. Black leaders of the 1830s believed that blacks' low economic and social status reinforced whites' racism. The American Colonization Society's negative characterizations of northern free blacks as poor, as well as disproportionately criminal and reliant on public funds, encouraged this belief. In New York in particular, the 1821 suffrage law that gave political equality to blacks who proved their worth by achieving 250 dollars in property also implied that racism could be erased by movement beyond a lower-class status. These images and realities, combined with white workers' refusal to work with blacks in skilled jobs, led the conventioneers to focus their energies on providing blacks not only with skilled training, but with something beyond skilled training—the intellectual skills and moral conditioning that they saw as necessary to move blacks economically, socially, and politically out of the realm of workers, into a more middle-class status. For New Yorkers, this would increase the number of black men who could participate in society as full, voting citizens.

The convention's focus on improving blacks' morality and class and citizenship status meant that the manual labor school project focused on the education and occupational training of young men. The all-male conventioneers never referred to the education of women in connection with the project. Many conventioneers may have felt that black women had already achieved a greater degree of morality than black men. Black women numerically dominated black church congregations, and in 1833 the conventioneers noted that "societies for mental improvement" had been established "particularly among the females." But more important, women could not bring full citizenship status to the black community because no woman could vote. And to the degree that citizenship also implied public participation in political debate, many conventioneers may have believed that women should not speak in public. 16

Such beliefs were shared by blacks in Boston, who had driven writer and orator Maria Stewart from the city in 1833. Stewart's experiences in Boston and her migration to New York City illustrate the limits black people placed on black women's political activism. She and her husband, James, a ship's outfitter with a substantial income, were associates of David Walker. After

James's death in 1829 and Walker's in 1830, Stewart's religious commitment deepened, inspiring her to begin to work for greater justice and equality for blacks. In 1831, she went to the Boston offices of William Lloyd Garrison's Liberator and presented Garrison with her first manuscript, a political essay encouraging blacks to demand their rights. Garrison was immediately drawn to Stewart and published many of her writings in his newspaper and later in pamphlet form. By 1832, Stewart had begun to deliver her addresses before secular, "promiscuous" audiences (audiences containing men and women). In both her writings and her speeches, she made women's rights central to the struggles for black freedom and equality. But by 1833, the black comunity's criticism of her outspokenness led Stewart to flee Boston, finding "no use for me as an individual to try to make myself useful among my color in this city." Stewart settled in New York, worked as a schoolteacher, and participated in black women's literary and benevolent societies. She may have lectured occasionally in the city, but the Colored American did not cover these events. Her public silence, whether real or created by New York City blacks' conservative attitudes to black women's participation in political activities, appears to have been typical of many of New York City's black women. Black women were active in separate benevolent and literary societies in New York, but until the 1850s black men excluded them from public political leadership. 17

Blacks did believe that women had an important role in improving the morality of the black community. In the 1830s, black male reformers and black women themselves created roles for black women as teachers in black schools and as organizers of benevolent and literary associations. These roles paralleled the mainstream emphasis on women's roles as inculcators of moral values in children and ultimately in the wider society. Women did this through moral example and direct instruction in the domestic sphere. The domestic sphere also extended to associational gatherings on behalf of benevolent or intellectual causes, and these associations brought black women into the public sphere, albeit in initially proscribed ways. Black women were central to the first religious congregations but did not function as ministers or deaconesses in organized churches. Rather, women founded benevolent and literary societies under the umbrella of black congregations, and sometimes with the explicit leadership of men. In 1828, Peter Williams Jr. chaired the inaugural meeting of the African Dorcas Association and John Russwurm served as secretary. African Free Schools principal Charles Andrews had already drawn up a constitution for the association, which was to be composed of "Female[s] of Colour of a good moral character." Manumission Society members lectured the meeting, which included women, on the need

for the association, which would provide clothing for needy African Free Schools students. Four men, including Samuel Cornish, took the names "of all who feel desirous of joining the new Society." Subsequently, the women elected their own officers and members and submitted notices to black newspapers announcing their meetings and encouraging cash and clothing donations, but they appear to have retained a male advisory board. 18

Six years later, the founding of the Ladies Literary Society of the City of New York displayed the increased self-confidence of black women in public organizing. This confidence grew out of women's involvement in the Dorcas Association, the two organizations shared leadership. Henrietta Ray served as secretary to the Dorcas Association as Henrietta Regulus; in 1834, she served as first president of the Ladies Literary Society. The Literary Society reflected the increased public speaking roles of women. Literary societies generally, black and white, allowed both men and women to practice the arts of written and oral expression. Members might read books or their own essays aloud, or even perform musical or dramatic pieces. These activities resembled familiar domestic-sphere activities, in which women might read aloud or perform for each other or for family members. Literary societies stretched the boundaries of the domestic sphere. Female literary societies allowed women to speak publicly, first among themselves, and then in front of audiences of men and women. Newspapers advertised their activities, inviting an unknown public, not simply family and close friends, to witness their readings and performances. Both men and women attended the third anniversary of the Ladies Literary Society, in which women gave addresses and performed music, poetry readings, and dramatic dialogues. The activities themselves, as well as their extensive coverage in Cornish's Colored American, contrasted markedly with those of the African Dorcas Association a few vears before.19

Black reformers believed that black women's participation in literary and benevolent societies and maintenance of sheltered nuclear households could help all blacks achieve equality. But these activities and household practices were largely the domain of the middle class. For black reformers, the occupational and domestic lives of working-class black women could not move blacks ideologically or economically into the middle class or aid in the ideological struggle for black citizenship. Blacks and whites continued to view as degrading the domestic work most black women performed. Although sewing could lead women to own independent businesses as seamstresses or milliners, for most women needlework led them to labor at piecework, at home or in sweatshops. Theoretically, wages from such work might aid black families in improving their economic status, but in reality, employers paid

black and white women's work so poorly that their wages barely covered the basic necessities.²⁰

At home, poor black women and their families relied on interfamilial networks of aid; their families were not sheltered in nuclear households. Living practices in which families shared apartments with single boarders or in which parents boarded their children with neighbors while they worked were common. Households were not delimited by biological ties, nor families by household spaces. Middle-class blacks were not immune to such arrangements. Henrietta Ray lived with Samuel Cornish and his wife for several years while her husband Charles worked as an agent and traveling reporter for Cornish's Colored American. Other black activists also traveled as agents or lecturers for the abolitionist cause, leaving families at home. But middle-class blacks saw such arrangements as temporary and did not judge them as they did working-class living arrangements. Working-class blacks' living situations were subject to intrusions by reformers such as Samuel Cornish, who visited black families to judge their fitness as part of the enrollment process of the African Free Schools. Working-class black families may have desired more privacy, or at least the ability to choose, but the fiscal fragility of their lives limited their options. 21

The black male delegates to the Convention of the Free People of Color ascribed to middle-class views of men's and women's roles. They sought to make black men the sole breadwinners in their families. Black women should use their domestic skills to improve their own families, rather than working for white families at the expense of their own. These ideals were nearly impossible for the majority of black families to achieve—including the families of conventioneers themselves. But the convention's focus on elevating the citizenship status of blacks through middle-class methods meant that the male conventioneers ignored the education of black women as part of the manual labor school project.

Although blacks from New York and Philadelphia shared the leadership of the Convention of the Free People of Color, New Yorkers dominated the leadership of the manual labor school project. The black delegates from Philadelphia had been relatively successful in carving out a niche in the urban economy there. Convention delegates such as William Whipper and James Forten parlayed their skills as woodsawyers and sailmakers into substantial fortunes. Robert Purvis inherited a large sum from his white father, a cotton broker who had moved from Charleston, South Carolina, to Philadelphia with his mulatto wife and children in 1819. Further, the link between property ownership and voting in Philadelphia was not explicit as in New York. Under Pennsylvania's Revolutionary War—era constitution, anyone

who paid a certain amount in taxes could vote, resulting in access to suffrage for 90 percent of Pennsylvania's men. When Pennsylvania legislators revised the constitution in 1838, they kept tax payment as the basis of suffrage, but excluded blacks completely. Thus, white Pennsylvanians excluded blacks from the polls by threats and physical force before 1838, and by race afterward.²²

In contrast, none of the New York delegates, with the possible exception of restaurateur Thomas Downing, were as wealthy as the Philadelphia delegates. Although both cities contained large numbers of poor blacks who needed skilled training, the New York delegates appear to have been more understanding of the difficulties of life for poor blacks than the Philadelphians, probably from personal experience. Samuel Cornish, general agent for the school, and members of the New York-based Executive Committee (Peter Williams Jr., Philip Bell, Thomas Downing, Peter Vogelsang, and Boston Crummell) were middle class or aspiring to that status. But few professional New York City blacks in the 1830s were able to maintain a middleclass standard of living without resort to some form of manual labor. The lives of some of these men were a mixture of middle-class status or aspirations and working-class occupations. Samuel Cornish had been the pastor of a black church as well as a founder of Freedom's Journal and its successors, the Rights of All and the Colored American. But Cornish opened a shoemaker's shop in 1836 to augment his income. Philip Bell was coeditor of the Colored American and kept an intelligence office, which for a fee matched up employers seeking domestic servants with employees. But he also peddled coal to make ends meet. Boston Crummell, the father of Alexander Crummell, the black minister and leader, harvested and sold oysters. He was prosperous enough to contribute funds to the founding of Freedom's Journal and, it was rumored, to hire a white teacher to tutor his children outside of their classes at the African Free Schools. But his occupation ranked low in terms of social status.23 Perhaps because of their own precarious financial situations, these men sought to remove blacks from reliance on casual or unskilled labor. Such labor was poorly paid and would not help blacks attain the property necessary to vote. Additionally, wary whites of all classes continued to view unskilled or casual labor as degrading; thus, such labor was ideologically harmful to the cause of black equality.

Although New York's blacks may have seen in the manual labor school an opportunity for the elevation of the black community beyond the working class, the reasons behind white support of the school were not the same. New York merchant Arthur Tappan's support of the manual labor school project was part of his evolution from colonizationist to radical abolitionist,

and his views on labor were bound up in that transformation. As a supporter of colonization in the late 1820s, Tappan was also a founding member of the short-lived Society for the Encouragement of Faithful Domestic Servants in New-York. Not coincidentally, the organization formed in 1826 as slavery drew to an end in New York, and as the first wave of Irish immigrants entered the city and moved into domestic work. The society's organizers felt that "the number of faithful and respectable servants in our city, has, latterly, been quite inadequate to our wants." Reasons for this shortage included "the very genius of our government," a veiled reference to emancipation. Additionally, though, domestics may have tried to find jobs that paid better, that gave them greater independence, or as the society noted, jobs "which the pride of servants leads them to consider as being more reputable than their own." Domestic work was difficult and dirty; additionally, female and male domestics feared physical and sexual abuse in the intimate home environment.

But most trying to employers was what they perceived as their servants' "love of incessant change," or the movement of domestics from household to household in search of better situations. Servants changed jobs for many reasons, including better wages, family obligations, or illness. Female domestics may have sought other jobs after marriage or opted to stay home with their own families. But the primary concern of Tappan's organization was the disruption to middle-class households caused by domestics' alleged "love of change," rather than the conditions that led to such change. As the society stated in its first annual report, "we are very dependant upon our Domestic Servants for a large share of our daily family comforts . . . bad Servants are alone sufficient, if not to destroy, at least to mar, much of the calm happiness of domestic life." The society tried to discourage domestics from leaving their jobs by rewarding "faithful and respectable" servants with cash prizes and public recognition. The society also established an intelligence office to assist both "masters and servants" in obtaining mutually pleasing situations. Through such rewards, the society hoped to inculcate domestic servants with pride in their work, even though it was humble. "There is nothing inherent in republicanism," the society stated, "which incapacitates the humble in life from filling the unobtrusive, but not unimportant, station of servant, with proper humility and faithfulness. Such a person forms one of the connecting links by which society is bound together, and the meanest link in the chain is of cardinal importance to the rest." 24

Tappan remained on the board of managers of the Society for the Encouragement of Faithful Domestic Servants until it dissolved in 1830. But Tappan's concern with inculcating workers with morality, good work habits,

"loyalty," and acceptance of low-paying, low-status occupations continued. Tappan supported an apprenticeship system for freed southern slaves, which would perform the same end of teaching newly free workers habits of industry. Thus, Tappan's support of the manual labor school project may have come mostly from a desire to form loyal, moral workers, and less from a desire to elevate blacks to the middle class. Tappan's goal to educate blacks did not necessarily mean that blacks should move beyond the working class. Probably none of the other white supporters of the school were initially concerned with such issues either.

The goals of the various constituencies in support of the manual labor school project in the 1830s were not forced to a resolution in practice, however, for the school was never built. Garrison, Arthur Tappan, and the other white visitors to the 1831 convention gave the black conventioneers one year to raise the twenty thousand dollars necessary for the establishment of the school in New Haven, Connecticut. Tappan also bought land for the school near Yale University. But a protest rally of seven hundred of New Haven's white residents against the school stalled the project in 1831. Samuel Cornish and his agents continued to collect money for the school but were unable to find a new site on which to build. Most predominantly white towns in the northeast feared that the establishment of black schools would increase their black populations. Additionally, Arthur Tappan retreated from full support of the project, skeptical that other communities would welcome the school if the "friendly, generous, pious and humane" residents of New Haven had not. The newly formed New England Anti-Slavery Society also attempted to raise funds for the school, but was unsuccessful.²⁶

Divisions among blacks as to the purpose of the school also contributed to the downfall of the project. In 1834, black Philadelphians took over leadership of the school project. William Whipper, Robert Purvis, James Forten, and other Philadelphians were less concerned with the material elevation of blacks than with the moral reform not only of the black community, but of the entire nation. Whipper led the establishment of the American Moral Reform Society, which at the 1835 convention gained control of the manual labor school project. The Moral Reform Society's control of the school project led to a greater concern with the personal morality of blacks. The Philadelphians believed that moral improvement was the best way for blacks to improve their status. Although morality and economics were related in the minds of New Yorkers, the emphasis of the Philadelphians on individual moral reform provided fewer options for collective or material means to provide working-class blacks with employment. Samuel Cornish said of the society that they were "vague, wild, indefinite and confused in their views."

Not opposed to moral reform, Cornish noted that the Cranberry Moral Reform Society, auxiliary to the American Moral Reform Society, had in its constitution made "definite" plans to reform "the people of color of Cranberry" by giving "the rising generation a good education, and instructing them in some useful occupation; second, by the general diffusion of useful knowledge among all classes of adult persons; third, by promoting among us the moral virtues of Christian graces, and the refinements of civilized life." Cornish and other black New Yorkers linked material improvement to moral improvement more strongly than most Philadelphia leaders. 27

Additionally, the Philadelphians who founded the American Moral Reform Society did not want to build a school that would serve only blacks. Conventions, schools, and other organizations and institutions that invited only blacks to participate reinforced the lines of race, and thus racism. Despite the fact that blacks had far less access to skilled training than whites, the Moral Reform Society voted in 1836 that any schools the society tried to establish should not be designated solely for "the free people of color," but should address "the white as well as the colored community." Black improvement should be subsumed in the improvement of all of American society. Additionally, the words "of color" and "colored, implied degradation" and should not be associated with institutions and other efforts made by blacks for their improvement. The Moral Reform Society's refusal to address problems specific to blacks led many blacks to reject the society and refuse to give funds to the school.²⁸

The Moral Reform Society also contributed to the foundering of the black convention movement after 1835. The Philadelphians and New Yorkers had struggled throughout the 1830s over leadership of the convention movement. In 1836, the Moral Reform Society scheduled its first meeting in Philadelphia at the same time that New Yorkers in charge of the black convention had scheduled the annual meeting in New York. Although the New Yorkers ultimately did not hold a meeting that year, they also refused to attend the Moral Reform Society's meeting. Such infighting led to the collapse of the convention movement. As the Moral Reform Society alienated blacks, and the convention movement collapsed, the manual labor school project lost a stable source of black support. The national effort for a black-controlled manual labor school lay dormant until the revival of the convention movement in the 1840s. At that time, a new set of more secular leaders and concerns would animate the discussion.²⁹

As the national manual labor school project and the black convention movement foundered, New York City blacks established local societies and schools to work toward the original goals stated in the convention's support

of the manual labor school project: moral, intellectual, and occupational training for blacks. The most successful was the Phoenix Society, established in early 1833 by Samuel Cornish and his protégé, Theodore Wright, Wright, as with so many other black New York educators and reformers, had attended the African Free Schools in the 1820s. After completing his studies at the Princeton Seminary, he succeeded Cornish as pastor of the First Colored Presbyterian Church in New York City in 1828.30 African Methodist Episcopal Zion bishop Christopher Rush was named president of the society, and Samuel Cornish acted as general agent. White reformer Arthur Tappan acted as treasurer and provided financial support. The Phoenix Society would provide blacks of all ages with guidance in "morals, literature and mechanical arts," through education, cultural activities, job training, and employment assistance. Plans included lecture series and circulating libraries, employment centers to assist young men in finding apprenticeships and long-term employment, and material aid in the form of clothing or food to the more destitute. The society opened a high school for young men in 1833 and one for young women in 1836. The African Dorcas Association collected and repaired used clothing to distribute to poor children attending these schools, as they did for poor children attending the African Free Schools. The Phoenix Society also sponsored an Evening School for Colored People, and eventually a Sabbath school taught by Lewis Tappan. These schools rented rooms, including some in the Broadway Tabernacle, which New York evangelicals associated with revivalist Charles Finney and radical abolitionism built in the 1830s to replace the smaller Chatham Street Chapel. The school for young women was more successful in attracting students than was the school for young men, enrolling thirty-five at its height. This was probably because adolescent boys in black families could earn more money working than adolescent girls. Thus, families were more likely to allow girls to attend schools for longer periods than boys. But neither high school sustained steady enrollments, and by 1838 both schools had closed for lack of funds. 31

Following the closing of the schools, the Phoenix Society continued as one of several literary societies in the city. These literary societies were usually single-sex. The Phoenix Society welcomed "young men, from fifteen years old and upwards," as did the Philomathean Society and the short-lived Union Lyceum. The Ladies' Literary Society welcomed married and single women. Both male and female societies featured a range of lectures, musical performances, and poetry recitals by members and guests. The Phoenix Society's 1841 lecture series featured among its twelve speakers John Peterson, a black New York City school principal, speaking on geography, and James

McCune Smith speaking on the "Circulation of the Blood." At an anniversary meeting of the Ladies' Literary Society, members composed their own speeches and dialogues on such topics as "the improvement of the mind" and "on First Appearance in Company" (probably a series of examples on how to introduce oneself properly at social occasions). Membership in such societies ranged from those who "had considerable advantages of education" to those who had less education but sought to "improve their leisure hours." But middle-class, educated blacks, and particularly black ministers and their wives, dominated the leadership of such societies. Cornish, Rush, Wright, and Peter Williams Jr. continued to lead the Phoenix Society. Henrietta Ray, the first president of the Ladies Literary Society and a deeply religious woman herself, was the wife of Charles B. Ray, who worked as a traveling reporter for the Colored American before becoming a Methodist minister (albeit after Henrietta's death). As with plans to build black schools, the literary societies encouraged moral reform as well as intellectual growth. 32

The emphasis New York's black reformers placed on education grew out of two concerns: improvement of their own condition and the abolition of slavery and racism. On the one hand, northern blacks needed to improve their economic, political, and moral condition for their own survival. "If there is any one thing which we can do more than others, in the elevation and enfranchisement of our colored people, it is education." Reformers repeatedly urged blacks of all classes, but particularly the lower classes, to obtain education. They feared that blacks had been "too negligent on this subject" and had not taken sufficient advantage of the multiple opportunities of receiving education available to them, from private and public schools, to free Sabbath and evening schools, reading rooms, and literary societies. Although at times black reformers focused on the education of black men as crucial, as in the case of the manual labor school project, women's moral and intellectual education too was important, so that they could fulfill roles as teachers and as mothers.³³

New York City's free blacks were also under pressure to prove the success of northern emancipation. Exclusion from schools and skilled training prevented northern blacks from displaying their full moral, intellectual, and economic potential and thus proving unequivocally that blacks could live as free and equal citizens in the United States. But institutions such as the Phoenix Society schools and manual labor schools could provide the opportunity for blacks to prove they were equal to whites. New York City supporters of these schools sought in particular to create a black working class along middle-class lines. The combination of moral, intellectual, and skilled-labor

education would result in a class of artisan scholars who possessed highstatus skilled jobs and in their spare time read and discussed literature, art, and the sciences as well as the pressing political issues of the day. They would be much like their middle- and upper-class brethren. Additionally, the Phoenix Society hoped that some of its students would be prepared to enter middle-class professions. Such achievements would not only improve the conditions of free blacks, but also prove the correctness and possibility of the goal of immediate emancipation of southern slaves.

Black reformers' establishment of free black uplift and immediate emancipation as interrelated goals became a central part of the goals of the American Anti-Slavery Society (AASS), formed in December of 1833. In its constitution, the society pledged to "elevate the character and condition of the people of color, by encouraging their intellectual, moral, and religious improvement, and by removing public prejudice." 34 Radical abolitionists acknowledged that the "removal of public prejudice" involved the education and improvement of whites. But blacks would also have to prove their equality. For middle-class abolitionists, black and white, the simplest way to do this was to adhere to middle-class norms of moral perfection. Abolitionists repeated the dictum "Every coloured man has it in his power to promote emancipation, by his Example" to blacks of all classes. 35 But reformers aimed their efforts particularly at working-class blacks, whose habits colonizationists held up as a sign of the inability of all blacks to participate as equals in American society. Both black and white abolitionists encouraged temperance and education for blacks. AASS conventioneers encouraged blacks in other cities to follow the example of New York blacks and form Phoenix societies for their moral and intellectual improvement.

The American Anti-Slavery Society emphasized mass mobilization of antislavery support. In the first three years of its existence, the society distributed over a million pieces of antislavery literature and submitted nearly six hundred thousand antislavery petitions to Congress, signed by nearly one million people. Southern congressmen found these petitions so threatening to slavery that they successfully passed a gag rule that tabled all antislavery petitions automatically and prevented congressional debates on slavery. Undeterred, abolitionists continued public discussion of slavery at the local level. Radical abolitionists addressed their efforts to everyone so that by 1837, men, women, and even children, black and white, had formed over one thousand local antislavery societies, with a combined membership of two hundred thousand by 1840. Abolitionists wished to eradicate the sin of slavery from the nation; to do so, they sought to demonstrate to individuals how the choices they made in their daily lives could either uphold slavery or help

to end it. The clothes one wore, the foods one ate, where one chose to spend money, for whom one chose to vote, and where and with whom one chose to pray were all part of the struggle against slavery. Free produce campaigns encouraged consumers to avoid buying slave-produced goods such as sugar and cotton. Men should vote only for political candidates who opposed slavery. Those who could not vote, namely, blacks and women, should sign the petitions that antislavery societies continued to send to Congress, despite the gag rule, and to state legislatures. Women organized antislavery sewing bees and sold their creations to supporters of abolition at antislavery fairs; the proceeds funded antislavery speakers and the publications of the local and national societies. Abolitionists encouraged even the poor and children to contribute to antislavery causes through "penny-a-month" campaigns. And if nothing else were possible, the abolitionists encouraged antislavery prayer. Chistians should "come out" of, or leave, religious denominations that continued to characterize slavery as God's will.³⁶

North and south, many whites found the radicalism of the abolitionists disturbing, even if they themselves opposed slavery. As the anti-abolitionist and colonizationist New Yorker David Meredith Reece said of the radical abolitionists, they were "not the creed and practice of Jefferson, Franklin, Rush, and John Jay, of the old school, for those laboured for *gradual* abolition, and were clearly right." Yet, the radical abolitionists were gaining power and support at the same time as those members of the old antislavery school who had converted to colonization were unable to raise money for their cause.³⁷

In New York City, blacks and whites, men, women, and children all formed local abolitionist societies. Among white societies, many of the new radical abolitionists had previously been colonizationists. As abolitionists, their criticisms of southern slave labor now assailed one of the cornerstones of New York City's economy. As southern newspaperman J. D. DeBow stated, New York was "almost as dependent on Southern slavery as Charleston itself," and the city far outstripped Boston and Philadelphia in its reliance on southern trade. New York producers sold clothing (including the "negro cloth" that slaves wore), shoes, and luxury items south. Southerners shipped cotton, tobacco, turpentine, pork, and other raw goods and produce to New York. The New York port served as a center from which merchants shipped cotton as well as other southern goods to points up and down the East Coast and to Europe. New York also served as the central point through which European goods were shipped south. Southern ports such as Charleston, Savannah, Mobile, or New Orleans often shipped goods directly to Europe themselves, but New Yorkers managed early in the nineteenth century to

establish the New York port as a major force in shipments between the South and Europe. Ships filled with goods from the South landed on the wharves of the East River, where they were reloaded onto ships bound for Europe. New York shippers collected heavy tolls on these goods. New Yorkers also established shipping lines in southern ports and thus profited from shipments that went directly from southern ports to Europe. New Yorkers were able to do this because most southerners were fully absorbed with the wealth to be made through agriculture and the slave trade. Antebellum writers estimated that New Yorkers earned as much as forty cents on every dollar's worth of southern cotton sold. New Yorkers sold southerners between 76 million and 131 million dollars in merchandise annually. New Yorkers also held part ownership in southern factories, plantations, and slaves through business and family connections. Finally, wealthy southerners and New Yorkers socialized together. Many southern merchants and planters made annual trips to New York City to purchase goods, and some brought their families with them, viewing such trips as social and cultural as well as business opportunities. Southerners also vacationed in New York state resorts, such as Saratoga Springs. The reliance of New York's economy on the southern trade meant that working-class whites also depended on the continuation of the slave labor system.38

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In New York City, proslavery, colonizationist, and anti-abolitionist whites' attacks centered on Arthur and Lewis Tappan. Migrants from New England, the Tappan brothers were the most visible of a new generation of radical, moral perfectionist reformers in New York City who sought to expunge a range of sins from the nation, from prostitution in northern urban centers to drinking to nonobservance of the Sabbath to slavery in the South. But even before the Tappans converted to radical abolition, New York City elites had begun to view Arthur Tappan as a threat to their way of life. As leader of New York City's Magdalen Society in 1831, Tappan linked economics and morality in a harsh criticism of city elites' participation in prostitution. The Magdalen Society, an organization to reform prostitutes, initially gained the support of a range of the city's religious, social, and political leaders. In the wake of Charles Grandison Finney's first New York City revival in 1829, some reformers had begun to address the issue of prostitution, particularly in the Five Points area. Princeton divinity student John McDowall spent a year leading prayer meetings in New York City brothels before founding the New York Magdalen Society in 1830 to organize the reformation of prostitutes. Lewis and Arthur Tappan were among the leaders of the society and the most generous contributors to its House of Refuge for reformed prostitutes. Under Arthur Tappan's presidency in 1831, however, the

society's efforts to reform prostitutes became a discussion of the moral standards not only of wayward women, but also of some members of the city's elite. In the 1831 annual report, using statistics gathered by McDowell and written under Tappan's leadership, the Magdalen Society charged that New York City contained ten thousand prostitutes, and that the clients of prostitutes belonged to some of the city's most prominent and respectable families.39

Some New Yorkers were outraged at what they saw as the slandering of New York and its best families by an upstart group of New England reformers. But members of New York's best families were not just clients to prostitutes, they were entrepreneurs in the business of brothels. John Livingston, brother of founding father Robert R. Livingston and one of the most successful landlords in New York, built his wealth through brothels. John Delaplaine, an importer; George Lorillard, a tobacco entrepreneur; and Matthew Davis, a Tammany Hall politician, all profited from prostitution. In fact, a coalition of these wealthy and politically powerful men had already defeated several proposals before New York's Common Council to raze houses of prostitution in the Five Points. The Magdalen Society's annual report pamphlet threatened to mobilize a new alliance to eradicate the brothels. City elites and politicos quickly responded. Former mayor Philip Hone and General Robert Bogardus, Manhattan's wealthiest real estate speculator, held anti-Magdalen meetings, railing against the "social influence of New Englanders in the City." Newspapermen and Tammany leaders James Watson Webb, editor of the Morning Courier, and Mordecai Noah fanned the flames against the Magdalen Society and Arthur Tappan. Newspapers from Webb's Morning Courier to the Working Man's Advocate denounced Tappan, and there were rumors that angry men would physically attack him and his home. Surprised and fearful of the repercussions of his activism, Tappan quickly withdrew from the society, which dissolved within the year.⁴⁰

The new public discussion of sex and morality in New York City continued in connection with the abolitionist movement. 41 The Magdalen Society controversy did not explicitly touch on issues of interracial sex. Two years later, however, the Tappans' embrace of radical abolition, and the formation of the American Anti-Slavery Society, resulted in the centering of amalgamation, or interracial socializing and sex, in New Yorkers' political landscape. Unlike the word "miscegenation," which Democrats invented in 1863 for the express purpose of demonizing black-white relationships and discrediting the Republican Party, the word "amalgamation" has a history beyond American nineteenth-century racial politics. In Europe and the United States, "amalgamation" described the blending of any two or more distinct

groups of people through intermarriage or through nonsexual cultural exchanges. The British in 1775 used the word to describe the earlier historic mixture of Normans and Saxons. In the United States in 1811, the Emperor of Russia asked John Quincy Adams whether immigrants to the United States "all amalgamate well together," implying an acceptable intermixture of people. But by the mid-1830s, the use of the word "amalgamation" in the United States chiefly suggested negative attitudes about black-white sexual and social relationships, from intermarriage to casual sex to dancing and other forms of socializing. The offspring of interracial sexual relationships were also held up to adverse scrutiny.⁴²

The abolitionist controversy of 1830s New York City was central to this redefinition. In the 1830s, black and white abolitionists made interracial cooperation a hallmark of their efforts. Black and white abolitionists attended political meetings together, worshiped together, and sometimes visited each others' homes. Within abolitionist organizations, such actions were not without conflict. The Ladies' New York City Anti-Slavery Society, for example, refused to allow black women to join, and throughout the antebellum period, as Theodore Wright stated, white abolitionists struggled to "annihilate, in their own bosoms, the cord of caste." But as anti-abolitionist whites recognized, the professed principles of the abolitionists had the potential to upset the power balance between the races in New York City, as well as to threaten the business relationships between southerners and New Yorkers. 43

The abolitionists' political tactics and goals blunted the attempt by some whites to remove New York's blacks from the political process by denying them the vote, and indeed from the polity completely by colonizing them in Africa. In their actions and words, abolitionists expanded the meaning of politics by relying on moral suasion and by questioning universal white manhood suffrage and even the Constitution as the best examples of democracy and equality. Abolitionists also demonstrated that political tactics previously deemed fit only for whites could in fact be used by blacks also. Abolitionists presented forums in which black men (as well as black and white women) discussed the political issues of the day as equals with white men, and black and some white abolitionists worked to obtain equal suffrage for blacks. The most radical abolitionists, such as William Lloyd Garrison, blurred caste lines between blacks and whites even more. When visiting black organizations, Garrison often said that he visited "as a black man" or spoke to blacks "as one of you." 44 Such actions did not simply reduce white abolitionists to the level of blacks, as some anti-abolitionists charged, but raised the possibility of blacks' equality to whites and forced the questioning

of the nation's political process. In New York City, the interaction between the wealthy Tappans and blacks particularly disturbed white workers. The Tappans were representative of the new capitalists who stripped workers of lucrative skilled jobs and attempted to reform them during their leisure hours. Some white workers supported the antislavery movement and other reforms promoted by the Tappans, but for many, the Tappans' association with blacks, and their admonishments to white workers to support moral reform and racial equality, were unwelcome attempts to change white workers' way of life, with little in return in the way of increased economic or political opportunity.⁴⁵

Although black and white abolitionists did not intermarry in New York City or elsewhere, some abolitionists did attempt to redefine public attitudes toward interracial sex in two major areas: they favored the legalization of consensual interracial unions, as might occur among free blacks and whites in the North; and they opposed those that were forced by southern slaveholders on slaves. In Boston in 1832, white abolitionists William Lloyd Garrison and Lydia Maria Child began a highly public campaign to repeal the Massachusetts law that forbade interracial marriage. In Child's words, "The government ought not to be invested with power to control the affections, any more than the consciences of citizens." 46 Lydia Maria Child, in her 1833 Appeal in Favor of that Class of Americans Called Africans, was the first abolitionist to denounce in print the rape of slave women by slave masters. Other abolitionists followed suit. At the first anniversary meeting of the American Anti-Slavery Society, held at the Chatham Street Chapel in New York in 1834, delegate James Thome of Kentucky related his observations of the "[y]oung men of talents and respectability, fathers, professors of religion, ministers—all classes!" who consorted with slave women and contributed to the "overwhelming pollution!" of the South.47 As had been true with the Magdalen Society, abolitionists were again openly attacking the sexual practices of elites. That women, too, were joining the discussion made the attack even more disturbing to the middle and upper classes.

Probably all abolitionists opposed sexual relationships between slaves and slave masters, and some became comfortable speaking against such relationships in public. But few abolitionists sustained as strong a commitment to interracial marriage as did Child and Garrison. In New York City in the late 1820s, black reformers denied that respectable blacks would wish to marry whites or participate in other forms of interracial socializing but admitted that "dissolute" blacks were indeed guilty as charged. Samuel Corhish and John Russwurm also blamed whites for initiating the contact by frequenting black neighborhoods. They stated, "Our streets and places of public

amusement are nightly crowded" with white prostitutes and their white male clients. In an article in *Freedom's Journal*, a black writer calling himself Mordecai responded to charges by the racist and colonizationist newspaper editor Manuel Mordecai Noah that blacks wished to marry whites: "I am not covetous of sitting at the table of Mr. N——, to hold [him] by his arm in the streets,—to marry his daughter, should he ever have one—nor to sleep in his bed—neither should I think myself honoured in the possession of all these favours." Arguments by blacks against interracial marriage sought to uncouple the link between black equality and interracial sexuality. According to these writers, interracial socializing was not "respectable" and thus not a suitable goal of blacks seeking political equality.

The attitudes of abolitionists toward interracial socializing, sex, and marriage were thus far from simple approval. For the vast majority of abolitionists, black and white, their support of political and even social interracial interactions did not mean that they wished to intermarry, and indeed abolitionists stated repeatedly that they did not wish to. Yet abolition's opponents in New York City, many of whom had earlier opposed the Magdalen Society, now sexualized and redefined the issues of immediate emancipation and black equality as the desire of abolitionists to encourage amalgamation in New York City. The abolitionist coalition did participate in controversial actions: they cooperated with British abolitionists and held up Britain's record of antislavery as a positive moral example, which angered the strongly anti-British New Yorkers; they advocated temperance, which angered some workers; and they called for strict observance of the Sabbath, which angered some businessmen. But the abolitionists' alleged support of amalgamation became the most provocative rallying point for anti-abolitionists, leading to the violent riots of 1834. The riots distorted the abolitionists' call for moral change into imagined sexual relationships between black and white abolitionists. For supporters of slavery and racial conservatives, charges of amalgamation were a means to discredit abolitionists' demands to end slavery and include free blacks as equals in the political and economic life of the city.

Soon after Arthur Tappan's defection from the colonizationists to the abolitionists in 1833, white New Yorkers who supported southern slavery and black colonization attacked the emerging abolitionist coalition. In October 1833, a mob encouraged and led by New York Courier and Enquirer editor James Watson Webb attempted to disrupt the organizational meeting at Clinton Hall of the New York City Anti-Slavery Society, a local precursor to the American Anti-Slavery Society. The abolitionists, fearing such activities, had vacated the hall early. The rioters proceeded to hold a mock meeting in which they seized an elderly black man, named him Arthur Tappan,

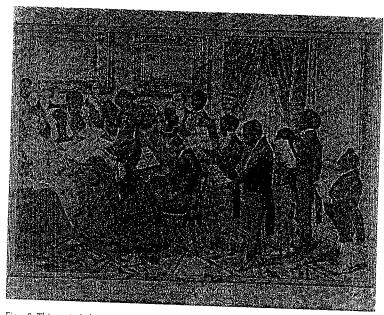


Fig. 18 This anti-abolition cartoon was one of a series that depicted the political activism of abolitionists as leading ultimately to intermarriage. Courtesy of the American Antiquarian Society.

and forced him to preside over the meeting and make a speech. When the man declared, "I am a poor, ignorant man... but I have heard of the Declaration of Independence, and have read the Bible. The Declaration says all men are created equal, and the Bible says God has made us all of one blood. I think... we are entitled to good treatment, that it is wrong to hold men in slavery," the mob interrupted him, denouncing immediate emancipation and "immediate amalgamation" before dispersing.⁴⁹

The incident was only the first in a series of public altercations linking immediate emancipation, racial equality, and amalgamation. Throughout early 1834, New York newspapers printed numerous articles about the "fanatical" abolitionists and their opposition to colonization, and white editors frequently linked the abolitionists' goal of immediate emancipation to amalgamation (figs. 18 and 19). James Watson Webb's Courier and Enquirer led the attack on the abolitionist coalition. During the annual meeting of the American Anti-Slavery Society, held in New York in May 1834, Webb and other anti-abolitionist newspaper editors raised the possibility of black annihilation or amalgamation as reasons to support the colonization of blacks and

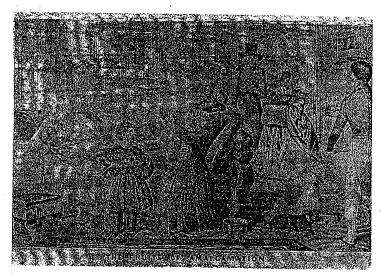


Fig. 19 An anti-abolitionist depiction of a content interracial family at home. A man resembling abolitionist William Lloyd Garrison stands in the doorway, arm in arm with a black woman, as a white manservant prepares to offer tea. Courtesy of the American Antiquarian Society.

to denounce immediate abolition. As "Quo" wrote in the New York Journal of Commerce (which ironically had once been owned by the Tappans), slavery in the United States could end only in "Colonization, Amalgamation, or Annihilation" of black people. Annihilation would occur after full emancipation because "the free blacks do not increase at all; on the contrary, they dwindle away. . . . They have not within them that stirring spirit which stimulates the white sons \dots to penetrate the West, and \dots people the world with intelligence and enterprise." Of the supposed alternative, amalgamation, Quo stated, "There will never be an honorable and virtuous amalgamation of the races. . . . A deluge of pollution must engulph our country, at the thought of which the heart sickens." 50 Quo offered the solution to the problems of annihilation and amalgamation: colonization. But according to Webb's Courier and Enquirer, abolitionists prevented colonization from occurring. They "enticed" blacks to stay in the United States with "the prospect of being speedily admitted to a social equality with the whites." Abolitionists, the paper stated, "invite the blacks to dine with them; send their children to school with them; and, what we know to be a fact, invite and encourage them to seat themselves in the same pews with white ladies; to

thrust themselves into their places in steamboats, and to obtrude their aromatic persons in places whence the customs of society, and, let us add, the instincts of nature, have hitherto banished them." 51

These debates over the place of blacks in society sparked physical confrontations between blacks and whites that led to full-scale rioting in early July. The July riots began with the harassment of black and white abolitionists by a crowd of "hundreds of young men" who disrupted the abolitionists' Fourth of July celebration in Chatham Street Chapel. On July 7, a black celebration of New York's Emancipation Day in the same chapel was disrupted by members of the Sacred Music Society, who claimed they had rented the chapel for the same night. The interruption ended with blacks routing the musicians from the church, amid epithets and broken furniture. News of the incident spread on July 8, and between July 9 and 12, whites rioted, destroying the homes of white abolitionist Arthur Tappan and the homes and churches of black Episcopalian minister Peter Williams Jr., white Presbyterian minister Samuel Cox, and white minister Henry G. Ludlow of the Spring Street Church, as well as homes and businesses of blacks who lived in the interracial Five Points area. The society of the spring Street Church, as well as homes and businesses of blacks who lived in the interracial Five Points area.

The three days of violence constituted the largest riot of the antebellum years in New York City. Although blacks had been the victims of mob violence before, this was the first time the issue of amalgamation was the explicit concern and rallying cry. The riots were so violent not simply because of the explosiveness of the amalgamation issue itself, but because this was an issue, and abolitionists a population, against which members of all classes of white New Yorkers united. Because working-class blacks and whites shared neighborhoods, particularly in the Five Points area, where much of the disturbance was centered, the meanings of black citizenship and amalgamation were of particular concern to them. Working-class whites wished to demarcate themselves politically and economically from blacks. Many of the rioters were skilled workers who feared the economic as much as the social effects of the new regime represented by the Tappans. The rioting continued with the approval of anti-abolitionist newspaper editors, police, and elites. The union of these groups with the white working classes led to an intense level of destruction.54

The charge of amalgamation focused the rioters' hostility, but the riots revealed fears of increasing black political and economic power. Rioters destroyed Arthur Tappan's house because allegedly he had entertained blacks there. Mobs attacked Peter Williams's and Henry Ludlow's churches because of rumors that the ministers had performed interracial marriages. Riotous crowds struck twice at Samuel Cox's church. Cox had denounced the practice

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of segregating black churchgoers in "negro pews" and had described Jesus Christ as a dark-skinned man. Gangs of men attacked black residences in the interracial Five Points area fairly indiscriminately, but singled out some examples of black affluence for special harassment. Mobs destroyed the African Society for Mutual Relief Hall and a black-owned barbershop and physically assaulted a black barber from another shop. Isaiah Emory, a black shopkeeper, received a threatening note. Another black storekeeper feared that two brick houses he owned would be destroyed. The working-class white mobs displayed a mixture of fear about interracial sex, antipathy toward sharing neighborhood space with blacks of any class, and particular resentment of attempts to elevate blacks to equal standing either with themselves or with middle-class white abolitionists, whether through intermarriage, through rhetoric, or through the efforts of blacks themselves.

The abolitionists were unprepared for whites' violent denunciation of black citizenship rights in the 1834 riots. The riots led New York City abolitionists to tone down the radicalism of their claims for immediate emancipation and black equality. On Saturday, July 12, following the dispersal of the rioters, white abolitionists Arthur Tappan and John Rankin, on behalf of the Executive Committee of the American Anti-Slavery Society, posted handbills throughout the city that stated, among other points, "We entirely disclaim any desire to promote or encourage intermarriages between white and colored citizens." Despite the abolitionists' repeated petitions to Congress against slavery, the abolitionists also stated their support of states' rights to decide the fate of slavery, claiming that abolitionists did not "ask of Congress any act transcending their constitutional powers; which the abolition of slavery by Congress, in any state, would plainly do." 56 Soon after, white abolitionists also beat a fast retreat on some aspects of the issue of black equality. On July 17 and August 19, Tappan, Rankin, and other abolitionists (including black abolitionist Samuel Cornish) stated again that they had not encouraged interracial marriage. But the abolitionists also defined additional limits on action for the cause of black citizenship and equality, in particular withdrawing from a defense of black use of public space. They refuted rumors that prior to the riots, abolitionists had encouraged blacks to take over the streets and search for white women. They stated that they had not "encouraged colored men to ride up and down Broadway on horse back or, . . . put themselves forward in public parades," nor had they encouraged "'fifty of those' colored lads 'who belonged to a Sabbath school before the abolition measures commenced' to 'parad[e] [in] the street with their canes and dandy dress, [and seek] white wives." Those who spread these rumors had used them to exaggerate the distinctions between older methods of social

reform for blacks and the new radicalism of the abolitionists, and they had invoked sexuality to provoke fear of the new movement. Under the new moral reform regime, the anti-abolitionists claimed, blacks were running amuck. To combat these ideas, abolitionists retreated more firmly into moral reform ideology. They also disavowed blacks' public parades, even more strongly than Samuel Cornish and Peter Williams had in 1827, thus effectively giving over the streets to whites.⁵⁷

The abolitionist response to the riots confirmed the power of the mob and the weakness of black claims to racial equality, middle-class standing, and political power within and outside of the abolitionist movement. In strongly rejecting interracial marriage, New York's black and white abolitionists implicitly disassociated themselves from William Lloyd Garrison's continuing campaign to repeal the Massachusetts law against interracial marriage. Abolitionists through the Civil War drew a distinction between opposition to legal restrictions on interracial marriage and their own personal actions. But in the wake of the 1834 riots, the Tappans and other New York abolitionists, both black and white, did not risk such a complex statement, instead rejecting the possibility of intermarriage completely. 58

Further, white abolitionists, with the possible exception of William Lloyd Garrison, began to draw distinctions between blacks and whites that depicted blacks as a group as unlettered, even as white abolitionists continued to associate with middle-class blacks in their organizations. Such distinctions defined the limits of black equality, and the limits of white abolitionists' role in helping blacks achieve equality. For example, Bostonian Lydia Maria Child wrote in 1834, "On the subject of equality, the principles of the abolitionists have been misrepresented. They have not the slightest wish to do violence to the distinctions of society by forcing the rude and illiterate into the presence of the learned and refined." Abolitionists only wished to give blacks the same rights enjoyed by "the lowest and most ignorant white man in America." But the lowest white man increasingly saw himself as by definition above the level of blacks. Further, Child's statement implied that all blacks, to a degree, were "rude and illiterate." The views of Child and other white abolitionists, as historian George Fredrickson has noted, "could be used to reinforce the unfavorable free-Negro stereotype that was promulgated by colonizationists and defenders of slavery." 59 Thus, because white abolitionists themselves reinforced views of blacks as inferior, their attempts to grant social and economic equality to New York's blacks were in disarray.

Black abolitionists, too, retreated from the radicalism of interracial political activism. On July 14, white Episcopalian bishop Benjamin Onderdonk

ordered black Episcopalian minister Peter Williams Jr. to either step down from the American Anti-Slavery Society or resign his position as minister. Williams not only left the society, but denied that he had played an active role there. Although elected to the Board of Managers at the society's inaugural meeting, Williams claimed that he "never met with that Board but for a few moments at the close of their sessions, and then without uttering a word." Williams also claimed that when he was elected to the Executive Committee at the AASS meeting held in New York in May 1834, he had "replied that I could not attend to it, and have never attended but on one occasion." The procolonization newspapers of the city published Williams's retreat from the AASS "with unfeigned pleasure." 60

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For black reformers such as Williams, the solution to the abolitionist controversy was for blacks to focus on the reform of the black community, without the physical presence of white abolitionists. White abolitionists were best equipped to pursue the freedom of slaves and the political rights of free blacks; and black abolitionists were best equipped to prepare blacks for freedom and equality. In the wake of the 1834 riots Williams said that he wished the American Anti-Slavery Society "all success" in ending southern slavery, but that his own role, as a black reformer, "was exclusively . . . to labor to qualify our people for the enjoyment of these rights." Samuel Cornish was more blunt when he stated that "white men are not calculated to judge of the abilities and adaptedness of colored men. . . . [Y]ou know our coloured population but in certain spheres of life. The intelligent among us, can descend with them into their different walks and associations, and therefore can better estimate them under their various circumstances." 61 Williams and Cornish saw themselves and other middle-class, educated blacks as a bridge between the black community and racial equality. Their education and morality meant they understood what black people needed to do to achieve equality in the eyes of whites; and the ties of race gave them a special understanding of the conditions, needs, and desires of blacks. In the black neighborhoods and churches, they had more day-to-day contact with blacks than white abolitionists. But they, too, viewed the mass of blacks as inferior to whites, and perhaps to themselves, and believed that blacks needed preparation and education for citizenship. Thus, their overall goals did not differ essentially from those of white abolitionists: classical education, moral improvement, temperance, and other ideals were part of the moral-reform, middle-class agenda for improving society overall.

The increasing conservatism of black and white abolitionists in the wake of the 1834 riots complicated enactment of the American Anti-Slavery Society's credo of racial uplift. For black abolitionists, conservatism meant

less emphasis on interracial interactions and greater support for black education - occupational, intellectual, and moral. But for white abolitionists, greater conservatism led to a retreat from funding practical reform efforts to address the material and educational needs of northern free blacks; instead, the American Anti-Slavery Society focused on ending southern slavery. The New York abolitionists led this change in focus. As historian Aileen Kraditor has pointed out, abolitionists such as the Tappans, in calling for racial equality, had been "more radical than they realized. . . . [T]heir demand for the abolition of slavery linked with the establishment of political and civil equality of the races would require an alteration in American society more drastic than they thought or were by temperament prepared for." Thus, in the wake of the 1834 riots, the first commitment of white abolitionists such as the Tappans was to the eradication of slavery. The existence of racial prejudice was troublesome, but not as troubling as slavery, and "while accepting both \dots goals of \dots emancipation and eradication of race prejudice \dots [they] wished to demonstrate to the potentially friendly sections of the white population that abolition was compatible with most customs and institutions.... [T]hey were willing to accept partial gains as steps toward the ultimate goals." White abolitionists also believed that if slavery ended, racism, too, would fall, and the condition of free blacks would improve. Abolitionist Gerrit Smith, of Peterboro, New York, stated that "until this slavery ceases this enslaving of a man simply because he has African blood in his veins the free colored population of this country will not be able to exchange their present debasing mockery of freedom for freedom itself." This belief that only the end of slavery would end white prejudice allowed many white abolitionists to stop working to improve the conditions of northern free blacks.62

As a result of these reconsidered goals, black and white abolitionists began to part ways. Black abolitionists continued to believe that the improvement of the condition of northern free blacks was as important as the abolition of slavery, and that the two goals were interrelated. They needed American Anti-Slavery Society funds to assist them in their uplift programs for free blacks. But the AASS refused to fund such programs. Of the society's thirty-eight traveling agents, only three were assigned to "the interests of our free colored brethren," and in 1838 the society reassigned these three agents to other duties. In 1836, black New York abolitionist Theodore S. Wright asked each of the local auxiliary societies to appoint standing committees that would introduce "our colored brethren to the useful arts" and hopefully establish contacts between blacks and "such mechanics as are willing to teach them trades, and treat them as they do their

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other apprentices." But the local societies concentrated their efforts in Ohio or among black communities of Upper Canada, away from the East Coast cities where the abolitionist leadership was centered, and where black problems were among the most acute. Both in New York City and nationally, white abolitionists' aid to free blacks, and particularly working-class blacks, was characterized by a lack of serious, stable funding to schools and other projects that would improve blacks' conditions and by an emphasis on individual moral and intellectual uplift rather than material means to improve blacks' status.⁶³

By the late 1830s, some blacks had become disillusioned with black and white abolitionists' methods of pursuing black freedom and equality. They were critical of the ways middle-class abolitionists, black and white, tried to reshape the racial identity of blacks as a group along middle-class lines. Although these black critics were not always working class themselves, the criticisms of those like black porter Peter Paul Simons and middle-class grocer David Ruggles allowed for greater discussion of class distinctions in the black community and greater involvement by the mass of blacks in the abolitionist struggle. They challenged moral reform, skilled labor training, and classical education as inadequate solutions to the problems of racism and poverty in New York City and slavery in the South. Accepting the goals of immediate abolition of slavery and racial equality for blacks, they subtly or explicitly criticized the means. The Stewards' and Cooks' Marine Benevolent Society, for example, freely served alcohol at its annual gathering, toasting with wine the temperance advocates William Lloyd Garrison and Arthur and Lewis Tappan for their assistance with abolition. Reporting on this event, a Colored American editor, either Samuel Cornish or Philip Bell, stated his belief that "the angel of temperance could wink" at this "indulgence" among "men, spared by the perils of the sea [and] united after long separation." 64 But Bell and Cornish viewed other objections to moral reform as more threatening to the cause of racial equality. Peter Paul Simons openly condemned the moral reform approach to black problems in several speeches to black benevolent societies in the late 1830s.65 In his speeches and interactions with other abolitionists, Simons proudly clung to his own workingclass identity, encouraged blacks to utilize their own collective resources, and criticized what he saw as the class-, color-, and education-based prejudices of some middle-class black leaders. His outspokenness created enemies amog middle-class reformers such as Samuel Cornish and Philip Bell.

In an 1837 speech before the Daughters of Wesley, a black women's benevolent society, Simons criticized those tenets of moral reform that asserted

female inferiority and the inappropriateness of women's activism in the public sphere. Although seeing benevolence as the "brightest gem that adorns the female character," he also asserted women's intellectual equality with men and criticized men and women who saw women as inferior: "those females who considers there gudgement [sic] less, ought to be outcasts of all popular societies; for there [sic] influence might excite the same opinion, of self incapability in many a promising damsel, and I sincerely contend, that where a female feels this inferiority, she is but a dead member to the intellectual and cultivated society of mankind." 66

Simons submitted the speech to the Colored American for publication, but editors Samuel Cornish and Philip Bell refused to publish it, allegedly because it would take too long to edit. An angry Simons charged the editors with "Prejudice against COLOR." He claimed that Cornish and Bell had not printed his speech because he was not part of the "straight haired gentry" or a college graduate. Simons distributed these charges in letters he mailed to black New Yorkers. To prevent further charges of color prejudice, Cornish and Bell printed the speech without editing it. In a subsequent edition, Bell discredited the speech and its writer in an editorial. Bell stated that Cornish had considered the speech "not worth publishing" and that he himself had thought the speech "worthless trash." Bell claimed that the speech was unintelligible and that Simons's audience "could not understand it any more than if it had been Greek." 67

Some of Cornish's and Bell's criticisms of the speech were true. In written form, the speech is difficult to follow, full of unnecessarily long words and awkward phrasing. But Simons was probably partially correct in raising the charge of "color prejudice" against the editors. To claim "color prejudice" was not simply to talk about skin color, but to allude to the class divisions among blacks, which sometimes followed skin color, as well as beliefs about who was worthy of leading the community. Samuel Cornish had previously displayed a certain snobbishness toward the efforts of working-class blacks to rise to positions of leadership in the black community. In an obsequious letter written to the trustees and faculty of the African Mission School at Hartford in 1829 and reprinted in his short-lived newspaper the Rights of All, Cornish "begged leave" to suggest to the school administrators that they not admit any adults "whose dispositions, associations, and talents are not peculiarly adapted to the work, whatever may be his moral and religious character." More particularly, Cornish questioned "the propriety of taking up young men who have spent twenty or twenty five years as common servants. Their minds scarcely can have escaped the contracting influence of their servile condition, they must be ignorant of the interests of their

brethren, and destitute of the nobler feelings of the soul." 68 No doubt Simons's occupation as a common laborer, and the possibility that he was self-taught, made him less reliable as a leader in Cornish's eyes.

Cornish and Bell's need to either edit Simons's speech or prevent its publication entirely was also an attempt to prevent embarrassment to the newspaper itself. White newspaper editors read black newspapers and sometimes reprinted and criticized the articles blacks wrote, interpreting the articles as inferior or as examples of blacks "putting on airs." The possibility that Simons's article could be used as another example of the ineptitude of blacks in running their own affairs, or their attempts to "put on airs" by using words that whites claimed blacks barely knew the meaning of and could not pronounce, no doubt led the editors to want to suppress the speech.

But Simons's speech was also threatening to the editors because of its political message. It contained a more powerful and forthright assessment of women's roles and abilities than the rather formulaic praise of women's mutual aid societies generally found in Cornish's and Bell's newspapers. Some middle-class black reformers in the 1830s believed that the opportunity to provide their wives with a sheltered home environment could erase some of the stigma of slavery. Slave owners blurred blacks' gender roles by forcing women to do men's work, such as fieldwork, and men to perform domestic service. Additionally, slave masters often prevented women and men from caring for their own homes. In New York City, such blurring or eliminating of traditional gender roles continued under freedom when men labored as sailors, away from home for months or years, and women worked as domestic servants, forced to leave their own families to someone else's care. Cornish particularly championed traditional gender roles for black men and women as an aspect of moral reform. An article in the Colored American described the ideal roles of men and women:

Man is strong—Woman is beautiful
Man is daring and confident—Woman is deferent and unassuming
Man is great in action—Woman in suffering
Man shines abroad—Woman at home

Such ideals bore little resemblance to the lives of most black women, who worked outside the home to supplement the meager incomes that men earned. Cornish and Bell may have withheld Simons's speech in part for its potentially inflammatory rhetoric about the place of women, not only in the home, but as public participants in the political and social concerns of New York's black community.⁶⁹

The circumstances surrounding the printing of Simons's speech in the Colored American provide one of the rare instances for an analysis of the differing meanings of literacy and education among different sectors of the black population. Simons, a laborer, stated implicitly that his achievement of literacy was not part of the creation of a leadership elite based on education, and he did not use his education to exclude from political power those with less education. But some blacks, particularly those of or aspiring to the middle class, viewed education as a passport to leadership and a lack of education as a disqualification.

Simons and the *Colored American* editors came into conflict again in 1839. In a speech before the African Clarkson Association, Simons attacked the political usefulness of moral and intellectual reform for the black community. He stated that "moral elevation . . . has now carried its good to a climax." The high level of moral elevation that the black community had achieved contributed to an enervation of the community's self-respect and pride. The emphasis on morality led to "blind submission" and "soft manners when . . . addressing those of pale complexions." These submissive attitudes were the "roots of degradation" of the black community, not blacks' alleged immorality and lack of education. For Simons, "moral elevation" was "designed expressly . . . to hinder our people from acting collectively for themselves." 70

Simons also saw "intellectual elevation" as of limited use in the struggle against slavery and racism. Many who were educated and held positions as preachers still worked at menial jobs. Further, the educated created "classes of distinction" and looked down upon those who held laboring jobs, despite that, according to Simons, "the majority of the means among us, you will find among the laboring class." Both moral and intellectual elevation, as defined by middle-class abolitionists, disrupted the unity necessary to the black community in its struggle against racial prejudice and slavery. Simons ended with a call to death-defying action on behalf of the rights of blacks. "Physical and political efforts are the only methods left for us to adopt," he stated. For Simons, fighting to the death affirmed the Christian belief in the afterlife. He stated that "if our forefathers held the truths of immortality of the soul before their eyes," they would have fought to the death, and "there would have been no such thing as African slavery, for they all would have died one by one, before they would remain one day in the clutches of captivity." In words reminiscent of David Walker's fiery appeal ten years earlier, Simons called free blacks to demonstrate "ACTION ACTION! ACTION! and our will to be, or not to be . . . this we must physically practice, and we will be in truth an independent people." 71

'Although Simons's speech echoed the call to action of David Walker's Appeal, Walker had preserved a leadership position for educated men and had encouraged moral and intellectual improvement. Walker called for slaves to seize their freedom by violent action only as a last resort. During Walker's life, he and Cornish were colleagues, with Walker serving as the Boston agent and occasional correspondent for Cornish's Freedom's Journal. Although Cornish approved of Walker's stands on intellectual and moral improvement, he did not support Walker's advocacy of slave rebellion, even as a last resort. Cornish was committed to the radical abolitionist tenet of nonviolence, as was Philip Bell. In the Colored American, the editors attempted to diffuse the implications of Simons's speech. Forced to print it by the Committee of Arrangements of the African Clarkson Association, they included it as a paid advertisement. Cornish and Bell hastened to assure their readers that they did not support Simons's critiques of intellectual and moral elevation. "A miserable people shall we be indeed, when we learn to despise or ridicule moral and intellectual elevation," they stated. "A miserable people are many of us now, who delight in traducing the wise and good among us, and in making efforts to bring their well directed, sacrificing efforts into disrepute." 72 But Simons's speech indicated that for some blacks the time for Walker's last resort to violent action was approaching. Many blacks, even some black reformers, were disillusioned with moral and intellectual improvement as the central method to achieve black freedom and equality.

As Peter Paul Simons attacked the moral and intellectual exclusivity of organized abolition, David Ruggles and the New York Committee of Vigilance maintained that the abolitionist tactics of nonresistance and legal redress were not the sole defense of blacks accused of being fugitive slaves. In 1835, David Ruggles and other blacks founded the Committee of Vigilance, which drew on the devices and resources of both working-class and middle-class blacks and whites. During its seven years of existence, the Committee of Vigilance presented an alternative vision of black activism and citizenship, combining the abolitionists' sometimes abstract call for black equal rights with the concrete issue of kidnapping to create a mass movement among New York City's blacks. Ruggles's vision of black citizenship and mass power threatened not only anti-abolition whites, but also the New York Manumission Society and black and white radical abolitionists.

The fugitive slave issue blurred the boundaries of slavery and freedom for New York's blacks. This issue affected working-class and poor blacks as it affected no other group of New Yorkers. Between the passing of the federal Fugitive Slave Act of 1793 and the better-known Fugitive Slave Law of 1850, black and white New Yorkers debated, in the courts, newspapers, and streets, the rights of fugitive slaves and free blacks against those of southern slave-holders and slave catchers, who sought and seized fugitive slaves and sometimes captured free blacks and classed them as slaves. The 1793 Fugitive Slave Act enforced the fugitive slave clause of the Constitution, which stated that fugitive slaves were not "discharged from such service or Labour" that they owed in one state because of the laws of the state to which they escaped. Thus, northern states had a legal responsibility to return escaped slaves to their masters in the South. But the 1793 law left it up to local courts to decide enforcement. In New York State, this left legal loopholes, which both proslavery and antislavery forces tried to exploit.

Between the passage of New York state's 1810 emancipation law and 1841, southerners could bring their slaves into the state for up to a period of nine months without threat of having the slaves freed. Once the grace period expired, the state's legislature and higher courts often went out of their way to free eligible slaves. But slaves had to find their way to the courts in order to press for their freedom. Further, local governments were not as open to blacks seeking freedom. Local authorities rarely required slave masters traveling with their slaves in New York to prove how long they had been in residence. In New York City, law enforcement officers and courts were notorious for their zealousness in upholding the claims of slave masters who wished to keep their slaves, or who traveled north to seek fugitive slaves. And both state and local agencies were required by federal law to return any proven fugitive slave to his or her master upon proof of ownership, regardless of the length of the fugitive's residency in the state.⁷³

Before the completion of emancipation in 1827, blacks and white anti-slavery activists were more concerned about the attempts of New York's slave owners to recoup their imminent losses by selling their slaves south in evasion of the emancipation law of 1799 than with the status of fugitive slaves. In their efforts to prevent the sales of New York State slaves, the lawyers of the Manumission Society generally found the local courts and magistrates helpful. But once New York's emancipation was complete, threats to the freedom of New York's blacks, as well as to the fugitive slaves who made their way north in a steady stream, became more pressing. The clear directive of the 1793 law, combined with the zealousness of some New York City law enforcers who made a profitable business of slave catching, resulted in a very real threat to the freedom of black New Yorkers. In December of 1828, Freedom's Journal warned that "[t]he business of arresting our brethren as

runaways is still daily occurring in this city. . . . [W]e have heard, that a Slaveholder, has hinted the determination of himself and others to have five hundred at least, out of this city, during the winter." ⁷⁴

From the 1790s to the early 1830s, the New York Manumission Society had provided legal aid to fugitive slaves and to free blacks accused of being fugitives. But as with the African Free Schools, the Manumission Society's link to the American Colonization Society and its conservative stance on southern emancipation made it ineffectual in the eyes of many blacks in the 1830s. Many Manumission Society members pledged to uphold the 1793 Fugitive Slave Act that radical abolitionists and blacks clearly opposed. At least one Manumission Society member acted as a lawyer on behalf of southerners attempting to retrieve their slaves. As had been true in the 1790s, when the society refused to exclude members who were slaveholders, the society did not discipline this member. As the Manumission Society receded in importance, the radical abolitionists began to address the new challenges facing fugitive slaves and free blacks in 1830s New York.

By the 1830s, City Recorder Richard Riker and Third Ward Constable Tobias Boudinot had become the most well known members of what blacks and white abolitionists called the New York Kidnapping Club. Riker and Boudinot were responsible, along with Daniel D. Nash, John Lyon, and two Virginians, Edward R. Waddy and F. H. Pettis, for re-enslaving fugitives as well as enslaving some free blacks. Nash, Lyon, Waddy, and Pettis acted individually or in concert as agents for slave owners, advertising their services in southern newspapers and seizing suspected fugitives on the streets of New York. They then appeared before any federal or state judge, or more likely the local magistrate and known southern sympathizer Riker, to offer oral or written proof that the person was a slave. If the judge believed the proof, the slave catcher took the person south. Anyone interfering with this process was liable to a five-hundred-dollar fine, a suit for injuries, or both. 76

There were many reasons why New York City's black working class particularly identified with the issue of fugitive slaves. The anonymity of life among the largest community of blacks in the North attracted many fugitives, and the majority of those who came to New York City entered the community of workers. In addition to these fugitive southern slaves, black workers in New York included former New York slaves and those who still had enslaved kin in the South. Working-class blacks' jobs often entailed high visibility in public places frequented by southerners. In hotels and restaurants, black workers served southerners, who often brought their enslaved personal servants north with them on their travels. Those black men who worked the docks often saw ships at anchor in the harbor with illegal slave

cargo aboard. At home, a more open street culture during domestic and leisure activities left working-class blacks more exposed to kidnappers than were middle-class blacks. Hannah Convers, a seven-year-old child whose parents had sent her to a public pump to collect water, disappeared; her parents believed she had been kidnapped by slave traders. A French family held ten-year-old Jane Green for two months, hoping to sell her south. Francis Dallam of Baltimore claimed fugitive slave Dorcas Brown, who had been a domestic for three years in New York City; despite Brown's New York employer's offer to buy her freedom, Dallam returned with Brown to Baltimore. Sailors who journeyed south both before and after the passage of South Carolina's Negro Seamen Acts in 1822 were at the mercy of the crews with whom they shipped not to sell them ashore for a handsome profit, as happened to James Emerson. Black working-class men, women, and children, whether fugitives or free, were therefore particularly vulnerable to being kidnapped and sold into slavery. Although high-profile abolitionists or community leaders who were fugitives were also open to this risk, these blacks were often surrounded by powerful whites, who could provide hiding places or money to send them as far away as Canada or Europe. The travails of working-class blacks in particular were often uppermost in the minds of abolitionists concerned with kidnapping.77

The informal and formal community networks and institutions that blacks established during this period to meet the necessities of life also provided the basis for blacks' day-to-day political action in the struggle against slavery. Black workers took fugitives into their homes and communities, providing food, shelter, and clothing. The African Society for Mutual Relief built a hidden cellar beneath its hall where fugitives could hide. Although some whites were also involved in these activities, most escaped slaves turned to those most like themselves, trusting the visible tie of race and the relative anonymity provided by communities of working and poor blacks for guidance to safety.

Not all blacks could be trusted. Some saw an opportunity for money in turning in other blacks to slave catchers. A fellow fugitive from Baltimore told Frederick Douglass upon his arrival in New York that "the black people in New York were not to be trusted. . . . [T]here were hired men on the lookout for fugitives . . . who, for a few dollars would betray [fugitives] into the hands of slavecatchers." 78 But throughout the antebellum period, the vast majority of fugitive slaves placed their trust for day-to-day subsistence and survival in other blacks. Harriet Jacobs fled the South in 1842, passing through Philadelphia and Brooklyn before arriving in New York City. After reuniting with her daughter and other friends who "had left the south years

ago," she found employment as a nursemaid in New York City. 79 Although she kept her fugitive status secret from her employers, she participated in the "many impromptu vigilance committees" established for fugitives in New York: "Every colored person, and every friend of their persecuted race, kept their eyes wide open. Every evening I examined the newspapers carefully, to see what Southerners had put up at the hotels. I did this for my own sake.... I wished also to give information to others, if necessary; for if many were 'running to and fro,' I resolved that 'knowledge should be increased." 80 Some blacks used physical force to protect themselves and others from those seeking fugitives and to protest court decisions that resulted in the enslavement of blacks. When police officers arrested Peter Martin, he "made a vigorous resistance, and wounded one of the officers, but was overcome by superior force, and carried to Bridewell [prison], covered with blood and bruises." When a magistrate ruled that fugitive slave William Dixon be returned south in 1837, a black mob took matters into their own hands. As police led Dixon down the courthouse steps, a crowd surrounding the courthouse attempted to rescue him, giving him a knife and a dirk to aid in his escape. Police soon recaptured Dixon, who later won his freedom on appeal.81

Middle-class abolitionists focused on legal efforts to protect fugitive slaves. Radical abolitionists were nonresistants—that is, they avoided physical confrontation in their efforts to attain freedom for fugitives. Many also objected to the purchase of slaves' freedom. To some blacks, such attitudes limited the methods open to fugitives and free blacks to retain their freedom. David Ruggles's New York Committee of Vigilance attempted to utilize the resources of blacks themselves, alongside the opportunities for political action and legal services that white radical abolitionists offered. The committee attempted to shape a political organization with more cross-class unity and participation from members of the black community, and with less focus on moral and intellectual elevation. Under the leadership of the fiery Ruggles (fig. 20), the Committee of Vigilance incorporated the methods and abilities of blacks of all classes. But Ruggles's willingness to use extralegal methods to rescue fugitive slaves and kidnapped blacks resulted in division within the organization and his ouster in 1839 by more conservative forces led by Samuel Cornish.82

Ruggles structured the committee's activities to involve large numbers of the New York City black community. An Executive Committee of eight black men included Ruggles, Theodore Wright, ex-slave and restaurateur Thomas Van Rensellaer, Samuel Cornish, British-born abolitionists William Johnston and Jacob Francis, and grocer James W. Higgins. The committee employed a paid agent, usually Ruggles, to seek out fugitives and offer them

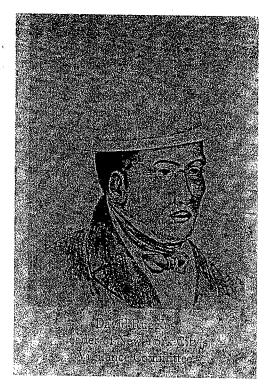


Fig. 20 David Ruggles, founder of the New York Committee of Vigilance. Courtesy of the Amistad Collection, Tulane University.

shelter and legal aid. The Executive Committee facilitated the legal work necessary to free fugitives by forging ties with white abolitionists as well as some Manumission Society members who were sympathetic to their cause and had legal expertise. But the Committee of Vigilance was not simply a top-down organization. In addition to the Executive Committee, the organization formed an Effective Committee, which consisted of one hundred men and women, each of whom was to collect dues from ten to twelve of his or her friends. This was a much larger number than participated formally in either the antislavery societies or the national black conventions. In this way, the organization involved almost 10 percent of the black community, which numbered between thirteen thousand and sixteen thousand at this time. The Effective Committee also spread news of the Committee of Vigilance's activities through word of mouth. More formal methods of keeping

the community informed of important news and events were the Executive and the Effective Committees' monthly meetings and anniversary celebrations. Ruggles also publicized the exploits of the New York Kidnapping Club, the successes of the Committee of Vigilance, and the plight of free blacks and fugitives through newspaper articles in the Emancipator, the Colored American, and in his own short-lived newspaper, the Mirror of Liberty, between 1835 and 1841. Newspaper publishers expected that these newspapers would be read aloud in meetings, workplaces, and neighborhoods and passed along to others. In this way, the names and tactics of members of the Kidnapping Club spread throughout the community. The committee used the courts, the streets, and the press to enable blacks of all classes to save themselves and others from slave catchers. The committee saved approximately 1,373 fugitives and free blacks from slavery. In its most important legal victory in 1840, with the help of Manumission Society lawyers, the Committee of Vigilance won the freedom of William Dixon, and thus the right to trial by jury for fugitive slaves in New York.83

The Committee of Vigilance had the support of William Lloyd Garrison and other white abolitionists. But most important, it had the support of free blacks themselves. Thomas Van Rensellaer, chair of the organization in 1836, stated, "The colored people of the city [are] awake. . . . [I] never saw them pay in their money so freely and so promptly as to this committee. [I suppose] that the reason [is], that this [is] practical abolition."84 David Ruggles himself drew many blacks to the Committee of Vigilance. Despite his nominal position as secretary, most within and outside the organization recognized him as its driving force. Born a free man in 1810 in Norwich, Connecticut, he came to New York at the age of seventeen and within two years had established a grocery business. In 1833, he gave up his business to become a traveling agent for the Emancipator, a position he retained until he founded and became the agent for the New York Committee of Vigilance.85 By the age of twenty-five, he was one of the most well known black leaders in New York City. Abolitionist Frederick Douglass, describing his arrival in New York City as a fugitive slave in 1838, stated that "Mr. Ruggles was the first officer on the underground railroad with whom I met after reaching the north, and, indeed, the first of whom I ever heard anything." 86

Ruggles was a man of action. In 1836, in attempting to rescue slaves from a Brazilian ship docked in New York, Ruggles was jailed and accused of assisting a slave to escape and of inciting a riot. His fiery temper, pointed newspaper articles, and most of all his dramatic attempts to rescue fugitives drew the wrath of New York's proslavery whites. When Ruggles brought suit against a man illegally holding a black person enslaved in New York, the New

York Express stated that Ruggles's efforts to free the slave would "embarrass trade." The New York Gazette also displayed disgust with Ruggles's flouting of the fugitive slave laws and his transgression of racial boundaries: "Negroes with a white skin [meaning white abolitionists] are disgusting enough ... but for native born citizens of the United States—without the advantage of black blood—to be harassed in this way by the genuine soot, is a little more, we trust, than will be submitted to." BY Ruggles's actions also furthered divisions between New York Manumission Society members and abolitionist activists. When a newspaper mistakenly identified Ruggles as secretary of the Manumission Society, a member of the society pointedly replied, "Ruggles is a colored man, and is Secretary of a Vigilance Committee of colored persons in this city . . . who have no connection whatever with the Manumission Society." BB

Within the Committee of Vigilance, divisions erupted over the definition of "practical abolition." In late 1836, the committee agreed to the resolution that "while we the people of color, are deprived of that bulwark of personal freedom, a trial by jury, it is vain to look for justice, in the courts of law." The committee resolved to continue to fight for this right through legal means, such as petitioning the legislature and bringing new court cases before judges in hopes of a positive ruling.89 But after the negative verdict in the 1837 William Dixon case and the mob actions that followed, the committee divided over the use of physical force to defend fugitives from reenslavement. Samuel Cornish renounced the crowd's tactics. He advised the "thoughtless" and "ignorant part of our colored citizens" to leave the care of such cases to the "intelligent and efficient Vigilance Committee" and its "eminent lawyers." He singled out "those females" who "so degraded themselves" for "everlasting shame" and "[beg]ged their husbands to keep them at home for the time to come." Cornish thus defined the Committee of Vigilance as an organization for the educated to aid working-class blacks, rather than an organization in which working-class blacks might participate. Blacks should avoid "going to the Courts at all, or assembling in the Park, on the occasion of fugitive trials—you can do no good, but much harm." 90

In contrast, Ruggles, in the wake of a trial later that year which failed to protect a black person from re-enslavement, proposed a resolution that the committee "cannot recommend nonresistance to persons who are denied the protection of equitable laws when their liberty is invaded and their lives endangered by avaricious kidnappers." This statement tacitly endorsed the direct action some blacks took in New York and other cities to rescue those accused of being slaves. Committee members and ministers Theodore Wright, Charles B. Ray, and others opposed Ruggles's proposal as "inconsistent

with the peace principles advocated by the members of the [American Anti-Slavery Society], and to the spirit and tendency of every other resolution." After a heated discussion and three separate votes on the resolution, "the chairman decided it carried to rejection." 91 The struggle among the Committee of Vigilance members reflected struggles within the wider antislavery movement. An angry mob had killed Illinois abolitionist newspaper editor Elijiah Lovejoy just a few weeks prior to the vote. Some abolitionists believed that Lovejoy, in his final hours, had betrayed abolitionist principles by physically defending his printing press against the mob, but neither Garrison nor the Tappans, both strong nonresisters, condemned Lovejoy. Without doubt, Ruggles, Ray, Wright, and Cornish were aware of the heated debates over nonresistance both before and after 1837. Ray, Wright, and Cornish's belief that blacks should be nonresisters reflected their strong support of the nonresistance element of abolitionist moral reform, but their promotion of nonresistance also resulted from their reluctance to approve the use of public space and mass power by blacks as methods of displaying and achieving political citizenship and racial equality. Pragmatically, black mob actions could lead to worse violence against blacks, as they had already witnessed in the 1834 riots and in the death of Elijiah Lovejoy 92

Unfortunately, though, other tensions tore the Committee of Vigilance apart by 1840 and permanently damaged Ruggles's standing among other reformers in New York City. In 1838, John Russell sued the Colored American and the Committee of Vigilance for libel and won a judgment of 220 dollars. In 1837, Ruggles gave Cornish a letter that accused Russell of assisting in kidnapping three black men and placing them aboard a ship headed south, and the Colored American published the letter. Russell, a black man, owned a boarding house for black sailors; such an accusation could have destroyed his business. The judgment and legal fees resulting from the suit, totaling almost 600 dollars, bankrupted the Committee of Vigilance and severely damaged the finances of the Colored American.

Cornish blamed Ruggles for sending him the letter without checking to see if the information was correct. Cornish stated that he had always questioned Ruggles's "judgement" and "prudence" and believed that his assistance to fugitives was harmed by Ruggles's attraction of "public fame" through his activities. Despite their differences, Cornish stated that he had "defend[ed Ruggles] against those who would have EATEN HIM UP." But the fiasco of the false accusation ended the collegial relationship between Ruggles and Cornish. Despite Ruggles's leading role in forming the Committee of Vigilance and attracting large numbers of blacks, Samuel Cornish forced

his resignation in 1839. The committee's activities lapsed until the formation of a state committee in 1848 with the Quaker abolitionist Isaac Hopper at its helm. The presence of Quaker leadership insured quieter, legalistic methods of rescuing slaves. Ruggles himself, who was going blind, lived in poverty in New York City until 1842, when Lydia Maria Child invited him to Northampton, Massachusetts. There, he founded the first hydropathic (water cure) center in the country after a course of treatment partially restored his eyesight. He remained in Northampton until his death in 1849.⁹³

Meanwhile, William Seward's term as governor of New York between 1839 and 1843 provided abolitionists and blacks throughout the state with stronger legal tools in their struggles on behalf of accused fugitives. During his campaign, Seward, a Whig, had given no hint of his support for blacks' rights. Once in office, however, Seward signed into law a series of bills passed by the Whig-dominated legislature that gave fugitives in New York State greater rights than ever before, and more rights than blacks had in any other northern state at the time. In 1840, Seward signed a law guaranteeing alleged fugitives a jury trial, taking the power to return blacks to slavery out of the hands of proslavery individuals like Richard Riker. Additionally, county district attorneys had to defend accused fugitives in court. Finally, those bringing alleged fugitives to court had to provide a "penal sum" of one thousand dollars as guarantee against court costs in case the person seized was not a slave.

Another law Seward signed that year allowed the governor to appoint agents to negotiate the rescue of free blacks kidnapped and sold south. Until the Civil War, New York governors used this law to help illegally enslaved free blacks return to their homes in New York. In 1841, Seward signed legislation that repealed the law allowing southern slave masters to bring and retain their slaves in New York state for nine months. With this law, slaves brought to New York with their masters gained their freedom as soon as they touched New York soil. (Slaves who came to New York without their masters as runaways, however, had to be returned to their masters under the fugitive clause in the federal constitution.) Seward also openly refused to extradite to southern states black and white men accused of assisting slaves escaping slavery, gaining the enmity of many slaveholders. In four years, Seward and the state legislature expanded the rights of fugitives as far as was legal under the federal constitution.⁹⁴

The 1830s tested the limits of radicalism of both black and white abolitionists. Middle-class abolitionists displayed the limits of their activism most

clearly in their attitudes toward the actions and needs of the black masses. The ways abolitionists addressed the material needs, legal rights, and political participation of working-class blacks were rooted in their own evolving middle-class interests. Further, white abolitionists' focus on southern slavery, their own prejudices, and their fears of the racism of other whites led to a faltering of the project of full racial equality for free blacks by 1840.

By the end of the 1830s, some blacks believed that the abolitionists' methods were inadequate to address the material needs and political desires of the mass of blacks. Despite attempts to silence Peter Paul Simons and David Ruggles, both men had pointed the way to alternative political actions on behalf of abolition and black equality that could involve greater numbers of blacks across class lines. After 1840, changes within the abolitionist movement allowed a more secular black leadership to gain influence and build on these ways for abolitionists to reach out to black workers.

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INTERRACIALISM



Black-White Intermarriage in American History, Literature, and Law

Edited by Werner Sollors

OXFORD UNIVERSITY PRESS

2000

OXFORD

UNIVERSITY PRESS

Oxford New York
Athens Auckland Bangkok Bogotá Buenos Aires Calcutta
Cape Town Chennai Dar es Salaam Delhi Florence Hong Kong Istanbul
Karachi Kuala Lumpur Madrid Melbourne Mexico City Mumbai
Nairobi Paris São Paulo Shanghai Singapore Taipei Tokyo Toronto Warsaw
and associated companies in
Berlin Ibadan

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Published by Oxford University Press, Inc. 198 Madison Avenue, New York, New York 10016

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Library of Congress Cataloging-in-Publication Data Interracialism: Black-white intermarriage in American history, literature, and law / edited by Werner Sollors. p. cm.

Includes bibliographical references and index. ISBN 0-19-512856-7; ISBN 0-19-512857-5 (pbk.)

Interracial marriage—United States—History.

1. Interracial marriage—United States—History.
2. Miscegenation—United States—History.
3. Racially mixed people—
United States—History.
4. Miscegenation—Law and legislation—
United States—History.
5. Miscegenation in literature.
6. Racially mixed people in literature.
6. Racially mixed people in literature.
6. Racially mixed people in literature.
7. Sollors, Werner.
8. HQ1031.18 2000
99-32521

In memoriam

A. Leon Higginbotham, Jr. (February 25, 1928-December 14, 1998)

98765432

Printed in the United States of America on acid-free paper

Miscegenation Law, Court Cases, and Ideologies of "Race" in Twentieth-Century America*

PEGGY PASCOE

On March 21, 1921, Joe Kirby took his wife, Mayellen, to court. The Kirbys had been married for seven years, and Joe wanted out. Ignoring the usual option of divorce, he asked for an annulment, charging that his marriage had been invalid from its very beginning because Arizona law prohibited marriages between "persons of Caucasian blood, or their descendants" and "negroes, Mongolians or Indians, and their descendants." Joe Kirby claimed that while he was "a person of the Caucasian blood," his wife, Mayellen, was "a person of negro blood."

Although Joe Kirby's charges were rooted in a well-established—and tragic tradition of American miscegenation law, his court case quickly disintegrated into a definitional dispute that bordered on the ridiculous. The first witness in the case was Joe's mother, Tula Kirby, who gave her testimony in Spanish through an interpreter. Joe's lawyer laid out the case by asking Tula Kirby a few seemingly simple questions:

Joe's lawyer: To what race do you belong?

Tula Kirby: Mexican.

Joe's lawyer: Are you white or have you Indian blood?

Kirby: I have no Indian blood.

Joe's lawyer: Do you know the defendant [Mayellen] Kirby?

Kirby: Yes.

Joe's lawyer: To what race does she belong?

Kirby: Negro.

Then the cross-examination began.

Mayellen's lawyer: Who was your father?

Kirby: Jose Romero.

Mayellen's lawyer: Was he a Spaniard?

Kirby: Yes, a Mexican.

Mayellen's lawyer: Was he born in Spain?

• From Peggy Pascoe, "Miscegenation Law, Court Cases, and Ideologies of 'Race' in Twentieth-Century America." Journal of American History 83.1 (June 1996): 44-69.

Kirby: No, he was born in Sonora.

Mayellen's lawyer: And who was your mother?

Kirby: Also in Sonora.

Mayellen's lawyer: Was she a Spaniard?

Kirby: She was on her father's side.

Mayellen's lawyer: And what on her mother's side?

Kirby: Mexican.

Mayellen's lawyer: What do you mean by Mexican, Indian, a native [?]

Kirby: I don't know what is meant by Mexican.

Mayellen's lawyer: A native of Mexico?

Kirby: Yes, Sonora, all of us.

Mayellen's lawyer: Who was your grandfather on your father's side?

Kirby: He was a Spaniard. Mayellen's lawyer: Who was he? Kirby: His name was Ignacio Quevas. Mayellen's lawyer: Where was he born?

Kirby: That I don't know. He was my grandfather.

Mayellen's lawyer: How do you know he was a [S]paniard then?

Kirby: Because he told me ever since I had knowledge that he was a Spaniard.

Next the questioning turned to Tula's opinion about Mayellen Kirby's racial identity.

Mayellen's lawyer: You said Mrs. [Mayellen] Kirby was a negress. What do you know about Mrs. Kirby's family?

Kirby: I distinguish her by her color and the hair; that is all I do know.2

The second witness in the trial was Joe Kirby, and by the time he took the stand, the people in the courtroom knew they were in murky waters. When Joe's lawyer opened with the question "What race do you belong to?," Joe answered "Well . . . ," and paused, while Mayellen's lawyer objected to the question on the ground that it called for a conclusion by the witness. "Oh, no," said the judge, "it is a matter of pedigree." Eventually allowed to answer the question, Joe said, "I belong to the white race I suppose," Under cross-examination, he described his father as having been of the "Irish race," although he admitted, "I never knew any one of his people."3

Stopping at the brink of this morass, Joe's lawyer rested his case. He told the judge he had established that Joe was "Caucasian." Mayellen's lawyer scoffed, claiming that Joe had "failed utterly to prove his case" and arguing that "[Joe's]

^{1.} Ariz. Rev. Stat. Ann. sec. 3837 (1913); "Appellant's Abstract of Record," Aug. 8, 1921, pp. 1-2. Kirby v. Kirby, docket 1970 (microfilm: file 36.1.134), Arizona Supreme Court Civil Cases (Arizona State Law Library, Phoenix).

^{2. &}quot;Appellant's Abstract of Record," 12-13, 13-15, 15, Kirby v. Kirby.

^{3.} Ibid., 16-18.

term racialism to be broad enough to cover a wide range of nineteenth-century ideas, from the biologically marked categories scientific racists employed to the more amorphous ideas George M. Fredrickson has so aptly called "romantic racialism." Used in this way, "racialism" helps counter the tendency of twentieth-century observers to perceive nineteenth-century ideas as biologically "determinist" in some simple sense. To racialists (including scientific racists), the important point was not that biology determined culture (indeed, the split between the two was only dimly perceived), but that race, understood as an indivisible essence that included not only biology but also culture, morality, and intelligence, was a compellingly significant factor in history and society.

My argument is this: During the 1920s, American racialism was challenged by several emerging ideologies, all of which depended on a modern split between biology and culture. Between the 1920s and the 1960s, those competing ideologies were winnowed down to the single, powerfully persuasive belief that the eradication of racism depends on the deliberate nonrecognition of race. I will call that belief modernist racial ideology to echo the self-conscious "modernism" of social scientists, writers, artists, and cultural rebels of the early twentieth century. When historians mention this phenomenon, they usually label it "antiracist" or "egalitarian" and describe it as in stark contrast to the "racism" of its predecessors. But in the new legal scholarship called critical race theory, this same ideology, usually referred to as "color blindness," is criticized by those who recognize that it, like other racial ideologies, can be turned to the service of oppression. "

Modernist racial ideology has been widely accepted; indeed, it compels nearly as much adherence in the late-twentieth-century United States as racialism did in the late nineteenth century. It is therefore important to see it not as what it claims to be—the nonideological end of racism—but as a racial ideology of its own, whose history shapes many of today's arguments about the meaning of race in American society.

The Legacy of Racialism and the Kirby Case

Although it is probably less familiar to historians than, say, school segregation law, miscegenation law is an ideal place to study both the legacy of nineteenth-century racialism and the emergence of modern racial ideologies. ¹¹ Miscegenation

- 9. See especially Fredrickson, Black Image in the White Mind.
- 10. For intriguing attempts to define American modernism, see Daniel J. Singal, ed., Modernist Culture in America (Belmont, 1991); and Dorothy Ross, ed., Modernist Impulses in the Human Sciences, 1870-1930 (Baltimore, 1994). For the view from critical race theory, see Brian K. Fair, "Foreword: Rethinking the Colorblindness Model," National Black Law Journal, 13 (Spring 1993), 1-82; Neil Gotanda, "A Critique of 'Our Constitution Is Color-Blind,' "Stanford Law Review, 44 (Nov. 1991), 1-68; Gary Peller, "Race Consciousness," Duke Law Journal (Sept. 1990), 758-847; and Peter Fitzpatrick, "Racism and the Innocence of Law," in Anatomy of Racism, ed. Goldberg, 247-62.
- 11. Many scholars avoid using the word miscegenation, which dates to the 1860s, means race mixing, and has, to twentieth-century minds, embarrassingly biological connotations; they speak of laws against "interracial" or "cross-cultural" relationships. Contemporaries usually referred to "anti-

laws, in force from the 1660s through the 1960s, were among the longest lasting of American racial restrictions. They both reflected and produced significant shifts in American racial thinking. Although the first miscegenation laws had been passed in the colonial period, it was not until after the demise of slavery that they began to function as the ultimate sanction of the American system of white supremacy. They burgeoned along with the rise of segregation and the early-twentieth-century devotion to "white purity." At one time or another, 41 American colonies and states enacted them; they blanketed western as well as southern states.¹²

By the early twentieth century, miscegenation laws were so widespread that they formed a virtual road map to American legal conceptions of race. Laws that had originally prohibited marriages between whites and African Americans (and, very occasionally, American Indians) were extended to cover a much wider range of groups. Eventually, 12 states targeted American Indians, 14 Asian Americans (Chinese, Japanese, and Koreans), and 9 "Malays" (or Filipinos). In Arizona, the Kirby case was decided under categories first adopted in a 1901 law that prohibited whites from marrying "negroes, Mongolians or Indians"; in 1931, "Malays" and "Hindus" were added to this list. 13

miscegenation" laws. Neither alternative seems satisfactory, since the first avoids naming the ugliness that was so much a part of the laws and the second implies that "miscegenation" was a distinct racial phenomenon rather than a categorization imposed on certain relationships. I retain the term miscegenation when speaking of the laws and court cases that relied on the concept, but not when speaking of people or particular relationships. On the emergence of the term, see Sidney Kaplan, "The Miscegenation Issue in the Election of 1864," Journal of Negro History, 24 (July 1949), 274-343 [included in this volume, pp. 219-265.—Ed.].

^{12.} Most histories of interracial sex and marriage in America focus on demographic patterns, rather than legal constraints. See, for example, Joel Williamson, New People: Miscegenation and Mulattoes in the United States (New York, 1980); Paul R. Spickard, Mixed Blood: Intermarriage and Ethnic Identity in Twentieth-Century America (Madison, 1989); and Deborah Lynn Kitchen, "Interracial Marriage in the United States, 1900-1980" (Ph.D. diss., University of Minnesota, 1993). The only historical overview is Byron Curti Martyn, "Racism in the United States: A History of the Anti-Miscegenation Legislation and Litigation" (Ph.D. diss., University of Southern California, 1979). On the colonial period, see A. Leon Higginbotham Jr. and Barbara K. Kopytoff, "Racial Purity and Interracial Sex in the Law of Colonial and Antebellum Virginia," Georgetown Law Journal, 77 (Aug. 1989), 1967-2029 [in this volume, pp. 81-139. —Ed.]; George M. Fredrickson, White Supremacy: A Comparative Study in American and South African History (New York, 1981), 99-108; and James Hugo Johnston, Race Relations in Virginia & Miscegenation in the South, 1776-1860 (Amherst, 1970), 165-90. For later periods, see Peter Bardaglio, "Families, Sex, and the Law: The Legal Transformation of the Nineteenth-Century Southern Household" (Ph.D. diss., Stanford University, 1987), 37-106, 345-49; Peter Wallenstein, "Race, Marriage, and the Law of Freedom: Alabama and Virginia, 1860s-1960s," Chicago-Kent Law Review, 70 (no. 2, 1994), 371-437; David H. Fowlet, Northern Attitudes towards Interracial Marriage: Legislation and Public Opinion in the Middle Atlantic and the States of the Old Northwest, 1780-1930 (New York, 1987); Megumi Dick Osumi, "Asians and California's Anti-Miscegenation Laws," in Asian and Pacific American Experiences: Women's Perspectives, ed. Nobuya Tsuchida (Minneapolis, 1982), 2-8; and Peggy Pascoe, "Race, Gender, and Intercultural Relations: The Case of Interracial Marriage," Frontiers, 12 (no. 1, 1991), 5-18. The count of states is from the most complete list in Fowler, Northern Attitudes, 336-439.

Ariz. Rev. Stat. Ann. sec. 3092 (1901); 1931 Ariz. Sess. Laws ch. 17. Arizona, Idaho, Maine, Massachusetts, Nevada, North Carolina, Oregon, Rhode Island, South Carolina, Tennessee, Vir-

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Mixed Race America and the Law

A Reader

EDITED BY

Kevin R. Johnson

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New York University Press

NEW YORK UNIVERSITY PRESS New York and London

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Library of Congress Cataloging-in-Publication Data Mixed race America and the law: a reader / edited by Kevin R. Johnson. p. cm. — (Critical America series) Includes bibliographical references and index. ISBN 0-8147-4256-4 (cloth : alk. paper) ---ISBN 0-8147-4257-2 (pbk; alk paper)

- 1. Racially mixed people-Legal status, laws, etc.-United States.
- 2. Mescegenation—United States. 3. Racially mixed people— Government policy-United States. I. Johnson, Kevin R. II. Critical America.

KF4755 .M59 2002

346.7301'3---dc21

2002011775

New York University Press books are printed on acid-free paper, and their binding materials are chosen for strength and durability.

> Manufactured in the United States of America 10987654321

American Mestizo Filipinos and Anti-Miscegenation Laws in California

Leti Volpp

... By the time the Supreme Court finally declared anti-miscegenation laws unconstitutional in Loving v. Virginia, thirty-nine states had enacted anti-miscegenation laws; in sixteen of these states, such laws were still in force at the time of the decision. While the original focus of these laws was primarily on relationships between blacks and whites, also prohibited were marriages between whites and "Indians" (meaning Native Americans), "Hindus" (South Asians), "Mongolians" (into which were generally lumped Chinese, Japanese, and Koreans), and "Malays" (Filipinos). Nine states—Arizona, California, Georgia, Maryland, Nevada, South Dakota, Utah, Virginia, and Wyoming—passed laws that prohibited whites from marrying Malays. The statutes varied in their enforcement mechanisms: some simply declared miscegenous marriages void; others punished them as felonies.

I. California: Asian Invasions

In 1850, California enacted a law prohibiting marriages between "white persons" and "negroes or mulattoes." Twenty-eight years later, a referendum was proposed at the California Constitutional Convention to amend the statute to prohibit marriages between Chinese and whites. While the so-called "Chinese problem" was initially conceptualized as one of economic competition, created by the importation of exploitable laborers without political rights, the issue of sexual relationships between whites and Chinese also functioned as a prime site of hysteria.

Invoked were fears of hybridity. John Miller, a state delegate, speculated that the "lowest most vile and degraded" of the white race were most likely to amalgamate with the Chinese, resulting in a "hybrid of the most despicable, a mongrel of the most detestable that has ever afflicted the earth." Miscegenation was presented as a public health concern, for Chinese were assumed by most of the delegates to be full of "filth and disease." Some argued that American institutions and culture would be overwhelmed by the habits of people thought to be sexually promiscuous, perverse, lasciv-

ious, and immoral. For example, in 1876 various papers stated that Chinese men attended Sunday school in order to debauch their white, female teachers. In response to the articulation of these fears, in 1880 the legislature prohibited the licensing of marriages between "Mongolians" and "white persons."²

The next large group of Asian immigrants—those from Japan—was also the subject of antagonism, leading to further amendment of the anti-miscegenation laws. While the impetus for tension was, again, economic, two prime sites of expressed anxiety were school segregation and intermarriage. Those who sought school segregation depicted the Japanese as an immoral and sexually aggressive group of people and disseminated propaganda that warned that Japanese students would defile their white classmates. The Fresno Republican described miscegenation between whites and the Japanese as a form of "international adultery," in a conflation of race, gender, and nation. In 1905, at the height of the anti-Japanese movement, the state legislature sealed the breach between the license and marriage laws and invalidated all marriages between "Mongolian" and white spouses.³

II. "Little Brown Men"

Tension over the presence of Chinese and Japanese had led to immigration exclusion of Chinese and Japanese laborers through a succession of acts dating between 1882 and 1924. Because industrialists and growers faced a resulting labor shortage, they began to import Filipinos to Hawaii and the mainland United States. Classified as "American nationals" because the United States had annexed the Philippines following the Filipino-American War, Filipinos were allowed entry into the country. On the mainland, a majority of Filipinos resided in California, with sizable numbers also in Washington and Alaska. By 1930 the number of Filipinos on the mainland reached over forty-five thousand. During the winter they stayed in the cities—working as domestics and gardeners, washing dishes in restaurants, and doing menial tasks others refused. In the summer they moved back to the fields and harvested potatoes, strawberries, lettuce, sugar beets, and fruits....

On the mainland, 93 percent of all who emigrated from the Philippines were males, the vast majority between sixteen and thirty years of age. While some scholars have focused on patriarchal Asian values as the reason for early Asian migration being an almost exclusively male phenomenon, others have pointed to labor recruiting patterns and the specifics of immigration laws themselves as restricting the immigration of Asian women. United States capital interests wanted Asian male workers but not their families, because detaching the male worker from a heterosexual family structure meant he would be cheaper labor.

The Filipinos lived in barracks, isolated from other groups, allowed only dance halls, gambling resorts, and pool rooms of Chinatown as social outlets. They led ostracized lives punctuated by the terror of racist violence. Many restaurants and stores hung signs stating, "Filipinos and dogs not allowed." Anxiety about what was called the "Third Asian Invasion" was expressed primarily around three sites: first, the idea that Filipinos were destroying the wage scale for white workers; second, the idea that

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INTERRACIAL INTIMACIES

Sex, Marriage, Identity, and Adoption

RANDALL KENNEDY



Vintage Books
A Division of Random House, Inc.

New York

FIRST VINTAGE BOOKS EDITION, JANUARY 1004

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Grateful acknowledgment is made to the following for permission to reprint previously published material: HarperCollins Publishers, Inc.: Excerpt from The Collected Poems of Sterling A. Brown edited by Michael S. Harper.

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The Library of Congress has cataloged the Pantheon edition as follows: Kennedy, Randall

Interracial intimacies: sex, marriage, identity, and adoption / Randall Kennedy.
p. cm.

Includes bibliographical references and index.

Interracial Marriage—Law and legislation—United States.
 Miscegenation—Law and legislation—United States.
 Interracial adoption—United States.
 Title.

KF511.K46 2003

346.73016—DC21

2002072786

Vintage ISBN: 0-375-70264-4

Book design by Johanna S. Roebas

www.vintagebooks.com

Printed in the United States of America
10 9 8 7 6 5 4 3 2 1

This book is dedicated to my dutiful, wise, loving parents, Henry Harold Kennedy Sr. and Rachel Spann Kennedy.

From the early eighteenth century onward, all antimiscegenation laws in British North America prohibited blacks and whites from marrying one another. Other like prohibitions were imposed upon Native Americans and people of Chinese, Japanese, Filipino, Indian, and Hawaiian ancestry.* Since the founding of the United States, there have been no laws enacted against Christians marrying Jews or against interethnic marriages. In the nineteenth century, many groups that are now classified as ethnic "whites" were thought of as distinct races, among them Jews, Irish, Italians, and Hungarians. 17 Despite the Intense social discriminations sometimes practiced against specific ethnic identities-think, for example, of signs reading "No Irish need apply"-state governments never prohibited interethnic marriages among whites. This fact further underscores the unique status of "color" in American life. Although social pressures have been widely brought to bear to discourage interethnic marriage, state power was mobilized only when authorities feared that people might marry across the color line.

Antimiscegenation laws varied widely by jurisdiction. Prior to the Civil War, officials in some states punished only whites for crimes of interracial intimacy. This approach was probably rooted in two beliefs: first: that blacks were too irresponsible and too inferior to punish, and second, that it was whites' responsibility to protect the purity of their own bloodlines. This latter belief was closely related to yet another status distinction embedded in antebellum laws regulating intimacy: a gender differential. White women were anointed as the primary gatekeepers of white racial purity, and as such, they became the members of the white community who could, with self-evident justice, be most severely penalized for racial transgressions. Violations included, in ascending order of perceived perfidiousness, having sex across racial lines, marrying across racial lines, and giving birth to a mixed-race baby. Hence, the racial regulation of intimacy has not only pitted white people against colored people; it has also set men against women, both across racial lines and within racial groups.

After the Civil War, to comply with new federal requirements regarding formal racial neutrality, some state authorities felt compelled to mete out to blacks who married interracially the same punishment that was imposed on their white spouses. 18 No less ironic was the fact that in at least some jurisdictions, antimiscegenation laws were likely enforced more stringently after the Civil War than before it. The institution of slavery had given the collective ego of whites such a massive boost that many of them were willing to overlook infractions of racial regulations, even to the extent of turning a blind eye on interracial romantic involvements. The abolition of slavery, however, and the assertion of civil and political rights by blacks during Reconstruction, dealt a tremendous blow to the racial self-esteem of southern whites in particular. Many compensated by insisting upon a relentless and exacting observance of both formal and informal rules of racial caste. One hallmark of this period was enhanced criminal enforcement of antimiscegenation laws and every other restriction that reinforced the lesson of white supremacy and black subordination, white purity and black contamination. 19

Some states, for example, punished those who performed interracial marriages. Mississippi went even further, criminalizing not only. interracial marriage but even the advocacy of "social equality or of intermarriage between whites and negroes."* Punishments for the vio-

^{*}States that singled out other groups besides blacks as being ineligible for marriage to whites included Arizona (Mongolians, Malayans, Hindus, Indians), California (Mongolians, Malayans), Georgia (Japanese, Chinese, Malayans, Asiauc Indians), Mississippi (Mongolians), Montana (Chinese, Japanese), Nebraska (Chinese, Japanese), Nevada (Ethiopians, Malays, Mongolians), and Wyoming (Malayans, Mongolians). See the very useful tabulations of antimiscegenation laws in Fowler, Northern Attitudes, 339-439. "Note: Constitutionality of Anti-Miscegenation Statutes," Yale Law Journal 58 (1949): 472, 480-83. See also Leti Volpp, "American Mestizo: Filipinos and Antimiscegenation Laws in California," University of California at Davis Law Review 33 (2000): 95, 798-801; Lloyd Riley, "Miscegenation Statutes-A Re-evaluation of Their Constitutionality in Light of Changing Social and Political Conditions," Southern California Law Review 32 (1958):

^{*&}quot;Any person, firm, or corporation who shall be guilty of printing . . . matter urging . or presenting for public acceptance or general information, arguments or suggestions in favor of social equality or of intermarriage between whites and negroes, shall be guilty of a misdemeanor." See Pauli Murray, States' Laws on Race and Color

COURT OF APPEALS STATE OF NEW YORK

DANIEL HERNANDEZ and NEVIN COHEN, LAUREN ABRAMS and DONNA FREEMAN-TWEED, MICHAEL ELSASSER and DOUGLASS ROBINSON, MARY JO KENNEDY and JO-ANN SHAIN and DANIEL REYES and CURTIS WOOLBRIGHT.

Plaintiffs-Appellants,

- against -

VICTOR L. ROBLES, in his official capacity as CITY CLERK of the City of New York

Defendants-Respondents.

SYLVIA SAMUELS and DIANE GALLAGHER, HEATHER McDONNELL and CAROL SNYDER, AMY TRIPI and JEANNE VITALE, WADE NICHOLS and HARING SHEN, MICHAEL HAHN and PAUL MUHONEN, DANIEL J. O'DONNELL and JOHN BANTA, CYNTHIA BINK and ANN PACHNER, KATHLEEN TUGGLE and TONJA ALVIS, REGINA CICCHETTI and SUSAN ZIMMER, ALICE J. MUNIZ and ONEIDA GARCIA, ELLEN DREHER and LAURA COLLINS, JOHN WESSEL and WILLIAM O'CONNOR, and MICHELLE CHERRY-SLACK and MONTEL CHERRY-SLACK,

Plaintiffs-Appellants,

against –

THE NEW YORK STATE DEPARTMENT OF HEALTH and the STATE OF NEW YORK,

Defendants-Respondents.

NOTICE OF MOTION FOR PERMISSION TO APPEAR AS AMICI CURIAE

NEW YORK COUNTY LAWYERS' ASSOCIATION

Norman L. Reimer President 14 Vesey Street New York, NY 10007 (212) 267-6646 Appellate Division, First Department Docket Nos. 6598, 6599; New York County Index No. 103434/04

Appellate Division, Third Department Docket No. 98084; Albany County Index No. 1967/04